Commission Implementing Regulation (EU) No 809/2014 of 17 July 2014 laying down rules for the application of Regulation (EU) No 1306/2013 of the European Parliament and of the Council with regard to the integrated administration and control system, rural development measures and cross compliance

## TITLE V

# CONTROL SYSTEM AND ADMINISTRATIVE PENALTIES IN RELATION TO CROSS COMPLIANCE

## CHAPTER II

## **Control**

#### Section 1

# General provisions

## Article 65

# Control system as regards cross-compliance

- 1. [F1Member States][F1The relevant authority] shall establish a system guaranteeing effective control of the respect of cross-compliance. That system shall, in particular provide for:
- (a) where the competent control authority is not the paying agency, the transfer of the necessary information concerning the beneficiaries referred to in Article 92 of Regulation (EU) No 1306/2013 from the paying agency to the specialised control bodies and/or, where applicable, via the coordinating authority;
- (b) the methods to be applied for the selection of control samples;
- (c) indications with regard to the nature and extent of the checks to be carried out;
- (d) control reports containing in particular any detected non-compliance and an assessment of its severity, extent, permanence and reoccurrence;
- (e) where the competent control authority is not the paying agency, the transfer of the control reports from the specialised control bodies either to the paying agency or the coordinating authority or both;
- (f) the application of the system of reductions and exclusions by the paying agency.
- 2. [F2Member States][F2The relevant authority] may provide for a procedure according to which the beneficiary indicates to the paying agency the elements necessary to identify the requirements and standards applicable to him.

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Changes to legislation: There are outstanding changes not yet made to Commission Implementing Regulation (EU) No 809/2014. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

#### **Textual Amendments**

- Words in Art. 65(1) substituted (31.1.2020 in so far as it relates to the Common Agricultural Policy direct payment schemes) by The Financing, Management and Monitoring of Direct Payments to Farmers (Amendment) Regulations 2020 (S.I. 2020/90), regs. 1(2), 14(41)
- F2 Words in Art. 65(2) substituted (31.1.2020 in so far as it relates to the Common Agricultural Policy direct payment schemes) by The Financing, Management and Monitoring of Direct Payments to Farmers (Amendment) Regulations 2020 (S.I. 2020/90), regs. 1(2), 14(41)

#### Article 66

# Payment of aid in relation to checks of cross-compliance

With regard to checks of cross-compliance where such checks cannot be finalised before payments and annual premia referred to in Article 92 of Regulation (EU) No 1306/2013 are received by the beneficiary concerned, the amount due to be paid by the beneficiary as a result of any administrative penalty shall be recovered in accordance with Article 7 of this Regulation or by offsetting.

## Article 67

# Responsibility of the competent control authority

- 1. The responsibilities of the competent control authorities shall be as follows:
- the specialised control bodies shall bear the responsibility for carrying out the control (a) and checks on the respect of the requirements and standards in question;
- (b) the paying agencies shall bear the responsibility for fixing administrative penalties in individual cases in accordance with Chapter II of Title IV of Delegated Regulation (EU) No 640/2014 and Chapter III of this Title.
- By way of derogation from paragraph 1,  $[^{F3}Member\ States][^{F3}the\ relevant\ authority]$ may decide that the control and checks in relation to all or certain requirements, standards, acts, or areas of cross-compliance are to be carried out by the paying agency, provided that the [F4Member State][F4relevant authority] guarantees that the effectiveness of the control and checks is at least equal to that achieved when the control and checks are carried out by a specialised control body.

#### **Textual Amendments**

- Words in Art. 67(2) substituted (31.1.2020 in so far as it relates to the Common Agricultural Policy direct payment schemes) by The Financing, Management and Monitoring of Direct Payments to Farmers (Amendment) Regulations 2020 (S.I. 2020/90), regs. 1(2), 14(42)(a)
- F4 Words in Art. 67(2) substituted (31.1.2020 in so far as it relates to the Common Agricultural Policy direct payment schemes) by The Financing, Management and Monitoring of Direct Payments to Farmers (Amendment) Regulations 2020 (S.I. 2020/90), regs. 1(2), 14(42)(b)

## **Changes to legislation:**

There are outstanding changes not yet made to Commission Implementing Regulation (EU) No 809/2014. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

