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STATUTORY INSTRUMENTS

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**2022 No. 850**

**The Russia (Sanctions) (EU Exit)  
(Amendment) (No. 14) Regulations 2022**

**Insertion of Chapters 4H, 4I, 4J, and 4K**

12. After regulation 46X (brokering services relating to revenue generating goods) insert—

“Chapter 4H

G7 dependency and further goods list goods

**G7 dependency and further goods list goods**

**46Y.**—(1) The export of G7 dependency and further goods list goods to, or for use in, Russia is prohibited.

(2) A person must not directly or indirectly—

- (a) supply or deliver G7 dependency and further goods list goods from a third country to a place in Russia;
- (b) make G7 dependency and further goods list goods available to a person connected with Russia;
- (c) make G7 dependency and further goods list goods available for use in Russia.

(3) Paragraphs (1) and (2) are subject to Part 7 (exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (2) (a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (2) (b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (c) it is a defence for a person charged with the offence of contravening paragraph (2) (c) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

(5) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

**Technical assistance relating to G7 dependency and further goods list goods**

**46Z.**—(1) A person must not directly or indirectly provide technical assistance relating to G7 dependency and further goods list goods—

- (a) to a person connected with Russia; or
- (b) for use in Russia.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1) (a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
  - (b) it is a defence for a person charged with an offence of contravening paragraph (1) (b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

### **Financial services and funds relating to G7 dependency and further goods list goods**

**46Z1.**—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of G7 dependency and further goods list goods;
- (b) the direct or indirect supply or delivery of G7 dependency and further goods list goods;
- (c) directly or indirectly making G7 dependency and further goods list goods available to a person; or
- (d) the direct or indirect provision of technical assistance relating to G7 dependency and further goods list goods.

(2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).

(3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of G7 dependency and further goods list goods to, or for use in, Russia;
- (b) the direct or indirect supply or delivery of G7 dependency and further goods list goods to a place in Russia;
- (c) directly or indirectly making G7 dependency and further goods list goods available—
  - (i) to a person connected with Russia, or
  - (ii) for use in Russia; or
- (d) the direct or indirect provision of technical assistance relating to G7 dependency and further goods list goods —
  - (i) to a person connected with Russia, or
  - (ii) for use in Russia.

(4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).

(5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—

- (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

### **Brokering services relating to G7 dependency and further goods list goods**

**46Z2.**—(1) A person must not directly or indirectly provide brokering services to a person connected with Russia in pursuance of or in connection with an arrangement (“arrangement A”) whose object or effect is—

- (a) the export of G7 dependency and further goods list goods;
- (b) the direct or indirect supply or delivery of G7 dependency and further goods list goods;
- (c) directly or indirectly making G7 dependency and further goods list goods available to a person;
- (d) the direct or indirect provision of technical assistance relating to G7 dependency and further goods list goods;
- (e) the direct or indirect provision, in a non-UK country, of financial services—
  - (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1), or
  - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3);
- (f) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1); or
- (g) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

## **CHAPTER 4I**

### **Oil and Oil Products**

#### **Meaning of “relevant day”**

**46Z3.** In this Chapter, “relevant day” means 31st December 2022.

#### **Import of oil and oil products**

**46Z4.**—(1) The import of oil and oil products, on or after the relevant day, which are consigned from Russia is prohibited.

(2) The import of oil and oil products, on or after the relevant day, which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

### **Acquisition of oil and oil products**

**46Z5.**—(1) A person must not, on or after the relevant day, directly or indirectly acquire oil and oil products—

- (a) which originate in Russia; or
- (b) which are located in Russia,

with the intention of those goods entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)
  - (a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;
  - (b) it is a defence for a person charged with the offence of contravening paragraph (1)
    - (b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.

### **Supply and delivery of oil and oil products**

**46Z6.**—(1) A person must not, on or after the relevant day, directly or indirectly supply or deliver oil and oil products from a place in Russia to the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.

### **Technical assistance relating to oil and oil products**

**46Z7.**—(1) A person must not, on or after the relevant day, directly or indirectly provide technical assistance relating to—

- (a) the import of oil and oil products which—
  - (i) originate in Russia, or
  - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of oil and oil products which—
  - (i) originate in Russia, or
  - (ii) are located in Russia,

with the intention of those products entering the United Kingdom;

- (c) the direct or indirect supply or delivery of oil and oil products from a place in Russia into the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes any of the prohibitions in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;

- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
- (c) paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

### **Financial services and funds relating to oil and oil products**

**46Z8.**—(1) A person must not, on or after the relevant day, directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of oil and oil products which—
  - (i) originate in Russia, or
  - (ii) are consigned from Russia; or
- (b) the direct or indirect acquisition of oil and oil products which—
  - (i) originate in Russia, or
  - (ii) are located in Russia,

with the intention of those products entering the United Kingdom;

- (c) the direct or indirect supply or delivery of oil and oil products from a place in Russia into the United Kingdom.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

(4) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

### **Brokering services relating to oil and oil products**

**46Z9.**—(1) A person must not, on or after the relevant day, directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z8(1)(a) to (c).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

## **CHAPTER 4J**

### **Gold**

#### **Meaning of “relevant day”**

**46Z10.** In this Chapter, “relevant day” means the day on which this Chapter comes into force.

### **Prohibition on the import of gold from Russia**

**46Z11.**—(1) The import of gold originating from Russia is prohibited, where that gold has been exported from Russia on or after the relevant day.

