#### STATUTORY INSTRUMENTS

# 2022 No. 273

# PUBLIC SERVICE PENSIONS, ENGLAND AND WALES NATIONAL HEALTH SERVICE, ENGLAND AND WALES

The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) Regulations 2022

Made - - - - 10th March 2022 Laid before Parliament 11th March 2022

Coming into force in accordance with regulation 1

The Secretary of State for Health and Social Care makes the following Regulations in exercise of the powers conferred by section 10(1) and (2) of, and Schedule 3 to, the Superannuation Act 1972(1)("the 1972 Act") and sections 1(1) and (2)(e), 2(1) and 3(1) to (3) of, and paragraph 5(a) of Schedule 2, and Schedule 3 to, the Public Service Pensions Act 2013(2) ("the 2013 Act").

In relation to Part 1, as applicable, and Part 2, the Secretary of State has consulted the representatives of such persons as appear to the Secretary of State likely to be affected by these Regulations with a view to reaching agreement with them, in accordance with section 22(2)(a) of the 2013 Act.

In accordance with section 22(2)(b) of the 2013 Act, the Secretary of State has laid a report before Parliament(3) in relation to Part 1, as applicable, and Part 2.

In relation to Part 1, as applicable, and Part 3, the Secretary of State has consulted the representatives of such persons as appear to the Secretary of State likely to be affected by these Regulations, in accordance with section 10(4) of the 1972 Act and section 21(1) of the 2013 Act(4).

In accordance with section 10(1) of the 1972 Act(5) and section 3(5) of the 2013 Act, these Regulations are made with the consent of the Treasury.

<sup>(1) 1972</sup> c. 11. Section 10(1) was amended by section 57 of, and Schedule 5, to the National Health Service Reorganisation Act 1973 (c. 32) and section 4(2) of the Pensions (Miscellaneous Provisions) Act 1990 (c. 7).

<sup>(2) 2013</sup> c. 25 (the "2013 Act").

<sup>(3)</sup> A copy of the report laid pursuant to section 22(2)(b) of the 2013 Act may be found by writing to the NHS Pensions Policy Team, Department of Health and Social Care, Area 2NE Quarry House, Quarry Hill, Leeds, West Yorkshire LS2 7UE.

<sup>(4)</sup> The Secretary of State has published a statement pursuant to section 21(2) of the 2013 Act indicating the persons the Secretary of State would normally expect to consult under section 21(1) of that Act. An up-to-date version of that list may be found at www.gov.uk/government/publications/nhs-pension-scheme-consultee-list or by writing to the NHS Pensions Policy Team, Department of Health and Social Care, Area 2NE Quarry House, Quarry Hill, Leeds, West Yorkshire LS2 7UE.

<sup>(5)</sup> The functions of the Minister for the Civil Service under section 10 of the 1972 Act were transferred to the Treasury by article 2 of S.I. 1981/1670.

# PART 1

# Introductory

# Citation, commencement and extent

- **1.**—(1) These Regulations may be cited as the National Health Service Pension Schemes (Member Contributions etc.) (Amendment) Regulations 2022.
- (2) This Part and Part 3 come into force immediately after the expiry of section 45 of the Coronavirus Act 2020(6).
  - (3) Part 2 comes into force on 1st October 2022.
  - (4) These Regulations extend to England and Wales.

#### **Commencement Information**

II Reg. 1 comes into force in accordance with reg. 1(2)

# PART 2

Amendments to member contribution provisions in the National Health Service Pension Scheme Regulations 2015

#### General

**2.** The National Health Service Pension Scheme Regulations 2015(7) are amended in accordance with this Part.

#### **Commencement Information**

I2 Reg. 2 in force at 1.10.2022, see reg. 1(3)

# Amendment of regulation 30

- **3.**—(1) Regulation 30 (members' contributions: employees) is amended as follows.
- (2) In paragraph (3)—
  - (a) for "each scheme year from 2015/16" substitute "the scheme year 2022/23";
  - (b) for the table in paragraph (3), substitute—

# [F1"Table Scheme Year 2022/23

Column 1	Column 2
Pensionable earnings band	Contribution percentage rate

<sup>(6) 2020</sup> c. 7.