View outstanding changes

## Changes and effects yet to be applied to:

Regulation applied with mods. by S.R. 2021/181 reg. 2

# Changes and effects yet to be applied to the whole legislation item and associated provisions

- Title 5 revoked by S.I. 2023/816 Sch. Pt. 1 para. 1 Table 1
- Art. 1(a) omitted by S.I. 2019/765 reg. 5(2)(a)(i)
- Art. 1(b) words substituted by S.I. 2019/765 reg. 5(2)(a)(ii)
- Art. 1(c) omitted by S.I. 2020/1387 reg. 9(2)
- Art. 1(f)(g) amendment to earlier affecting provision S.I. 2019/765, reg. 5(2)(a)(iii) by S.I. 2020/1445 reg. 8(3)(a)
- Art. 1(g) omitted by S.I. 2019/765 reg. 5(2)(a)(iii)
- Art. 1(h) words omitted by virtue of S.I. 2019/765, reg. 5(2)(a)(iiia) (as inserted) by S.I. 2020/1445 reg. 8(3)(b)
- Art. 1(j) words omitted by virtue of S.I. 2019/765, reg. 5(2)(a)(iiib) (as inserted) by S.I. 2020/1445 reg. 8(3)(b)
- Art. 1(m) substituted by S.I. 2019/765, reg. 5(2)(a)(iv) (as substituted) by S.I. 2020/1445 reg. 8(3)(c)
- Art. 1(m) words substituted by S.I. 2019/765 reg. 5(2)(a)(iv) (This amendment not applied to legislation.gov.uk. Reg. 5(2)(a)(iv) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(3)(c))
- Art. 1(n) words omitted by virtue of S.I. 2019/765, reg. 5(2)(a)(v) (as inserted) by S.I. 2020/1445 reg. 8(3)(d)
- Art. 1(r)(s) revoked by S.I. 2023/816 Sch. Pt. 1 para. 1 Table 2
- Art. 6(2)(c) words substituted by S.I. 2021/400 reg. 4(3)
- Art. 6(2)(f) omitted by S.I. 2019/765, reg. 5(6)(b)(ii) (as substituted) by S.I. 2020/1445 reg. 8(8)
- Art. 6(2)(f)(v) omitted for specified purposes by S.S.I. 2020/460 reg. 5(2)(a)
- Art. 6(2)(f)(v) omitted for specified purposes by S.I. 2020/1513 reg. 7(3)(a)
- Art. 6(2)(f)(v) word substituted for specified purposes by S.I. 2020/1556 reg. 4(4)(a)
- Art. 6(2)(f)(iii)(iv) omitted by S.I. 2019/765 reg. 5(6)(b) (This amendment not applied to legislation.gov.uk. Reg. 5(6) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(8))
- art. 6(3)(b) omitted by S.R. 2021/40 reg. 6(2)(a)
- Art. 6(3)(b) omitted for specified purposes by S.S.I. 2020/460 reg. 5(2)(b)
- Art. 6(3)(b) omitted for specified purposes by S.I. 2020/1513 reg. 7(3)(b)
- Art. 6(3)(b) omitted for specified purposes by S.I. 2020/1556 reg. 4(4)(b)
- Art. 6(3)(c) omitted by S.I. 2019/765 reg. 5(6)(c) (This amendment not applied to legislation.gov.uk. Reg. 5(6) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(8))
- Art. 6(5) inserted by S.I. 2021/407 reg. 5(2)(c)
- Art. 8(3)(a) words substituted by S.I. 2019/765 reg. 5(8)(a)
- Art. 8(4)(b) words substituted by S.I. 2019/765 reg. 5(8)(b)
- Art. 11(1)(b)(c) words omitted by S.I. 2019/765, reg. 5(10)(a)(iii) (as substituted) by S.I. 2020/1445 reg. 8(9)(a)
- Art. 11(1)(c) words omitted for specified purposes by S.I. 2020/1513 reg. 7(4)
- Art. 14(1)(b)(g) words omitted by S.I. 2019/765, reg. 5(13)(a)(i) (as substituted) by S.I. 2020/1445 reg. 8(12)

