

## SCHEDULE 1

Regulation 2

### Amendments to the National Health Service (General Medical Services Contracts) Regulations 2015

#### Alternative premises for remote provision of services

1. In regulation 3(1) (interpretation), at the appropriate places, insert—
  - ““GPIT Operating Model” means the document entitled “Securing Excellence in Primary Care (GP) Digital Services: The Primary Care (GP) Digital Services Operating Model 2021-23 V5” issued by NHS England(1);”;
  - ““remote consultation” means a consultation under the contract in which a patient, or their representative, participates by any means permitted under the contract, other than in person;”;
  - ““remote service” means a service under the contract which is—
    - (a) an online consultation under regulation 71ZD;
    - (b) a secure electronic communication under regulation 71ZE;
    - (c) a video consultation under regulation 71ZF;
    - (d) a telephone consultation;
    - (e) an electronic prescription;
    - (f) any other service which can be provided through a digital or telecommunications method, including administrative tasks in support of the contract;”.
2. In regulation 20(4) (services: general)—
  - (a) after sub-paragraph (a), omit “or”;
  - (b) at the end of sub-paragraph (b), insert—
    - “; or
    - (c) premises where services are provided under regulation 20A (services: remote provision outside practice premises).”.
3. After regulation 20 insert—

**“Services: remote provision outside practice premises**

**20A.**—(1) Without prejudice to regulation 17(7) (essential services) and paragraph 5 of Schedule 3 (attendance outside practice premises), the contractor and any sub-contractor may provide a remote service from a location which does not constitute practice premises, if the requirements in paragraph (2) are met.

  - (2) The requirements referred to in paragraph (1) are that—
    - (a) the service is provided from an appropriate location;
    - (b) the service is provided through an appropriate digital or telecommunications method; and
    - (c) the service is appropriate for provision outside of practice premises.

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(1) The document, published in July 2022, which sets out the commissioning framework for the provision of general practice digital services, is available at: <https://www.england.nhs.uk/publication/securing-excellence-in-primary-care-gp-digital-services-the-primary-care-gp-digital-services-operating-model-2021-2023>. The document can be obtained in alternative formats by telephone (on 0300 311 22 33), by email ([England.contactus@nhs.net](mailto:England.contactus@nhs.net)) or by writing to NHS England, PO Box 16738, Redditch, B97 9PT.

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- (3) For the purposes of paragraph (2)(a), a location is not appropriate if—
    - (a) the location or its environment is not conducive to ensuring the confidentiality of patient information, in connection with the service to be provided from that location;
    - (b) the location or its environment is not conducive to ensuring appropriate provision of the service from that location.
  - (4) For the purposes of paragraph (2)(b), a digital or telecommunications method is appropriate if it meets—
    - (a) the requirements in the GPIT Operating Model relevant to that method, including any requirements as to software, or
    - (b) requirements which are equivalent in their effect to the relevant requirements in the GPIT Operating Model.
  - (5) For the purposes of paragraph (2)(c) the service is not appropriate for provision outside of practice premises if—
    - (a) it would not be clinically appropriate for the patient on that occasion; or
    - (b) it is otherwise not appropriate to the needs or circumstances of the patient.
  - (6) For the purposes of paragraph (3)(a), “patient information” means information which relates to the physical or mental health or condition of a patient, to the diagnosis of their condition, to their care and treatment, or information which is to any extent derived, directly or indirectly, from such information.”.
4. In regulation 67(3) (patient records)—
    - (a) for sub-paragraph (a), substitute—
      - “(a) the computer system upon which the contractor proposes to keep the records meets the requirements set out in the GPIT Operating Model;”;
    - (b) in sub-paragraph (b), for “as accredited in accordance with sub-paragraph (a)” substitute “and compliant with the GPIT Operating Model”.
  5. In regulation 70(3) (clinical correspondence: requirement for NHS number), in the definition of “clinical correspondence”, after “of” insert “the provision of a remote service or”.
  6. In regulation 71ZG (meaning of “appropriate software” for the purposes of regulations 71ZD, 71ZE and 71ZF), omit paragraph (2).
  7. In Schedule 3 (other contractual terms)—
    - (1) for paragraph 4(2)(b) (attendance at practice premises), substitute—
      - “(b) the patient is—
        - (i) offered an appointment, for a time which is appropriate and reasonable having regard to all the circumstances, to attend the contractor’s practice premises again or participate in a telephone or video consultation; or
        - (ii) invited to make a request via an online consultation system, and the patient’s health would not thereby be jeopardised.”;
    - (2) in paragraph 6(1) (newly registered patients), after “in” insert “a remote consultation or”;
    - (3) in paragraph 10(2) (patients aged 75 and over), after “premises” insert “or to participate in a remote consultation”;
    - (4) in paragraph 11A (NHS e-Referral Service (e-RS)), omit “premises” wherever it appears;
    - (5) in paragraph 15(2)(c)(i) (duty of co-operation), omit “premises”;

(6) in paragraph 44(3)(d) (sub-contracting of clinical matters), for “for the provision of services” substitute “as practice premises”;

(7) in paragraph 45(4)(b) (sub-contracting out of hours services), for “for the provision of services” substitute “as practice premises”; and

(8) in paragraph 48(j) (information to be included in practice leaflets), before “premises” insert “practice”.

