

SRG 4000

Application for a licence under the Space Industry Act 2018

Application for, or to renew, a licence for spaceport, launch operator, return operator, range control or orbital operator

Please refer to the 'Guidance on Applying for a Licence' and 'Regulator's Licensing Rules' when answering these questions.

False Representation Statement

It is an offence for a person—

(a) to make a statement that the person knows to be false in a material particular, or

(b) recklessly to make a statement that is false in a material particular,

for the purpose of obtaining a licence under the Space Industry Act (whether for the person making the statement or anyone else)

Duty to update

The applicant (and licensee) has a duty to update the regulator in writing as soon as possible of any material change to information provided to the regulator. Failure to do so is an offence.

HAVE YOU PREVIOUSLY CONDUCTED PRE-APPLICATION ENGAGEMENT WITH THE REGULATOR? (*this engagement is recommended but non-mandatory*)

PRE-APPLICATION REFERENCE NUMBER (*if applicable*)

DO YOU ALREADY HOLD A LICENCE?

LICENCE NUMBER/SATELLITE NAME

1. APPLICANT TYPE

Individual

Body Corporate

Consortium

This application will be considered in respect of and, if appropriate, the licence will be granted to, the applicant(s) named below.

APPLICANT DETAILS

Individual (lead point of contact for partnerships and sole traders)

Title: Forename: Surname:

Address:

Country: Postcode:

Telephone: Mobile Telephone:

E-mail:

Trading Name: (if applicable)

Website Address: (if applicable)

Body Corporate

Point of Contact Details

Title: Forename: Surname:

Telephone: Mobile Telephone:

E-mail:

Company Details

Registered Company Name: (in full)

Registered Company Number:

Country of incorporation:

Registered Office Address:

Country: Postcode:

Telephone: E-mail:

Trading Name: (if applicable)
 Trading Address: (primary site)
 Country: Postcode:
 Website Address:
If you are a body corporate registered in a country outside of the UK, you must include a copy of your Certificate of Registration or equivalent from the country in which you are registered.

Consortium

Point of Contact
 Title: Forename: Surname:
 Telephone: Mobile Telephone:
 E-mail:

Consortium Details
 Consortium Name:
 Lead Company in Consortium:
 Registered Company Number:
 Country of incorporation:
 Address:
 Country: Postcode:
 Telephone: Mobile Telephone:
 Email:
 Website Address:

2. LICENCE APPLICATION TYPE			
Spaceport	Launch Operator/ Return Operator	Range Control	Orbital Operator/ Procurement to launch

3. MANAGEMENT (please enter details as applicable)

Authorised Representative
 This application is to be signed by either a Director or Company Secretary or a person authorised to act on behalf of the applicant.
 Title: Forename: Surname:
 Position in Company:
 Telephone: Email:

If you are not a Director or Company Secretary and have been authorised to sign the application form on behalf of the Company, proof of that authority must be provided with the completed application form.

Managing Director (if different from above):
 Name:
 Telephone: Email:

Are you proposing to appoint a sub-contractor or agent to carry out any activities on your behalf?
 If YES, please provide the following:
 Names and prescribed person forms
 Documents which evidence the capability to carry out those activities
 Copy of agency contract

Individuals in Prescribed Roles

Accountable Manager
 Title: Forename: Surname:

Security Manager(if applicable)
 Title: Forename: Surname:

Safety Manager (if applicable)		
Title:	Forename:	Surname:
Training Manager (if applicable)		
Title:	Forename:	Surname:
Launch Director (if applicable)		
Title:	Forename:	Surname:
Range Operations Manager (range licence only)		
Title:	Forename:	Surname:
Please be aware that an 'Annex: Personal details form' will need to be completed and submitted for all individuals in prescribed roles, as well as the applicant and any lessor/agent.		
ELIGIBILITY CRITERIA		
To the best of your knowledge, can you confirm that all persons mentioned above and any other relevant persons meet the eligibility criteria as set out in Part 3 Chapter 1 Regulation 6 of the Space Industry Regulations 2021?		
REQUIRED ATTACHMENTS – GENERAL		
<i>If you fail to attach any document required under the Regulator's Licensing Rules, you may receive a direction under 4.1 of the Regulator's Licensing Rules on the omission.</i>		
Have you attached a copy of the Certificate of Registration or equivalent from the country in which the country is registered?		
Have you attached the required details of legal status? (Such as Memorandum of Association, an explanation of the relationship with other parts of the corporate group etc)		
Have you attached a Business Plan (and any other financial information or forecasts?)		
Have you attached a copy of the export licence or information related to the application of an export licence (if such a licence is required)?		
Have you attached any other information to meet the requirements under Table A of the Regulator's Licensing Rules?		

