

## SCHEDULE 3

### Notifications by the service provider

#### PART 1

##### Notifications to the service regulator in respect of all services

1. Any revision to the statement of purpose, 28 days prior to the revised statement of purpose coming into effect.

2. Service provider (individual or organisation) changes their name.

[<sup>F1</sup>3. Where, on or after 1 April 2020, the service provider is a body corporate, any change in the—

- (a) directors,
- (b) trustees, or
- (c) members of the managing committee,

of the body corporate.

##### Textual Amendments

**F1** Sch. 3 paras. 3, 3A substituted for Sch. 3 para. 3 (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(a)**

3A. Where, on or after 1 April 2020, the service provider is an unincorporated body, any change in the persons who are concerned in the management and control of the body.]

##### Textual Amendments

**F1** Sch. 3 paras. 3, 3A substituted for Sch. 3 para. 3 (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(a)**

4. Where the service provider is an individual, the appointment of a trustee in bankruptcy in relation to that individual.

5. Where the service provider is a body corporate or partnership, the appointment of a receiver, manager, liquidator or provisional liquidator in relation to that [<sup>F2</sup>body corporate] or partnership.

##### Textual Amendments

**F2** Words in Sch. 3 para. 5 substituted (1.4.2020) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 and Regulated Services \(Miscellaneous Amendments\) Regulations 2020 \(S.I. 2020/389\)](#), regs. 1(2), **9(b)**

6. Where the service provider is a partnership, death of one of the partners.

7. Where the service provider is a partnership, any change in the partners.

*Changes to legislation: There are currently no known outstanding effects for the The Regulated Services (Service Providers and Responsible Individuals) (Wales) Regulations 2017, PART 1. (See end of Document for details)*

8. Expected absence of the responsible individual for 28 days or more, 7 days prior to commencement of the absence.
9. The unexpected absence of the responsible individual, no later than 7 days after the commencement of the absence.
10. Unexpected absence of the responsible individual for 28 days or more, where no prior notification has been given, immediately on the expiry of 28 days following the commencement of the absence.
11. Return from absence of the responsible individual.
12. The responsible individual ceases, or proposes to cease, being the responsible individual for the service.
13. Any abuse or allegation of abuse in relation to an individual that involves the service provider and/or a member of staff [<sup>F3</sup>and/or a volunteer].

**Textual Amendments**

**F3** Words in Sch. 3 para. 13 added (1.4.2019) by [The Regulated Services \(Service Providers and Responsible Individuals\) \(Wales\) \(Amendment\) Regulations 2019 \(S.I. 2019/757\)](#), regs. 1(2), **17(a)**

14. Service provider, responsible individual or appointed manager convicted of a criminal offence.
15. Any allegation of misconduct by a member of staff.
16. Any occurrence of [<sup>F4</sup>category 3 or 4 pressure damage or unstageable pressure damage].

**Textual Amendments**

**F4** Words in Sch. 3 para. 16 substituted (1.4.2019) by [The Regulated Services \(Service Providers and Responsible Individuals\) \(Wales\) \(Amendment\) Regulations 2019 \(S.I. 2019/757\)](#), regs. 1(2), **17(b)**

17. Serious accident [<sup>F5</sup>or injury to] an individual.

**Textual Amendments**

**F5** Words in Sch. 3 para. 17 substituted (1.4.2019) by [The Regulated Services \(Service Providers and Responsible Individuals\) \(Wales\) \(Amendment\) Regulations 2019 \(S.I. 2019/757\)](#), regs. 1(2), **17(c)**

18. The outbreak of any infectious disease.
19. Any incident reported to the police.
20. Any events which prevent, or could prevent, the provider from continuing to provide the service safely.
21. Where accommodation is provided, the death of an individual and the circumstances.
22. Any request to a supervisory body in relation to the application of the deprivation of liberty safeguards (DOLS).
23. The premises are, or are proposed to be, significantly altered or extended.
24. Additional premises are, or are proposed to be acquired.

**25.** Any proposal to change the address of the principal office, 28 days prior to the change taking place.

[<sup>F6</sup>**25A.** Any change of telephone number or electronic mail address for the service.]

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**Textual Amendments**

**F6** Sch. 3 para. 25A inserted (31.12.2023) by The Register of Service Providers (Prescribed Information and Miscellaneous Amendments) (Wales) Regulations 2023 (S.I. 2023/1325), regs. 1(2), **8**

**Changes to legislation:**

There are currently no known outstanding effects for the The Regulated Services (Service Providers and Responsible Individuals) (Wales) Regulations 2017, PART 1.