### **Pay transparency**

**8.** In regulation 3(1) (interpretation), in the definition of “contract”, after “regulation 96” insert “and in the definitions of “contract of engagement” and “third party contract” in regulation 27AA”.

**9.** In regulation 27A (disclosure of information about NHS earnings: contractors and sub-contractors)—

(1) in paragraph (3)—

(i) in sub-paragraph (b) omit “subject to paragraph (ba),”;

(ii) in sub-paragraph (b), in paragraph (i), for “2020” substitute “2022”;

(iii) omit sub-paragraph (ba);

(iv) in sub-paragraph (c), omit paragraph (i);

(2) for paragraph (4), substitute—

“(4) For the purposes of paragraph (3)(a) “the disclosure date”, in relation to a relevant financial year, is 30<sup>th</sup> April in the financial year which begins immediately after the end of the next financial year.”;

(3) in paragraph (8)(b), for “this regulation comes into force” substitute “the term in paragraph (7) is incorporated into the contract”;

(4) at the end of paragraph (9)(a), omit “and”;

(5) in paragraph (9)(b)(i), after “I’s” insert “NHS”; and

(6) at the end of paragraph (9)(b), insert—

“, and

(c) a term which requires S to use reasonable endeavours to ensure that any sub-contract entered into before the term in sub-paragraph (b) was incorporated into that sub-contract is amended to—

(i) include the term in paragraph (i) of sub-paragraph (b) in a sub-contract between S and I, and

(ii) include the term in paragraph (ii) of sub-paragraph (b) in a sub-contract between S and a partnership.”.

**10.** After regulation 27A insert—

#### **“Disclosure of information about NHS earnings: jobholders**

**27AA.**—(1) In this regulation—

(a) “disclosure obligation”, “relevant financial year”, “relevant threshold”, “the disclosure date” and “sub-contractor” have the meanings given in regulation 27A;

(b) “NHS earnings” has the meaning given in regulation 27B.

(2) In this regulation and, where applicable, in regulation 27B—

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“contract of engagement” means a contract of employment or other agreement under which a jobholder is engaged;

“jobholder” means—

- (a) an individual employed by a relevant person;
- (b) an individual engaged by a relevant person under a contract for services to provide services which enable the relevant person to fulfil its obligations under the contract or sub-contract, as the case may be;
- (c) an individual engaged by a third party to provide clinical services;
- (d) where the relevant person is a company, a director or company secretary of that company;

“relevant person” means—

- (a) the contractor;
- (b) a sub-contractor;
- (c) a person to whom the sub-contractor has sub-contracted obligations as permitted by paragraph 44(9A) of Schedule 3 (“P”);

“third party contract” means a contract or other agreement under which a relevant person is provided with a jobholder to provide clinical services under the contract or sub-contract, as the case may be, and which is between—

- (a) a contractor and a person other than a jobholder or sub-contractor,
- (b) a sub-contractor and a person other than a jobholder, the contractor, or a person (“P”) to whom the sub-contractor has sub-contracted obligations as permitted by paragraph 44(9A) of Schedule 3, or
- (c) P and a person other than a jobholder or sub-contractor;

“third party” is to be construed in accordance with the definition of “third party contract”.

(3) A contract must contain a term which prevents the contractor from entering into a contract of engagement unless it requires the jobholder to comply with the disclosure obligation for each relevant financial year in which the jobholder’s NHS earnings exceed the relevant threshold.

(4) A contract must also contain a term which prevents the contractor from sub-contracting any of its obligations to provide clinical services under the contract unless—

- (a) the sub-contract entered into by the contractor requires the sub-contractor (“S”) to—
  - (i) include the term specified in paragraph (6) in any contract of engagement S enters into with a jobholder on or after entering into the sub-contract, and
  - (ii) use reasonable endeavours to include that term in any contract of engagement which S has entered into prior to entering into the sub-contract, and
- (b) the sub-contract prevents S from sub-contracting to P any of the clinical services S has agreed with the contractor to provide under the sub-contract unless the sub-contract S enters into with P includes the term specified in paragraph (5).

(5) The term requires P to—

- (a) include the term specified in paragraph (6) in any contract of engagement which P enters into with a jobholder on or after entering into the sub-contract with S, and

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(b) use reasonable endeavours to include that term in any contract of engagement which P has entered into prior to entering into that sub-contract.

(6) The term requires the jobholder to comply with the disclosure obligation for each relevant financial year in which the jobholder’s NHS earnings exceed the relevant threshold.

(7) A contract must also contain a term requiring the contractor to use reasonable endeavours to ensure that any contract of engagement, which the contractor entered into before the term in paragraph (3) is incorporated into the contract is amended to include the term specified in paragraph (6).

(8) A contract must also contain a term requiring the contractor to use reasonable endeavours to ensure that any sub-contract which the contractor entered into before the term in paragraph (4) is incorporated into the contract is amended to include the terms specified in paragraph (9).