4. SPACEPORT (refer to 'Guidance for spaceport licence applicants and spaceport licensees' and Table E of the 'Regulator's Licensing Rules')			
a) SIFTING QUESTIONS (used by Regulator to determine and streamline the assessment process)			
Do you have a target date to start licensed activity?	Yes	No	
If YES, please provide date:			
Which type of spaceport are you proposing?	Horizontal	Vertical	
Is the aerodrome where the horizontal spaceport is located	Licensed	Certified	NASP Directed
Name of proposed spaceport:			
Spaceport location and grid reference:			
Is your proposed spaceport already built/established?	Yes	No	
Is planning permission required for the spaceport?	Yes	No	
Has a planning permission application been submitted?	Yes	No	

Has planning permission been granted?	Yes	No
Are you the owner of the spaceport? If NO, please provide details of the rights you hold:	Yes	No
Are you the owner of the aerodrome? If NO, please provide details of the rights you hold:	Yes	No
Number of launch pads (vertical launch only)		
What is the maximum number of launches per year used in the siting assessment?		
Have you established which Launch Operator(s) will carry out activities from your site? If YES, please provide name(s):		
Have you confirmed any launch vehicles? If YES, please provide the name of any launch vehicle(s): If NO, please provide description of representative launch vehicle used for siting assessment and safety case:		
Do you intend to launch any US spacecraft or US launch vehicles?	Yes	No
If YES, please provide the nationality of any person who has contributed money, equipment, technology or personnel to the production or acquisition of any essential and integral part of the launch facilities or its launch business:		
Have you acquired an export control licence/TAA (if needed)?	Yes	No
If applying for a horizontal spaceport licence, are you intending to utilise any existing ATC, airspace or aerodrome systems/resources?	Yes	No
Are you submitting any equivalent assessments as part of your Assessment of Environmental Effects? If YES, please provide details:		
Have you engaged with the other parties taking part in the proposed spaceflight activity regarding obtaining insurance or the use of other financial securities?		
	Yes	No
If YES, please set out the proposed arrangements and attach all the relevant documentation: If NO, please contact the regulator for further discussion prior to submission of the application		
b) REQUIRED ATTACHMENTS		
ALL DOCUMENTS REQUIRED IN TABLE E OF THE REGULATOR'S LICENSING RULES MUST BE ATTACHED.		
Have you attached a safety case?		
Have you attached the outcome of the siting assessment?		
Have you attached an Assessment of Environmental Effects?		
Have you attached a draft Site Security Programme? If NO, do you require a secure mechanism to share this document separately, due to information sensitivity?		
Have you attached a Cyber Security Strategy? If NO, do you require a secure mechanism to share this document separately, due to information sensitivity		

Have you attached any other information to meet the requirements of Table E in the Regulator's Licensing Rules?

4. LAUNCH OPERATOR/RETURN OPERATOR (refer to 'Guidance for launch operator and return operator applicants and licensees' and Table B/Table C of the 'Regulator's Licensing Rules')

a) SIFTING QUESTIONS (used by Regulator to determine and streamline the assessment process)

Do you have a target date to start licensed activity?	Yes	No
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If YES, please provide the date:

What vehicle is your application based on?

Do you know what your intended payload is?	Yes	No
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Are you intending to use a reusable launch vehicle?	Yes	No
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Are you intending to use a carrier aircraft?	Yes	No
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Have you established which Spaceport you intend to use?	Yes	No
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If YES, please provide name and grid reference:

Have you established which Range Control provider(s) you intend to use?	Yes	No
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If YES, please provide name(s)

Are you intending to use any flight termination systems operated by personnel?	Yes	No
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Are you intending to use any automated flight termination systems?	Yes	No
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Does your safety case address any of the following? (tick boxes)

A single launch or return of a specific vehicle design

Multiple launches or returns of a specific vehicle on a specific trajectory

Multiple launches or returns of a specific vehicle using a range of trajectories

Multiple launches or returns of different vehicles using a range of trajectories

Do you intend for your launch vehicle to carry human occupants?	Yes	No
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Do you intend to use both US technology and either a non-US vehicle or foreign spacecraft?