<sup>(7)</sup> S.I. 2015/94, amended by S.I. 2019/418; there are other amending instruments but none is relevant.

Column 1	Column 2	
Up to £13,246	5.1%	
£13,247 to £16,831	5.7%	
£16,832 to £22,878	6.1%	
£22,879 to £23,948	6.8%	
£23,949 to £28,223	7.7%	
£28,224 to £29,179	8.8%	
£29,180 to £43,805	9.8%	
£43,806 to £49,245	10.0%	
£49,246 to £56,163	11.6%	
£56,164 to £72,030	12.5%	
£72,031 and above	13.5%"].	

# (3) After paragraph (3), insert—

"(3A) Where paragraph 2A or 3 of Schedule 11 applies, M's contribution rate for the scheme year 2022/23 is the percentage specified in column 2 of the following table in respect of the corresponding pensionable earnings band specified in column 1 into which M's pensionable earnings fall.

[F2Table Scheme Year 2022/23

Column 1	Column 2	
Pensionable earnings band	Contribution percentage rate	
Up to £13,246	5.1%	
£13,247 to £16,831	5.7%	
£16,832 to £22,878	6.1%	
£22,879 to £23,948	6.8%	
£23,949 to £28,223	7.7%	
£28,224 to £29,179	8.8%	
£29,180 to £43,805	9.8%	
£43,806 to £49,245	10.0%	
£49,246 to £56,163	11.6%	
£56,164 to £72,030	12.5%	
£72,031 and above	13.5%"].	

(4) In paragraph (4), for "Table" substitute "tables".

### **Textual Amendments**

F1 Reg. 3(2)(b) Table substituted (30.9.2022) by The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/991), regs. 1(2), 2(2)(a)

F2 Reg. 3(3) Table substituted (30.9.2022) by The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/991), regs. 1(2), 2(2)(b)

#### **Commencement Information**

**I3** Reg. 3 in force at 1.10.2022, see **reg. 1(3)** 

# Amendment of regulation 31

- **4.**—(1) Regulation 31 (members' contributions: practitioners and non-GP providers) is amended as follows.
  - (2) In paragraph (3)—
    - (a) for "each scheme year from 2015/16" substitute "the scheme year in question";
    - (b) after "column 2 of the" insert "relevant";
    - (c) omit "("the Table")";
    - (d) for "Table", in the second place it occurs, substitute "relevant table in paragraph (9)".
  - (3) In paragraph (4), for "the Table" substitute "paragraph (9)".
  - (4) For paragraph (9), substitute—
    - "(9) For the purposes of this regulation, the "relevant table" means—
      - (a) in respect of each scheme year from 2015/16 to 2021/22, Table 1;
      - (b) in respect of the scheme year 2022/23, Table 2.

Table 1 Scheme Years from 2015/16 to 2021/22

Column 1	Column 2
Pensionable earnings band	Contribution percentage rate
Up to £15,431	5.0%
£15,432 to £21,477	5.6%
£21,478 to £26,823	7.1%
£26,824 to £47,845	9.3%
£47,846 to £70,630	12.5%
£70,631 to £111,376	13.5%
£111,377 to any higher amount	14.5%

[F3Table 2 Scheme Year 2022/23

Column 1	Column 2
Pensionable earnings band	Contribution percentage rate
Up to £13,246	5.1%
£13,247 to £16,831	5.7%
£16,832 to £22,878	6.1%
£22,879 to £23,948	6.8%
£23,949 to £28,223	7.7%

Column 1	Column 2	
£28,224 to £29,179	8.8%	
£29,180 to £43,805	9.8%	
£43,806 to £49,245	10.0%	
£49,246 to £56,163	11.6%	
£56,164 to £72,030	12.5%	
£72,031 and above	13.5%"].	

(5) In paragraph (10), for "the Table" substitute "the relevant table".

