
WELSH STATUTORY INSTRUMENTS

2019 No. 762 (W. 145)

SOCIAL CARE, WALES

The Regulated Adoption Services (Service Providers
and Responsible Individuals) (Wales) Regulations 2019

Made - - - - 29 March 2019

Coming into force 29 April 2019

THE REGULATED ADOPTION SERVICES
(SERVICE PROVIDERS AND RESPONSIBLE
INDIVIDUALS) (WALES) REGULATIONS 2019

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3. Exceptions

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Status: This is the original version (as it was originally made).

PART 4

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54. Offences – service providers
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Status: This is the original version (as it was originally made).

PART 17

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- 56. Appointment of liquidators etc.
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PART 18

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- 58. Designation of responsible individual by Welsh Ministers
Signature

SCHEDULE

1

PART 1 — Information and documentation to be available in respect of persons working in regulated services

- 1. Proof of identity including a recent photograph.
- 2. Where required for the purposes of an exempted question in...
- 3. Where required for the purposes of an exempted question asked...
- 4. Two written references, including a reference from the last employer,...
- 5. Where a person has previously worked in a position whose...
- 6. Documentary evidence of any relevant qualification.
- 7. Where relevant, documentary evidence of registration with SCW.
- 8. A full employment history, together with a satisfactory written explanation...
- 9. Evidence of satisfactory linguistic ability for the purposes of providing...
- 10. Details of registration with or membership of any professional body...

PART 2 — Interpretation of Part 1

- 11. For the purposes of paragraphs 2 and 3 of Part...

SCHEDULE

2

Records to be kept

- 1. In respect of each individual— (a) full name;
- 2. A record of any charges by the service provider to...
- 3. A record of all complaints made by individuals or their...
- 4. A record of all persons working at the service, which...

SCHEDULE

3

Notifications by the service provider

PART 1 — Notifications to the service regulator

- 1. Any revision to the statement of purpose, 28 days prior...
- 2. Where the service provider (individual or organisation) changes their name....
- 3. Where the service provider is a company, any change in...
- 4. Where the service provider is an individual, the appointment of...
- 5. Where the service provider is a body corporate or partnership,...
- 6. Where the service provider is a partnership, death of one...
- 7. Where the service provider is a partnership, any change in...
- 8. Expected absence of the responsible individual for 28 days or...

9. The unexpected absence of the responsible individual, no later than...
10. Unexpected absence of the responsible individual for 28 days or...
11. Return from absence of the responsible individual.
12. The responsible individual ceases, or proposes to cease, being the...
13. Any abuse or allegation of abuse in relation to an...
14. Service provider, responsible individual or appointed manager convicted of criminal...
15. Any allegation of misconduct by a member of staff.
16. Any incident reported to the police.
17. Any events which prevent, or could prevent, the provider from...
18. Any proposal to change the address of the principal office,...
19. Any referral to the DBS pursuant to the Safeguarding Vulnerable...
20. Where the service provider, responsible individual or appointed manager is...
21. Any incident of child sexual or criminal exploitation or suspected...
22. The death of a child placed for adoption by the...
23. The instigation and outcome of any child protection enquiry involving...
 - PART 2 — Notification to the Local Health Board/clinical commissioning group and National Health Service Commissioning Board by the provider of an adoption society
24. Death of a child placed for adoption by the service....
25. Any serious accident or injury sustained by a child placed...
 - PART 3 — Notification to the Local Health Board/clinical commissioning group and National Health Service Commissioning Board by the provider of an adoption support agency or of an adoption society which provides adoption support services
26. The death, serious accident or injury of a child in...
 - PART 4 — Notification to the placing agency
27. Any serious complaint about a prospective adopter approved by the...
 - PART 5 — Notifications to the area authority
28. The death of a child placed for adoption by the...
29. Any serious accident or injury sustained by a child placed...
30. Any serious complaint about a prospective adopter approved by the...
31. Any serious complaint about a prospective adopter approved by the...
32. The instigation and outcome of any child protection enquiry involving...
 - PART 6 — Notifications to the placing authority by the provider of an adoption society
33. The death of a child placed for adoption by the...
34. Any serious accident or injury sustained by a child placed...
35. Any serious complaint about a prospective adopter approved by the...
36. The instigation and outcome of any child protection enquiry involving...
 - PART 7 — Notifications to the placing authority by the provider of an adoption support agency or adoption society which provides adoption support services
37. The death of a child in the course of receiving...
38. Any serious accident or injury sustained by a child in...

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- 39. The instigation and outcome of any child protection enquiry involving...
PART 8 — Notification to the relevant authority
- 40. Death or any serious accident or injury sustained by a...
PART 9 — Notification to the police
- 41. Any incident of child sexual or criminal exploitation or suspected...
SCHEDULE Notifications by the responsible individual
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- 1. The appointment of a manager (see regulation 37(1)).
- 2. The expected absence of the appointed manager for 28 days...
- 3. The unexpected absence of the appointed manager, no later than...
- 4. The unexpected absence of appointed manager for 28 days or...
- 5. Return from absence of appointed manager.
- 6. Interim arrangements where the manager is absent for longer than...
- 7. Someone other than the appointed manager is proposing to manage...
- 8. The appointed manager ceases, or proposes to cease, managing the...
Explanatory Note