

## SCHEDULE 3

### PART 1

#### Notifications to the service regulator

1. Any revision to the statement of purpose, 28 days prior to the revised statement of purpose coming into effect.
2. Service provider (individual or organisation) changes their name.
3. Where the service provider is a body corporate, any change in the—
  - (a) directors,
  - (b) trustees, or
  - (c) members of the managing committee,of the body corporate.
4. Where the service provider is an unincorporated body, any change in the persons who are concerned in the management and control of the body.
5. Where the service provider is an individual, the appointment of a trustee in bankruptcy in relation to that individual.
6. Where the service provider is a body corporate or partnership, the appointment of a receiver, manager, liquidator or provisional liquidator in relation to that body corporate or partnership.
7. Where the service provider is a partnership, death of one of the partners.
8. Where the service provider is a partnership, any change in the partners.
9. Expected absence of the responsible individual for 28 days or more, 7 days prior to commencement of the absence.
10. The unexpected absence of the responsible individual, no later than 7 days after the commencement of the absence.
11. Unexpected absence of the responsible individual for 28 days or more, where no prior notification has been given, immediately on the expiry of 28 days following the commencement of the absence.
12. Return from absence of the responsible individual.
13. The responsible individual ceases, or proposes to cease, being the responsible individual for the service.
14. Any abuse or allegation of abuse in relation to an individual that involves the service provider and/or a member of staff and/or a volunteer.
15. Service provider, responsible individual or the appointed manager convicted of a criminal offence.
16. Any allegation of misconduct by a member of staff.
17. Any occurrence of category 3 or 4 pressure damage or unstageable pressure damage.
18. Serious accident or injury to an individual.
19. The outbreak of any infectious disease.
20. Any incident reported to the police.

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

21. Any events which prevent, or could prevent, the provider from continuing to provide the service safely.
22. Where accommodation is provided, the death of an individual and the circumstances.
23. Any request to a supervisory body in relation to the application of the deprivation of liberty safeguards in accordance with the Mental Capacity Act 2005(1).
24. The premises are, or are proposed to be, significantly altered or extended.
25. Additional premises are, or are proposed, to be acquired.
26. Any proposal to change the address of the principal office, 28 days prior to the change taking place.
27. Any referral to the DBS pursuant to the Safeguarding Vulnerable Groups Act 2006.
28. Where the service provider, responsible individual or appointed manager is charged with any offence specified in the Schedule to the Safeguarding Vulnerable Groups Act 2006 (Prescribed Criteria and Miscellaneous Provisions) Regulations 2009(2), notice of the offence charged and the place of charge.
29. Instigation and subsequent outcome of any child or adult protection enquiry involving an individual accommodated by the service.
30. Any allegation that an individual accommodated by the service has committed a serious offence.
31. Any incident of sexual or criminal exploitation of an individual or suspected sexual or criminal exploitation of an individual.
32. Any incident where an individual goes missing or has an unexplained absence.

---

(1) 2005 c. 9.  
(2) S.I. 2009/37.