

## WELSH STATUTORY INSTRUMENTS

### 2019 No. 165

## The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019

### PART 13

Requirements on responsible individuals for ensuring the compliance of the service

#### **Duty to ensure there are systems in place to record incidents and complaints**

47. The responsible individual must ensure that there are effective systems in place to record incidents, complaints and matters on which notifications must be made in accordance with regulations 32 and 54.

#### **Commencement Information**

I1 [Reg. 47](#) in force at 29.4.2019, see [reg. 1\(2\)](#)

#### **Duty to ensure there are systems in place for keeping of records**

48. The responsible individual must ensure that there are effective systems in place in relation to the keeping of records, which include systems for ensuring the accuracy and completeness of records which are required to be kept by regulation 31.

#### **Commencement Information**

I2 [Reg. 48](#) in force at 29.4.2019, see [reg. 1\(2\)](#)

#### **Duty to ensure policies and procedures are up to date**

49. The responsible individual must put suitable arrangements in place to ensure that the service provider's policies and procedures are kept up to date, having regard to the statement of purpose.

#### **Commencement Information**

I3 [Reg. 49](#) in force at 29.4.2019, see [reg. 1\(2\)](#)

**Changes to legislation:**

There are currently no known outstanding effects for the The Regulated Advocacy Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019, PART 13.