#### **Textual Amendments**

F3 Reg. 4(4) Table 2 substituted (30.9.2022) by The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/991), regs. 1(2), 2(3)

# **Commencement Information**

**I4** Reg. 4 in force at 1.10.2022, see **reg. 1(3)** 

#### **Amendment of regulation 38**

- **5.**—(1) Regulation 38 (members' contributions: supplementary: medical practitioners and non-GP providers) is amended as follows.
  - (2) In paragraph (3) for "the Table"—
    - (a) in the first place it occurs, substitute "the relevant table";
    - (b) in the second place it occurs, substitute "that table".
  - (3) In paragraph (4), for "Table" substitute "relevant table".

#### **Commencement Information**

I5 Reg. 5 in force at 1.10.2022, see reg. 1(3)

#### **Amendment of regulation 39**

- **6.**—(1) Regulation 39 (members' contributions: supplementary: dental practitioners) is amended as follows.
  - (2) In paragraph (3) for "the Table"—
    - (a) in the first place it occurs, substitute "the relevant table";
    - (b) in the second place it occurs, substitute "that table".
  - (3) In paragraph (4), for "Table" substitute "relevant table".

#### **Commencement Information**

**I6** Reg. 6 in force at 1.10.2022, see reg. 1(3)

#### **Amendment of Schedule 11**

- 7.—(1) Schedule 11 (determination of pensionable earnings: setting contribution rates) is amended as follows.
  - (2) In paragraph 2 (continuous employment spanning two scheme years)—
    - (a) in sub-paragraph (1)—
      - (i) for "Sub-paragraph (2)" substitute "This paragraph";
      - (ii) for the words from "(M)" to the end substitute—

"("M") who---

- (a) is in pensionable employment with the same employing authority on both—
  - (i) the last day of the previous scheme year; and
  - (ii) the first day of the current scheme year; and
- (b) falls within any of the cases in sub-paragraph (2)."
- (b) in sub-paragraph (2), for the table of cases substitute—

"Column 1 Column 2

# CASE 1

М—

The amount of M's pensionable earnings received during the previous scheme year.

- (a) was in pensionable employment with an employing authority throughout the previous scheme year; and
- (b) paid contributions in respect of that employment at the same percentage rate throughout that year.

# CASE 2

М—

- (a) was in pensionable employment with an employing authority throughout the previous scheme year; and
- (b) did not pay contributions in respect of that employment at the same percentage rate throughout that year.

The amount of M's pensionable earnings determined by the formula—

$$\frac{RPE}{NDPE} \times 365$$

where—

RPE is the pensionable earnings received in respect of M's employment for the period—

- (i) starting on the date M's contribution rate last changed in the previous scheme year; and
- (ii) ending on the last day of that year; and

NDPE is the number of days of pensionable employment with the employing authority for the period—

"Column 1	Column 2
	(i) starting on the date M's contribution rate last changed in that year; and
	(ii) ending on the last day of that year.
CASE 3	
M—	The amount of M's pensionable earnings determined by the formula—
(a) started pensionable employment with an employing authority during the previous scheme year; and	$\frac{RPE}{NDPE} \times 365$
(b) paid contributions in respect of that employment at the same percentage rate from the date the employment started to the last day of that year.	where—
	RPE is the pensionable earnings received in respect of M's employment for the period—
	(i) starting on the date M started that employment in the previous scheme year; and
	(ii) ending on the last day of that year; and
	NDPE is the number of days of pensionable employment with the employing authority for the period—
	(i) starting on the date M started that employment in that year; and
	(ii) ending on the last day of that year.
CASE 4	
M—	The amount of M's pensionable earnings
(a) started pensionable employment with an employing authority during the previous scheme year; and	determined by the formula— $\frac{RPE}{NDPE} \times 365$
(b) did not pay contributions in respect of that employment at the same percentage rate from the date the employment started to the last day of that year.	where—
	RPE is the pensionable earnings received in respect of M's employment for the period—
	(i) starting on the date M's contribution rate last changed in the previous scheme year; and
	(ii) ending on the last day of that year; and
	NDPE is the number of days of pensionable employment with the employing authority for the period—

