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## STATUTORY INSTRUMENTS

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# 2013 No. 504

## The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013

### PART 8

#### Consequential amendments

#### Amendments to the [<sup>F1</sup>Uncertificated] Securities Regulations 2001

**36.**—(1) The [<sup>F2</sup>Uncertificated] Securities Regulations 2001(1) are amended as follows.

(2) In Schedule 1, in paragraph 5(7), in the definition of “clearing house”—

(a) in paragraph (b) omit “or”;

(b) after paragraph (b) insert—

“(ba) which is an EEA central counterparty or a third country central counterparty within the meaning of section 285(1) of the 2000 Act; or”.

**F1** Word in [reg. 36](#) heading substituted (18.3.2015) by [The Financial Services and Markets Act 2000 \(Over the Counter Derivatives, Central Counterparties and Trade Repositories\) \(Amendment\) Regulations 2015 \(S.I. 2015/348\)](#), [regs. 1, 2\(2\)\(a\)](#)

**F2** Word in [reg. 36\(1\)](#) substituted (18.3.2015) by [The Financial Services and Markets Act 2000 \(Over the Counter Derivatives, Central Counterparties and Trade Repositories\) \(Amendment\) Regulations 2015 \(S.I. 2015/348\)](#), [regs. 1, 2\(2\)\(b\)](#)

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#### Commencement Information

**I1** Reg. 36 in force at 1.4.2013 in accordance with [reg. 1\(2\)](#)

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)