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## STATUTORY INSTRUMENTS

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# 2021 No. 1376

## The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2021

### PART 7

#### Exclusion of certain investment firms from Banking Act 2009 provisions

##### Description of institution not included within the meaning of “investment firm”

**33.** An institution which is an “FCA Investment Firm” within the meaning of section 143A (FCA investment firms) of the Financial Services and Markets Act 2000<sup>(1)</sup> is specified for the purpose of section 258A(2)(b) (“investment firm”) of the Banking Act 2009<sup>(2)</sup>.

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##### Commencement Information

**II** Reg. 33 in force at 1.1.2022, see [reg. 1\(3\)](#)

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<sup>(1)</sup> 2000 c. 8. Section 143A was inserted by Schedule 2 to the Financial Services Act 2021 (c. 22).

<sup>(2)</sup> 2009 c. 1. Section 258A was inserted by section 21 of the Financial Services Act 2012 (c. 21). Section 258A has been amended by S.I. 2013/3115 and 2018/1394.

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2021. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)