"Column 1	Column 2
	(i) starting on the date M's contribution rate last changed in that year; and
	(ii) ending on the last day of that year.
CASE 5	
M—	The amount of M's pensionable earnings
(a) was in pensionable employment on a part-time basis with an employing authority throughout the previous scheme year and during that year M's annual rate of pensionable earnings in respect of that employment	determined by the formula— $\frac{RPE}{NDPE} \times 365$
changed; and	where—
(b) paid contributions in respect of that period at the same percentage rate throughout that	RPE is the pensionable earnings received in respect of M's employment for the period—
year.	(i) starting on the date M's annual rate of pensionable earnings last changed in the previous scheme year; and
	(ii) ending on the last day of that year; and
	NDPE is the number of days of pensionable employment with the employing authority for the period—
	(i) starting on the date M's annual rate of pensionable earnings last changed in that year; and
	(ii) ending on the last day of that year.".
( ) 1 1 (2) 1(4)	

- (c) omit sub-paragraphs (3) and (4).
- (3) After paragraph 2, insert—

# "Members who do not fall within any of the cases in paragraph 2

- **2A.**—(1) This paragraph applies for the purpose of determining the relevant contribution rate for the current scheme year for a member who—
  - (a) is in pensionable employment with the same employing authority on both—
    - (i) the last day of the previous scheme year; and
    - (ii) the first day of the current scheme year; and
  - (b) does not fall within any of the cases in paragraph 2.
  - (2) Where this paragraph applies—
    - (a) the scheme manager must determine the amount of the member's pensionable earnings; and

- (b) the member must pay contributions during the current scheme year at the rate specified in column 2 of the table in paragraph (3A) of regulation 30 in respect of the amount of pensionable earnings referred to in column 1 of that table which corresponds to the amount determined under paragraph (a).
- (3) For the purposes of sub-paragraph (2)(a), the scheme manager must take the advice of the scheme actuary and have regard to—
  - (a) pensionable earnings attributable to pensionable service comparable to that of the member;
  - (b) prevailing pay scales;
  - (c) prevailing rates of pensionable allowances.
- (4) A member is regarded as being in pensionable employment throughout the previous scheme year regardless of any period in that year during which the member continued to be employed by the same employer but did not make contributions to this scheme.
- (5) For the purposes of calculating the member's pensionable earnings, additional pensionable earnings that the member is treated as having received during an absence from work (see regulation 28) is included.
- (6) The amount of pensionable earnings determined in accordance with this paragraph must be rounded down to the nearest whole pound."
- (4) In paragraph 3 (change to employment or rate of pensionable earnings or allowances)—
  - (a) in sub-paragraph (1), for "(3)" substitute "(3A)";
  - (b) in sub-paragraph (2)(a), for "(3)" substitute "(3A)";
  - (c) omit sub-paragraph (4);
  - (d) for sub-paragraph (5), substitute—
    - "(5) Where sub-paragraph (1) or (2) applies the scheme manager must determine the member's pensionable earnings by applying the formula—

$$\frac{EPE}{NDPE} \times 365$$

where-

EPE is the pensionable earnings that the member's employing authority estimates will be payable to the member from the date the employment mentioned in that subparagraph starts to the end of the current scheme year; and

NDPE is the number of days of pensionable employment from the date the employment starts to the end of that year.";

(e) in sub-paragraph (7), for the words from "(6)" to the end substitute "(6) is to be taken as such amount as the employing authority considers appropriate for the current scheme year".

#### **Commencement Information**

I7 Reg. 7 in force at 1.10.2022, see reg. 1(3)

#### **Amendment of Schedule 12**

**8.** In Schedule 12 (practitioner contribution payments), in paragraph 4(5) (information to be provided to scheme manager) after "column 2 of the" insert "relevant".

#### **Commencement Information**

**I8** Reg. 8 in force at 1.10.2022, see **reg. 1(3)** 

# PART 3

# Modifications of restrictions on return to work

# **Expiry of modifications in this Part**

**9.** The modifications in this Part apply until the end of [<sup>F4</sup>31st March 2023, except for the modification in regulation 10(b) which applies until the end of 31st March 2025].