- Art. 14(1)(c)(h) omitted by S.I. 2019/765, reg. 5(13)(a)(ii) (as substituted) by S.I. 2020/1445 reg. 8(12)
- Art. 14(1)(f) omitted by S.I. 2022/765 reg. 11(2)
- art. 14(1)(h) omitted by S.R. 2021/40 reg. 6(3)
- Art. 14(1)(h) omitted for specified purposes by S.I. 2020/1513 reg. 7(6)
- Art. 14(1)(h) omitted for specified purposes by S.I. 2020/1556 reg. 4(5)
- Art. 14(1a) inserted for specified purposes by S.I. 2022/1225 reg. 8(1)reg. 8(2)(b)
- Art. 15(1)(b) words omitted by S.I. 2019/765, reg. 5(15)(c)(i) (as substituted) by S.I. 2020/1445 reg. 8(13)
- Art. 15(1)(b) words omitted by S.I. 2019/765, reg. 5(15)(c)(ii) (as substituted) by S.I. 2020/1445 reg. 8(13)
- Art. 17(2)(a) omitted by S.I. 2019/765 reg. 5(16)(a)
- Art. 17(2)(b) omitted by S.I. 2019/765 reg. 5(16)(a)
- Art. 17(7)(c) words substituted by S.I. 2019/765 reg. 5(16)(c)(i) (This amendment not applied to legislation.gov.uk. Reg. 5(16)(b)-(d) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(13))
- Art. 21(1)(c) words omitted by S.I. 2019/765, reg. 5(19)(a)(iii) (as substituted) by S.I. 2020/1445 reg. 8(18)(i)
- Art. 21(1)(d) words substituted by S.I. 2019/765 reg. 5(19)(a) (This amendment not applied to legislation.gov.uk. Reg. 5(19)(a)(b) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(18)(i))
- Art. 21(1)(d) words substituted by S.I. 2019/765, reg. 5(19)(a)(iv) (as substituted) by S.I. 2020/1445 reg. 8(18)(i)
- Art. 21(1)(e) words omitted by S.I. 2019/765, reg. 5(19)(a)(v) (as substituted) by S.I. 2020/1445 reg. 8(18)(i)
- Art. 21(1)(f) words omitted by S.I. 2019/765, reg. 5(19)(a)(vi) (as substituted) by S.I. 2020/1445 reg. 8(18)(i)
- Art. 21(4)(a) words omitted by S.I. 2019/765, reg. 5(19)(c)(iii)(bb) (as substituted) by S.I. 2020/1445 reg. 8(18)(ii)
- Art. 24(1)(a) words omitted by virtue of S.I. 2019/765, reg. 5(22)(a)(i) (as substituted) by S.I. 2020/1445 reg. 8(20)
- Art. 24(1)(b) words omitted by S.I. 2019/765, reg. 5(22)(a)(ii)(bb) (as substituted) by S.I. 2020/1445 reg. 8(20)
- Art. 24(1)(b) words omitted by virtue of S.I. 2019/765, reg. 5(22)(a)(ii)(aa) (as substituted) by S.I. 2020/1445 reg. 8(20)
- Art. 24(1)(c) revoked by S.I. 2023/816 Sch. Pt. 1 para. 1 Table 2
- Art. 25(1) word omitted by S.S.I. 2020/349 reg. 7(2)(a)(ii)
- Art. 25(1) words inserted by S.S.I. 2020/349 reg. 7(2)(a)(ii)
- Art. 25(1) words omitted by S.S.I. 2020/349 reg. 7(2)(a)(i)
- Art. 25(2) words omitted by S.S.I. 2020/349 reg. 7(2)(b)
- Art. 28(1)(b) word omitted by S.I. 2019/765 reg. 5(25)(a)
- Art. 29(1)(a) word omitted by S.I. 2023/1430 Sch. 4 para. 7(6)(b)
- Art. 29(1)(a) words omitted by S.I. 2023/1430 Sch. 4 para. 7(6)(a)
- Art. 29(1)(a) words omitted by S.I. 2023/1430 Sch. 4 para. 7(6)(c)
- Art. 29(1)(a) words omitted by virtue of S.I. 2019/765, reg. 5(26)(a)(i) (as substituted) by S.I. 2020/1445 reg. 8(22)
- Art. 29(1)(b) omitted by virtue of S.I. 2019/765, reg. 5(26)(a)(ii) (as substituted) by S.I. 2020/1445 reg. 8(22)
- Art. 29(1)(c) words omitted by virtue of S.I. 2019/765, reg. 5(26)(a)(iii) (as substituted) by S.I. 2020/1445 reg. 8(22)
- Art. 29(1)(d) omitted by S.I. 2019/765, reg. 5(26)(a)(iv) (as substituted) by S.I. 2020/1445 reg. 8(22)
- Art. 29(1)(d) word substituted for specified purposes by S.I. 2020/1556 reg. 4(12)
- Art. 29(1)(e) omitted for specified purposes by S.I. 2020/1513 reg. 7(11)(a)
- Art. 29(1)(e) words omitted by virtue of S.I. 2019/765, reg. 5(26)(a)(v) (as substituted) by S.I. 2020/1445 reg. 8(22)

