

SCHEDULE 3

Regulation 32

Notifications by the service provider

1. Any revision to the statement of purpose, 28 days prior to the revised statement of purpose coming into effect.

Commencement Information

I1 Sch. 3 para. 1 in force at 29.4.2019, see [reg. 1\(2\)](#)

2. Service provider (individual or organisation) changes their name.

Commencement Information

I2 Sch. 3 para. 2 in force at 29.4.2019, see [reg. 1\(2\)](#)

[^{F1}3. Where, on or after 1 April 2020, the service provider is a body corporate, any change in the—

- (a) directors,
- (b) trustees, or
- (c) members of the managing committee,
of the body corporate.]

Textual Amendments

F1 Sch 3, paras. 3, 3A substituted for Sch. 3 para. 3 (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(a)**

[^{F1}3A. Where, on or after 1 April 2020, the service provider is an unincorporated body, any change in the persons who are concerned in the management and control of the body.]

Textual Amendments

F1 Sch 3, paras. 3, 3A substituted for Sch. 3 para. 3 (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(a)**

4. Where the service provider is an individual, the appointment of a trustee in bankruptcy in relation to that individual.

Commencement Information

I3 Sch. 3 para. 4 in force at 29.4.2019, see [reg. 1\(2\)](#)

5. Where the service provider is a body corporate or partnership, the appointment of a receiver, manager, liquidator or provisional liquidator in relation to that [^{F2}body corporate] or partnership.

Changes to legislation: There are currently no known outstanding effects for the *The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019, SCHEDULE 3. (See end of Document for details)*

Textual Amendments

- F2** Words in Sch. 3 para. 5 substituted (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(b)**

Commencement Information

- I4** Sch. 3 para. 5 in force at 29.4.2019, see [reg. 1\(2\)](#)

6. Where the service provider is a partnership, death of one of the partners.

Commencement Information

- I5** Sch. 3 para. 6 in force at 29.4.2019, see [reg. 1\(2\)](#)

7. Where the service provider is a partnership, any change in the partners.

Commencement Information

- I6** Sch. 3 para. 7 in force at 29.4.2019, see [reg. 1\(2\)](#)

8. Expected absence of the responsible individual for 28 days or more, 7 days prior to commencement of the absence.

Commencement Information

- I7** Sch. 3 para. 8 in force at 29.4.2019, see [reg. 1\(2\)](#)

9. The unexpected absence of the responsible individual, no later than 7 days after the commencement of the absence.

Commencement Information

- I8** Sch. 3 para. 9 in force at 29.4.2019, see [reg. 1\(2\)](#)

10. Unexpected absence of the responsible individual for 28 days or more, where no prior notification has been given, immediately on the expiry of 28 days following the commencement of the absence.

Commencement Information

- I9** Sch. 3 para. 10 in force at 29.4.2019, see [reg. 1\(2\)](#)

11. Return from absence of the responsible individual.

Commencement Information

- I10** Sch. 3 para. 11 in force at 29.4.2019, see [reg. 1\(2\)](#)

Changes to legislation: There are currently no known outstanding effects for the *The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019, SCHEDULE 3*. (See end of Document for details)

12. The responsible individual ceases, or proposes to cease, being the responsible individual for the service.

Commencement Information

I11 Sch. 3 para. 12 in force at 29.4.2019, see [reg. 1\(2\)](#)

13. Any abuse or allegation of abuse in relation to an individual that involves the service provider and/or a member of staff.

Commencement Information

I12 Sch. 3 para. 13 in force at 29.4.2019, see [reg. 1\(2\)](#)

14. Service provider, responsible individual or appointed manager convicted of a criminal offence.

Commencement Information

I13 Sch. 3 para. 14 in force at 29.4.2019, see [reg. 1\(2\)](#)

15. Any allegation of misconduct by a member of staff.

Commencement Information

I14 Sch. 3 para. 15 in force at 29.4.2019, see [reg. 1\(2\)](#)

16. Any incident reported to the police.

Commencement Information

I15 Sch. 3 para. 16 in force at 29.4.2019, see [reg. 1\(2\)](#)

17. Any events which prevent, or could prevent, the provider from continuing to provide the service safely.

Commencement Information

I16 Sch. 3 para. 17 in force at 29.4.2019, see [reg. 1\(2\)](#)

18. Any proposal to change the address of the principal office, 28 days prior to the change taking place.

Commencement Information

I17 Sch. 3 para. 18 in force at 29.4.2019, see [reg. 1\(2\)](#)

[^{F3}18A. Any change of telephone number or electronic mail address for the service.]

Changes to legislation: There are currently no known outstanding effects for the *The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019, SCHEDULE 3*. (See end of Document for details)

Textual Amendments

F3 Sch. 3 para. 18A inserted (31.12.2023) by [The Register of Service Providers \(Prescribed Information and Miscellaneous Amendments\) \(Wales\) Regulations 2023 \(S.I. 2023/1325\)](#), regs. 1(2), **12**

19. Any referral to the DBS pursuant to the Safeguarding Vulnerable Groups Act 2006 ^{M1}.

Commencement Information

I18 Sch. 3 para. 19 in force at 29.4.2019, see [reg. 1\(2\)](#)

Marginal Citations

M1 [2006 c. 47](#).

20. Where the service provider, responsible individual or appointed manager is charged with any offence specified in the Schedule to the Safeguarding Vulnerable Groups Act 2006 (Prescribed Criteria and Miscellaneous Provisions) Regulations 2009 ^{M2}, notice of the offence charged and the place of charge.

Commencement Information

I19 Sch. 3 para. 20 in force at 29.4.2019, see [reg. 1\(2\)](#)

Marginal Citations

M2 [S.I. 2009/37](#).

21. Incident of child sexual or criminal exploitation or suspected child sexual or criminal exploitation.

Commencement Information

I20 Sch. 3 para. 21 in force at 29.4.2019, see [reg. 1\(2\)](#)

Changes to legislation:

There are currently no known outstanding effects for the The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019, SCHEDULE 3.