#### **Textual Amendments**

Words in reg. 9 substituted (31.10.2022) by The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/1028), regs. 1(2), 2

#### **Commencement Information**

**19** Reg. 9 comes into force in accordance with reg. 1(2)

# Modifications of the National Health Service Pension Scheme Regulations 1995

- 10. The National Health Service Pension Scheme Regulations 1995(8) have effect as if—
  - (a) regulation S1 (suspension of pension on return to NHS employment) were omitted; and
  - (b) in the opening words of regulation S2(3) (reduction of pension on return to NHS employment) the words "or (c)" were omitted.

#### **Commencement Information**

**I10** Reg. 10 comes into force in accordance with reg. 1(2)

# Modifications of the National Health Service Pension Scheme Regulations 2008

- 11. The National Health Service Pension Scheme Regulations 2008(9) have effect as if the following regulations were omitted—
  - (a) regulation 2.D.6(2)(a) (abatement of pension following increase in pensionable pay); and
  - (b) regulation 3.D.6(2)(a) (abatement of pension following increase in engagement in employment).

<sup>(8)</sup> S.I. 1995/300, relevant amending instruments: S.I. 2000/605, 2007/3280, 2008/654, 2009/2446 and 2012/610. There are other amendments that are not relevant to this instrument. Section 45 of the Coronavirus Act 2020 made temporary amendments to regulations S1 and S2(3) of S.I. 1995/300.

<sup>(9)</sup> S.I. 2008/653. Section 45 of the Coronavirus Act 2020 (c.7) made temporary amendments to regulations 2.D.6(2)(a) and 3.D.6(2)(a) of S.I. 2008/653.

#### **Commencement Information**

**I11** Reg. 11 comes into force in accordance with reg. 1(2)

# Modification of the National Health Service Pension Scheme Regulations 2015

12. The National Health Service Pension Scheme Regulations 2015(10) have effect as if regulation 86(3) (abatement of pension following continuation of employment) were omitted.

# **Commencement Information**

Reg. 12 comes into force in accordance with reg. 1(2)

Signed by authority of the Secretary of State for Health and Social Care

Edward Argar Minister of State, Department of Health and Social Care

We consent

Rebecca Harris
Michael Tomlinson
Two of the Lords Commissioners of Her
Majesty's Treasury

#### EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations amend the National Health Service Pension Scheme Regulations 1995 (S.I. 1995/300) ("the 1995 Regulations"), the National Health Service Pension Scheme Regulations 2008 (S.I. 2008/653) ("the 2008 Regulations"), and the National Health Service Pension Scheme Regulations 2015 (S.I. 2015/94) (the "2015 Regulations").

Part 2 amends the 2015 Regulations to introduce a new structure in respect of member contributions. Part 2 amends contribution rates paid by members towards their pension and creates new pensionable earning bands and contributions rates to be paid by members from 1 October 2022.

The amendments in Part 2 also provide a mechanism for the pensionable earnings bands that determine a member's contribution rate to be updated and amended annually, makes changes in relation to the assessment of a tiered contribution rate for part-time employed members based on their actual annual rate of pay rather than the notional whole-time equivalent and to remove reference to the notional whole time equivalent, and sets out how a member's pensionable earnings are to be determined for the purposes of setting their contribution rate for the scheme year.

Part 3 makes temporary modifications to the 1995 Regulations, the 2008 Regulations and the 2015 Regulations to suspend the operation of various provisions applying to members who partially retire or return to NHS employment after their pension becomes payable. These provisions were originally suspended by section 45 of the Coronavirus Act 2020 (c. 7). A full impact assessment has not been produced for this instrument as no, or no significant, impact on the private, voluntary or public sector is foreseen.

# **Changes to legislation:**

There are currently no known outstanding effects for the The National Health Service Pension Schemes (Member Contributions etc.) (Amendment) Regulations 2022.