- Art. 29(1)(f) omitted by S.I. 2019/765 reg. 5(26)(a) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 29(1)(f)(g) omitted by virtue of S.I. 2019/765, reg. 5(26)(a)(vi) (as substituted) by S.I. 2020/1445 reg. 8(22)
- Art. 29(1)(g) omitted by S.I. 2019/765 reg. 5(26)(a) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 30(a) word substituted by S.S.I. 2020/349 reg. 7(3)(a)(i)
- Art. 30(a) words omitted by S.S.I. 2020/349 reg. 7(3)(a)(ii)
- Art. 30(a) words omitted by S.I. 2019/765 reg. 5(27)(a)(i) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 30(a) words substituted by S.I. 2019/765 reg. 5(27)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 30(b) omitted for specified purposes by S.S.I. 2020/460 reg. 5(5)
- Art. 30(d) modified by S.I. 2020/531 reg. 2(c)
- Art. 30(d) word substituted by S.S.I. 2020/349 reg. 7(3)(b)(i)
- Art. 30(f) omitted by S.I. 2019/765 reg. 5(27)(b) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 30(h) omitted by S.I. 2019/765 reg. 5(27)(b) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 30a inserted by S.S.I. 2020/244 reg. 2(4)
- Art. 30a inserted by S.I. 2020/575 reg. 2(4)
- Art. 31(b)(ii) words omitted by S.I. 2019/765 reg. 5(28)(a)(i) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 31(1)(a) modified by S.I. 2020/531 reg. 2(d)
- Art. 31(1)(a) word substituted by S.S.I. 2020/349 reg. 7(4)(a)
- Art. 31(1)(b)(i) word substituted by S.S.I. 2020/349 reg. 7(4)(b)(ii)
- Art. 31(1)(b)(i) words omitted by S.S.I. 2020/349 reg. 7(4)(b)(i)
- Art. 31(1)(b)(ii) word substituted by S.S.I. 2020/349 reg. 7(4)(b)(ii)
- Art. 31(1)(b)(ii) words omitted by S.S.I. 2020/349 reg. 7(4)(b)(i)
- Art. 31(1)(c) words omitted by S.I. 2019/765 reg. 5(28)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 31(1)(d) words omitted by S.I. 2019/765 reg. 5(28)(a)(iii) (This amendment not applied to legislation.gov.uk. Reg. 5(26)-(28) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(22))
- Art. 31a inserted by S.S.I. 2020/244 reg. 2(5)
- Art. 31a inserted by S.I. 2020/575 reg. 2(5)
- art. 31a omitted by S.R. 2021/42 reg. 8(8)
- Art. 32a inserted by S.I. 2021/400 reg. 4(10)
- Art. 33a(3) inserted by S.S.I. 2020/244 reg. 2(6)
- Art. 33a(3) inserted by S.I. 2020/575 reg. 2(6)
- art. 34(2)(a) words substituted by S.R. 2021/40 reg. 6(6)(a)
- Art. 34(2)(a) words substituted by S.S.I. 2020/349 reg. 7(7)(a)(i)
- Art. 34(2)(b) words substituted by S.S.I. 2020/349 reg. 7(7)(a)(ii)
- Art. 34(5)(c) words substituted by S.I. 2019/765 reg. 5(30)(c) (This amendment not applied to legislation.gov.uk. Reg. 5(30)-(32) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(24))
- Art. 34(5)(c) words substituted by S.I. 2019/765, reg. 5(30)(e) (as substituted) by S.I. 2020/1445 reg. 8(24)
- Art. 34(5)(d) omitted by S.S.I. 2020/349 reg. 7(7)(b)
- Art. 34(5)(d) word inserted by S.I. 2021/400 reg. 4(11)(c)(ii)