Are you submitting any equivalent assessments as part of your Assessment of Environmental Effects?

If YES, please provide details:

b) LIABILITIES & INSURANCE

Can you provide certified copies of insurance and indemnity arrangements?

If YES, please attach a copy/original of the following documentation:

Insurance certificate (unredacted)

Signed policy wording (unredacted)

Schedule of security (underwriter list)

Any amendments or endorsements to the policy

If NO, can you provide evidence of engagement with insurers indicating that the proposed mission is insurable?

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

Can you provide evidence of engagement on insurance requirements with other jurisdictions? (Only applicable if another jurisdiction needs to issue a licence for the mission)

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

NOT APPLICABLE

Are you intending to use an alternative form of financial security instead of insurance?

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

NOT APPLICABLE

PLEASE NOTE: Applicants must provide necessary insurance documentation to the Regulator at the earliest possible opportunity, including at application stage if available. The regulator understands that certified copies of insurance and indemnity arrangements may not be available at the time the application is submitted, but please note that it will send a request for the applicant to produce these documents during the licensing process as **it will not be able to grant a licence until it has seen and is satisfied with them.**

c) REQUIRED ATTACHMENTS

ALL DOCUMENTS REQUIRED IN TABLE B/C OF THE REGULATOR'S LICENSING RULES MUST BE ATTACHED.

Have you attached the safety case?

Have you attached a copy of the Safety Operations Manual?

Have you attached an Assessment of Environmental Effects? *[N/A for return operators]*

Have you attached details of the financing of the launch vehicle and any associated carrier aircraft, including purchase/leasing arrangements? *[N/A for return operators]*

Have you attached a draft operator security programme?

If NO, do you require a secure mechanism to share this document separately, due to information sensitivity?

Have you attached a cyber security strategy?

If NO, do you require a secure mechanism to share this document separately, due to information sensitivity?

Have you attached confirmation of any radio frequencies and powers to be used?

[If both US technology and either a non-US vehicle or foreign spacecraft are used] **Have you attached information as to the nationality of any person who has contributed money, equipment, technology or personnel to the production or acquisition of any essential and integral part of— a) the non-US vehicle, b) the foreign spacecraft, or c) the applicant's launch business?**

[If required] **Have you attached information relating to where the launch vehicle is to have a human occupant and/or crew?**

[If required] **Have you attached information relating to where launch, landing (of return operator) or control of sub-orbital activities are to be authorised from a ship?**

Have you attached any other information to meet the requirements of Table B/C in the Regulator's Licensing Rules?

4. RANGE CONTROL (refer to 'Guidance for range control licence applicants and licensees' and Table F of the 'Regulator's Licensing Rules')

a) SIFTING QUESTIONS (used by Regulator to determine and streamline the assessment process)

Do you have a target date to start licensed activity? Yes No

If YES, please provide the date:

Please give an overview of the range operation and services you intend to provide:

Co-ordination

Identification

Notification

Boundary Control

Monitoring (Tracking & Surveillance)

Have you identified the location of your ground assets and where they are sited? Yes No

If YES, please provide details and grid reference:

Do you intend to provide services from a single launch site and has this site been identified?

If YES, please provide name of spaceport and grid reference:

Does any part of the proposed range fall in the territory or territorial waters of a foreign country?

Yes No

Please describe the types of launch vehicle you intend to provide services for:

Are you already working with a Spaceflight Operator? Yes No

If YES, please provide name:

Are you already working with a Spaceport? Yes No

If YES, please provide name:

Have you been in contact with the relevant authorities referred to in regulations 44-45 of the Space Industry Regulations 2021? Yes No

Is your equipment intended to be fixed or mobile?