(9) The terms are—

(a) a term which requires S to—

(i) include the term specified in paragraph (6) in any contract of engagement S enters into with a jobholder on or after the amendment of the sub-contract,

(ii) use reasonable endeavours to include the term specified in paragraph (6) in any contract of engagement which S entered into before the amendment of the sub-contract, and

(iii) use reasonable endeavours to include the term specified in paragraph (5) in any sub-contract which S has entered into with P before the amendment of the sub-contract pursuant to paragraph (8);

(b) a term which prevents S from sub-contracting to P obligations to provide clinical services under the contract unless the sub-contract entered into by S includes the term specified in paragraph (5).

(10) A contract must also contain a term requiring the contractor to use reasonable endeavours to include in a third party contract (whenever entered into) a term requiring the third party (“T”) to include the term specified in paragraph (6) in any contract of engagement to which T is a party.

(11) A contract must also contain a term which prevents the contractor from sub-contracting any of its obligations to provide clinical services under the contract, unless the sub-contract requires S to use reasonable endeavours to—

(a) include in a third party contract (whenever entered into) a term requiring T to include the term specified in paragraph (6) in any contract of engagement to which T is a party, and

(b) include in any sub-contract between S and P a term requiring P to include in any third party contract (whenever entered into) the term specified in paragraph (12).

(12) The term is one which requires T to include the term specified in paragraph (6) in any contract of engagement to which T is a party.

(13) Nothing in this regulation requires a jobholder to comply with the disclosure obligation for any relevant financial year which—

(a) ends before the jobholder enters into a contract of engagement;

(b) begins after the jobholder’s contract of engagement has terminated.”.

**11.** In regulation 27B (calculation of NHS earnings for the purposes of regulation 27A)—

(a) in the heading, for “regulation 27A” substitute “regulations 27A and 27AA”;

(b) in paragraph (1), for “regulation 27A” substitute “regulations 27A and 27AA”;

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- (c) in paragraph (3)—
  - (i) in sub-paragraph (b)(ii), after “any”, in the first place it occurs, insert “other”;
  - (ii) after sub-paragraph (b) insert—
    - “(ba) in relation to a jobholder who does not fall within sub-paragraph (a) or (b), means—
      - (i) any remuneration, salary, wages, fees, director’s remuneration or dividends received in respect of the financial year in question under the contract of engagement and any other contract of engagement under which the jobholder provides services in respect of a contract or an agreement for primary medical services made under section 83(2) or 92 of the Act, and
      - (ii) any other income which would be treated as practitioner income under Schedule 10 to the NHS Pension Scheme Regulations as modified in accordance with paragraph (4) in respect of the financial year in question if the jobholder—
        - (aa) were an active member of the scheme, and
        - (bb) a medical practitioner or non-GP provider;”;
- (d) in paragraph (4)—
  - (i) at the end of sub-paragraph (a) insert “and”;
  - (ii) in sub-paragraph (b) omit “and”;
  - (iii) omit sub-paragraph (c);
- (e) after paragraph (4) insert—
  - “(4A) For the purposes of this regulation, where a contractor has sub-contracted any obligations under the contract, any payments made—
    - (a) under the sub-contract, or
    - (b) under any sub-contract which the sub-contractor has entered into with another person, as permitted by paragraph 44(9A) of Schedule 3,are to be treated as income derived from the contract.”.

### **Directly bookable appointments**

- 12.** In regulation 3(1) (interpretation), at the appropriate place, insert—

““directly bookable appointment” means an appointment of a type which, in line with the guidance entitled “Directly bookable appointments – guidance for practices” issued by NHS England<sup>(2)</sup>, is available for booking by a registered patient or an appropriate person on their behalf;”.

- 13.** In regulation 71(3)(a) (patient online services: appointments and prescriptions), for “a minimum of 25% of its appointments per day during core hours” substitute “all of its directly bookable appointments”.

- 14.** After regulation 71A (patient access to online services) insert—

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(2) This guidance, published in September 2022, is available at <https://www.england.nhs.uk/gp/investment/gp-contract/digital-requirements-guidance/>. Hard copies are available from NHS England, Digital First Primary Care team, Wellington House, 133-155 Waterloo Road, South Bank, London, SE1 8UG.

**“Patient access: other availability of directly bookable appointments**

**71B.** A contractor must ensure that all of its directly bookable appointments are made available for booking by telephone or in person.”.

**Electronic application for inclusion in a contractor’s list of patients**

**15.** In Schedule 3 (other contractual terms), in paragraph 18 (application for inclusion in a list of patients), for sub-paragraph (3), substitute—

“(3) Subject to sub-paragraph (4), an application for inclusion in a contractor’s list of patients may be made by the applicant or a person authorised by the applicant submitting a medical card or an application form, including an electronic application form, to the contractor.”.

**Amendment to list of indicators no longer in the Quality and Outcomes Framework**

**16.** In regulation 74C (information relating to indicators no longer in the Quality and Outcomes Framework), in the table, omit—

- (a) “Clinical domain”;
- (b) “MH005” in the left hand column (indicator ID); and
- (c) the entry in the right hand column (indicator description) corresponding to the indicator ID MH005.