
STATUTORY INSTRUMENTS

2022 No. 838

The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2022

PART 3

Amendment and Revocation of Secondary Legislation

Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017

11. In regulation 6 (requirements applying to exempt investment firms) of the Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017⁽¹⁾—

- (a) in paragraph (3)(c)(iii), before “the markets in financial instruments directive” insert “Part 9C rules, CRR rules,”;
- (b) in paragraph (6), after the definition of “the capital requirements regulation” insert—
 - ““CRR rules” has the meaning given in section 144A of the Act;
 - “Part 9C rules” has the meaning given in section 143F(1) of the Act;”.

⁽¹⁾ [S.I. 2017/701](#). Relevant amendments were made to regulation 6 by [S.I. 2017/1255](#) and [S.I. 2021/1376](#).