## **SCHEDULE 4**

Approval of battery treatment operators and exporters

## PART 1

## Information to be included in an application for approval

- **1.** The name of the battery treatment operator or exporter.
- **2.** The registered office address and telephone number of the battery treatment operator or exporter or, if the battery treatment operator or exporter is not a company registered in the United Kingdom, its principal place of business and telephone number in the United Kingdom.
- **3.** Where the battery treatment operator or exporter is a partnership or a limited liability partnership, the names of all the partners or members of the partnership, as the case may be.
- **4.** Where the battery treatment operator or exporter is a body other than a company registered in the United Kingdom, partnership or limited liability partnership, the name of a person having control or management of that body.
  - **5.** An address for service of notices if different from that referred to in paragraph 2.
  - **6.** The year for which approval is applied for.
  - 7. In the case of an application made by a battery treatment operator for approval to—
    - (a) issue batteries evidence notes in respect of waste portable batteries accepted for treatment and recycling; or
    - (b) treat and recycle waste industrial or automotive batteries accepted for treatment and recycling,

the name and address of each site in relation to which the battery treatment operator is applying for that approval.

- 8. In the case of an application made by an exporter—
  - (a) the name and address of each site to which it is proposed to export batteries for treatment or recycling;
  - (b) in respect of each site named in accordance with sub-paragraph (a)—
    - (i) the amount in tonnes of waste batteries that it is proposed to export to that site for treatment or recycling by reference to each category of battery; and
    - (ii) the applicable treatment or recycling operations carried out by that site.
  - (c) whether approval is sought to issue batteries evidence notes.
- **9.** Confirmation of whether or not the battery treatment operator or exporter has been convicted of an offence under these Regulations; and where a positive confirmation is given an explanation of how the contravention of these Regulations which resulted in the conviction occurred and what steps have been taken to ensure such a contravention will not occur in the future.