- Art. 34(5)(d) word inserted for specified purposes by S.I. 2020/1556 reg. 4(18)(c)(ii)
- Art. 37(3)(c) words substituted by S.I. 2019/765 reg. 5(32)(a)(iii) (This amendment not applied to legislation.gov.uk. Reg. 5(30)-(32) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(24))
- Art. 40(a) words omitted by virtue of S.I. 2019/765, reg. 5(35)(b) (as substituted) by
  S.I. 2020/1445 reg. 8(26)
- Art. 40a(2)(a) words omitted by virtue of S.I. 2019/765, reg. 5(36)(a)(i) (as substituted) by S.I. 2020/1445 reg. 8(26)
- Art. 40a(2)(b) omitted by virtue of S.I. 2019/765, reg. 5(36)(a)(ii) (as substituted) by
  S.I. 2020/1445 reg. 8(26)
- Art. 40a(2)(b) words substituted by S.I. 2019/765 reg. 5(36) (This amendment not applied to legislation.gov.uk. Reg. 5(34)-(36) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(26))
- Art. 41(a)(f) words omitted by virtue of S.I. 2019/765, reg. 5(38)(za)(i) (as inserted) by S.I. 2020/1445 reg. 8(27)
- Art. 41(a) words omitted by virtue of S.I. 2019/765, reg. 5(38)(za)(ii) (as inserted) by S.I. 2020/1445 reg. 8(27)
- Art. 41(h) words omitted by virtue of S.I. 2019/765, reg. 5(38)(za)(iii) (as inserted) by S.I. 2020/1445 reg. 8(27)
- Art. 41(1)(h) words revoked by S.I. 2023/816 Sch. Pt. 1 para. 1 Table 2
- Art. 43(1)(a) words omitted by virtue of S.I. 2019/765, reg. 5(40)(za)(i)(aa) (as inserted) by S.I. 2020/1445 reg. 8(29)
- Art. 43(1)(a) words omitted by virtue of S.I. 2019/765, reg. 5(40)(za)(i)(bb) (as inserted) by S.I. 2020/1445 reg. 8(29)
- Art. 43(1)(e) words omitted by virtue of S.I. 2019/765, reg. 5(40)(za)(ii) (as inserted) by S.I. 2020/1445 reg. 8(29)
- Art. 48(2)(e) sum substituted by S.I. 2021/400 reg. 4(22)(a)(ii)(aa)
- Art. 48(2)(e) words omitted by S.I. 2021/400 reg. 4(22)(a)(ii)(bb)
- Art. 48(5)(a) omitted by S.I. 2021/400 reg. 4(22)(b)(ii)
- Art. 50(4)(b) words omitted by S.I. 2019/765 reg. 5(44)(b)
- Art. 64(a) word omitted by S.I. 2019/765 reg. 5(51) (This amendment not applied to legislation.gov.uk. Reg. 5(51) substituted immediately before IP completion day by S.I. 2020/1445, reg. 8(31))
- Art. 64(a) word omitted by virtue of S.I. 2019/765, reg. 5(51)(a) (as substituted) by S.I. 2020/1445 reg. 8(31)
- Art. 64(d) words omitted by virtue of S.I. 2019/765, reg. 5(51)(b) (as substituted) by S.I. 2020/1445 reg. 8(31)
- Art. 73(4)(a) words omitted by virtue of S.I. 2019/765, reg. 5(58)(b)(i) (as substituted) by S.I. 2020/1445 reg. 8(36)
- Art. 73(4)(b) and word omitted by virtue of S.I. 2019/765, reg. 5(58)(b)(ii) (as substituted) by S.I. 2020/1445 reg. 8(36)