- (2) For the purposes of these Regulations, gold has been exported from Russia when—
- (a) it has completed the applicable export formalities; and
  - (b) where the gold was transported by—
    - (i) land, it has left Russian territory;
    - (ii) sea, the ship on which it was transported has departed a port in Russia for a destination outside of Russia;
    - (iii) air, the aircraft on which it was transported has departed an airport in Russia for a destination outside of Russia.
- (3) Paragraph (1) is subject to Part 7 (Exceptions and licences).

### **Acquisition of gold from Russia**

**46Z12.**—(1) A person must not directly or indirectly acquire gold which on or after the relevant day—

- (a) originated in Russia; and
- (b) is located in Russia,

with the intention of those goods entering the United Kingdom.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with the offence of contravening paragraph (1)
    - (a) to show that the person did not know and had no reasonable cause to suspect that the gold originated in Russia;
    - (b) it is a defence for a person charged with the offence of contravening paragraph (1)
      - (b) to show that the person did not know and had no reasonable cause to suspect that the gold was located in Russia.

### **Supply and delivery of gold from Russia**

**46Z13.**—(1) A person must not directly or indirectly supply or deliver gold, which originated in Russia on or after the relevant day—

- (a) from a place in Russia; or
- (b) from a third country,

to the United Kingdom.

- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery from a place in Russia or from a third country was of gold which originated in Russia on or after the relevant day, whether directly or indirectly.

(4) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

### **Technical assistance relating to gold**

**46Z14.**—(1) A person must not directly or indirectly provide technical assistance relating to—

- (a) the import of gold which on or after the relevant day—
  - (i) originated in Russia; or
  - (ii) is consigned from Russia;
- (b) the direct or indirect acquisition of gold which on or after the relevant day—
  - (i) originated in Russia; and
  - (ii) is located in Russia,with the intention of that gold entering the United Kingdom;
- (c) the direct or indirect supply or delivery of gold, which originated in Russia on or after the relevant day—
  - (i) from a place in Russia; or
  - (ii) from a third country,to the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening —

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
- (c) paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

### **Financial services and funds relating to gold**

**46Z15.**—(1) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of gold which on or after the relevant day—
  - (i) originated in Russia, or
  - (ii) is consigned from Russia; or
- (b) the direct or indirect acquisition of gold which on or after the relevant day—
  - (i) originated in Russia; and
  - (ii) is located in Russia,

with the intention of that gold entering the United Kingdom;

- (c) the direct or indirect supply or delivery of gold, which originated in Russia on or after the relevant day—
  - (i) from a place in Russia; or
  - (ii) from a third country,

to the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

### **Brokering services relating to gold**

**46Z16.**—(1) A person must not directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z15(1)(a) to (c).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

## **CHAPTER 4K**

### **Coal and Coal Products**

#### **Meaning of relevant day**

**46Z17.** In this Chapter, “relevant day” means 10th August 2022.

#### **Import of coal and coal products**

**46Z18.**—(1) The import of coal and coal products, on or after the relevant day, which are consigned from Russia is prohibited.

(2) The import of coal and coal products, on or after the relevant day, which originate in Russia is prohibited.

(3) Paragraphs (1) and (2) are subject to Part 7 (Exceptions and licences).

#### **Acquisition of coal and coal products**

**46Z19.**—(1) A person must not directly or indirectly, on or after the relevant day, acquire coal and coal products—

- (a) which originate in Russia; or
- (b) which are located in Russia,

with the intention of those goods entering the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (1)
  - (a) to show that the person did not know and had no reasonable cause to suspect that the goods originated in Russia;
  - (b) it is a defence for a person charged with the offence of contravening paragraph (1)
    - (b) to show that the person did not know and had no reasonable cause to suspect that the goods were located in Russia.



### **Supply and delivery of coal and coal products**

**46Z20.**—(1) A person must not, on or after the relevant day, supply or deliver directly or indirectly coal and coal products from a place in Russia to the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with the offence of contravening paragraph (1) to show that the person did not know and had no reasonable cause to suspect that the supply or delivery was from a place in Russia, whether directly or indirectly.

### **Technical assistance relating to coal and coal products**

**46Z21.**—(1) A person must not, on or after the relevant day, directly or indirectly provide technical assistance relating to—

- (a) the import of coal and coal products which—
  - (i) originate in Russia, or
  - (ii) are consigned from Russia;
- (b) the direct or indirect acquisition of coal and coal products which—
  - (i) originate in Russia, or
  - (ii) are located in Russia,

with the intention of those products entering the United Kingdom;

- (c) the direct or indirect supply or delivery of coal and coal products from a place in Russia into the United Kingdom.

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with an offence of contravening—

- (a) paragraph (1)(a), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an import described in that paragraph;
- (b) paragraph (1)(b), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to an acquisition described in that paragraph;
- (c) paragraph (1)(c), to show that the person did not know and had no reasonable cause to suspect that the technical assistance related to a supply or delivery described in that paragraph.

### **Financial services and funds relating to coal and coal products**

**46Z22.**—(1) A person must not, on or after the relevant day, directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the import of coal and coal products which—
  - (i) originate in Russia, or
  - (ii) are consigned from Russia; or
- (b) the direct or indirect acquisition of coal and coal products which—
  - (i) originate in Russia, or
  - (ii) are located in Russia,

with the intention of those products entering the United Kingdom;

(c) the direct or indirect supply or delivery of coal and coal products from a place in Russia into the United Kingdom

(2) Paragraphs (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes the prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

### **Brokering services relating to coal and coal products**

**46Z23.**—(1) A person must not, on or after the relevant day, directly or indirectly provide brokering services in relation to any arrangements described in regulation 46Z22(1)(a) to (c).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.”.