Are you intending to provide any range control services from a ship? Yes No

If YES, please provide information evidencing: a) the location of the proposed provision of range control services, b) the flag of the ship from which those services are to be provided, c) the nationality of the operator

Does your identified equipment have previous experience in comparable situations? Yes No

If YES, please detail why this experience is relevant:

Are you using or developing any new forms of equipment? Yes No

For identified equipment please declare the build status of all major hardware: in design/in build/in test/operational:

Have you discussed with the relevant authority which spectrum frequencies you will use/intend to use as part of your services? Yes No

<p>Are you intending to provide any additional range control functions? (e.g. meteorological, non-flight safety)?</p> <p style="text-align: right;">Yes No</p> <p>Have you engaged with the other parties taking part in the proposed spaceflight activity regarding obtaining insurance or the use of other financial securities?</p> <p>If YES, please set out the proposed arrangements and attach all the relevant documentation: If NO, please contact the regulator for further discussion prior to submission of the application</p>

<p>b) REQUIRED ATTACHMENTS</p> <p>ALL DOCUMENTS REQUIRED IN TABLE F OF THE REGULATOR’S LICENSING RULES MUST BE ATTACHED.</p> <p>Have you attached documentation setting out any proposed/intended agreements made with relevant authorities?</p> <p>Have you attached documentation to provide evidence of your capabilities to provide your proposed range control services? This can include descriptions of the concept of operation, operational plans and procedures, hardware specification and interfaces as well as performance analysis and reliability figures.</p> <p>Have you attached a draft Safety Management System?</p> <p>Have you attached a draft Quality Management System?</p> <p>Have you attached a draft Site Security Programme? If NO, do you require a secure mechanism to share this document separately, due to information sensitivity?</p> <p>Have you attached a Cyber Security Strategy? If NO, do you require a secure mechanism to share this document separately, due to information sensitivity?</p> <p>Have you attached confirmation of any radio frequencies and powers to be used?</p> <p>Have you attached any other information to meet the requirements of Table F in the Regulator’s Licensing Rules?</p>
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<p>4. ORBITAL OPERATOR APPLICATION <i>(refer to ‘Guidance for orbital operator licence applicants and licensees’ and Table D of the ‘Regulator’s Licensing Rules’)</i></p>		
<p>a) SIFTING QUESTIONS <i>(used by Regulator to determine and streamline the assessment process)</i></p>		
<p>Is the orbital operator a UK national or a UK registered company?</p>	Yes	No
<p>Will the orbital operations be carried out from the UK?</p>	Yes	No
<p>Will the launch be procured by a UK national or UK registered company?</p>	Yes	No
<p>Will orbital object(s) be launched from the UK?</p>	Yes	No
<p>Will activities be controlled from a ship?</p>	Yes	No

<p>b) LIABILITIES & INSURANCE</p> <p>Can you provide certified copies of insurance and indemnity arrangements?</p> <p>If YES, please attach a copy/original of the following documentation:</p>

Insurance certificate (unredacted)
Signed policy wording (unredacted)
Schedule of security (underwriter list)
Any amendments or endorsements to the policy

If NO, can you provide evidence of engagement with insurers indicating that the proposed mission is insurable?

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

Can you provide evidence of engagement on insurance requirements with other jurisdictions? (Only applicable if another jurisdiction needs to issue a licence for the mission)

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

NOT APPLICABLE

Are you intending to use an alternative form of financial security instead of insurance?

If YES, please attach a copy/original of all relevant documentation

If NO, please contact the regulator for further discussion prior to submission of the application

NOT APPLICABLE

PLEASE NOTE: Applicants must provide necessary insurance documentation to the Regulator at the earliest possible opportunity, including at application stage if available. The regulator understands that certified copies of insurance and indemnity arrangements may not be available at the time the application is submitted, but please note that it will send a request for the applicant to produce these documents during the licensing process as **it will not be able to grant a licence until it has seen and is satisfied with them.**

c) REQUIRED ATTACHMENTS

ALL DOCUMENTS REQUIRED IN TABLE D OF THE REGULATOR'S LICENSING RULES MUST BE ATTACHED.

Have you attached a description of the nature of the space activities the applicant is proposing to carry out?

Have you attached required contract documentation (the launch services agreement (LSA), satellite supply contract, and other relevant information)?

Have you attached safety and sustainability information, pertaining to the mission?

Have you attached security information, pertaining to the mission, including cyber security information, protection and mitigation?

Have you attached details of radio frequency and powers to be used during the mission?

[if required] **Have you attached information required for where space activities are to be controlled from a ship?**

Have you attached any other information to meet the requirements of Table D in the Regulator's Licensing Rules?

5. PRIVACY NOTICE

The UK CAA's Commercial Spaceflight Team collects and stores your personal details i.e. name and contact details for the purpose of processing Spaceport, Launch Operator and Range Control licence applications.

