
STATUTORY INSTRUMENTS

2021 No. 812

The Benchmarks (Provision of Information
and Documents) Regulations 2021

PART 1

General

Citation, commencement and extent

1.—(1) These Regulations may be cited as the Benchmarks (Provision of Information and Documents) Regulations 2021 and come into force on 9th August 2021.

(2) These Regulations extend to England and Wales, Scotland and Northern Ireland.

Interpretation

2. In these Regulations—

“the Benchmarks Regulation” means Regulation (EU) 2016/1011 of the European Parliament and of the Council of 8 June 2016 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds;

“the FCA” means the Financial Conduct Authority.

Transitional provision

3. Part 2 of these Regulations applies for the purposes of a benchmark specified as critical by regulations—

(a) made under—

(i) paragraph 5 or 6 of Article A20 of the Benchmarks Regulation, or

(ii) paragraph 5 of Article 20 of that Regulation, and

(b) which come into force before the day on which these Regulations come into force,

as if the day referred to in regulation 5(2)(a) were a reference to the day on which these Regulations come into force.