Why we process your personal information

The processing of your personal information is necessary for the performance of a task we carry out in the public interest or official authority vested in us in accordance with UK law.

Who sees your personal information and why

We may need to share your personal data with other organisations that provide subject matter expertise, based in the UK or outside of the EU (for example the United States), for the purpose of processing your licence application as well as on going oversight.

Where the organisation is outside of the EU, the CAA has ensured that there are adequate safeguards in place to secure your information. You may ask us for more details by contacting CommercialSpaceflight@caa.co.uk

How long we keep your personal information and why

We retain your personal information for the duration of our relationship with you and, thereafter, for a period of 7 years for audit purposes.

Your individual rights

You may withdraw consent at any time, submit an enquiry or make a complaint by emailing FOI.requests@caa.co.uk. Further rights as a data subject can be found [here](#). Contact details of the CAA's Data Protection Officer can be found [here](#). You have a right to complain to the [ICO](#) about the CAA's processing of personal data. Access to our General privacy notice can be found [here](#).

6. DECLARATION

I am applying for a Spaceport / Spaceflight Operator / Range Control / Orbital Operator Licence.

I hereby declare that to the best of my knowledge all information entered on this application is accurate and true.

Name of Applicant or Authorised Representative:

Signature of Applicant or Authorised Representative:

Date:

To the main form or alternatively

Annex: Personal detail submission

Personal detail submission form for applicant, individuals in prescribed roles and any lessor/agent

Note: As per regulation 5(3), where the applicant, lessor or agent of the applicant, is a body corporate, the information is needed in relation to each director, manager (i.e. a senior manager), secretary (e.g. the company secretary) or other similar officer and, where an applicant, lessor or agent of the applicant, is a partnership, the information is needed in relation to each partner in that partnership

False Representation Statement

It is an offence for a person—

(a) to make a statement that the person knows to be false in a material particular, or

(b) recklessly to make a statement that is false in a material particular,

for the purpose of obtaining a licence under the Space Industry Act (whether for the person making the statement or anyone else)

Duty to update

The applicant (and licensee) has a duty to update the regulator in writing as soon as possible of any material change to information provided to the regulator. Failure to do so is an offence.

1. Who are you?

Applicant	Lessor / Agent	Accountable Manager	Safety Manager
Security Manager	Training Manager	Launch Director	Range Operations Manager

2. Personal Details

Name:

Email Address:

Telephone Number:

Which of the following certified copies of 'column A' evidence of identity documents are you submitting?

Valid Passport	Valid full photographic driving licence	Valid EC/EEA Identity Card
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Which of the following certified copies of 'column B' evidence of identity documents are you submitting?

Bank Statement with your address no more than 3 months old	Utility bill with your address no more than 3 months old
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Please upload the required evidence of identity documents here

3. Employment History and Qualifications

Are you submitting any documentary evidence of qualifications (such as certificates and/or licences)?

Yes	No
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Please provide a brief description of attached documentary evidence of qualifications:

Please confirm you have uploaded a document summarising your employment history or academic career covering at least the previous five-year period, including:

Details of current employment; and

A satisfactory explanation of any gaps in employment

Please upload your relevant employment history and qualification documents here

4. References**Details of first referee (current or most recent employer)**

Name:
Job Title:
Employer (company/educational institute):
Email Address:
Telephone Number:

Details of second referee

Name:
Job Title:
Employer (company/educational institute):
Email Address:
Telephone Number:

5. Criminal Record Certificate**Are you a UK Citizen?**

Yes No

Have you been continuously resident in the UK for the previous 5 years?

Yes No

Please list any countries in which you were continuously resident for 6 of more months (in the previous 5-year period):

We will provide a secure mechanism to submit the relevant criminal record documents required under the Regulator's Licensing Rules.

6. Eligibility & other matters**Have you ever been made bankrupt?**

Yes No

Do you currently have a bankruptcy restrictions order or undertaking in force against you?

Yes No

Do you currently have a debt relief restrictions order or undertaking in force against you?

Yes No

Are you currently subject to a moratorium period under a debt relief order?

Yes No

Do you have any unspent convictions for any offence involving fraud or dishonesty, or any other indictable offence?

Yes No

Are you currently subject to a company director disqualification order?

Yes No

7. DECLARATION

I hereby declare that to the best of my knowledge all information entered on this application is accurate and true.

Name:
Signature:
Date: