

**2021 No. 1173 (C. 66)**

**FINANCIAL SERVICES**

**The Financial Services Act 2021 (Commencement No. 3)  
Regulations 2021**

*Made* - - - -

*21st October 2021*

The Treasury make the following Regulations in exercise of the power conferred by section 49(5) of the Financial Services Act 2021(a).

**Citation**

1. These Regulations may be cited as the Financial Services Act 2021 (Commencement No. 3) Regulations 2021.

**Commencement**

2. Section 31 of the Financial Services Act 2021 (maximum sentences for insider dealing and financial services offences) comes into force on 1st November 2021.

21st October 2021

*Alan Mak*  
*Rebecca Harris*  
Two of the Lords Commissioners of Her Majesty's Treasury

**EXPLANATORY NOTE**

*(This note is not part of the Regulations)*

Regulation 2 of these Regulations commences a provision of the Financial Services Act 2021 (c. 22) dealing with maximum sentences for insider dealing and financial services offences (section 31). An impact assessment has not been published for these Regulations as they have no impact on the costs to business or the public or voluntary sectors independent of the provision these Regulations bring into force. A full impact assessment has been published in relation to the Act and copies can be obtained from HM Treasury, 1 Horse Guards Road, London, SW1A 2HQ or at <https://publications.parliament.uk/pa/bills/cbill/58-01/0200/FS%20Bill%20Impact%20Assessment%20-%20October%202020%20-%2020201020.pdf>.

## NOTE AS TO EARLIER COMMENCEMENT REGULATIONS

*(This note is not part of the Regulations)*

<i>Provision</i>	<i>Date of Commencement</i>	<i>S.I. No.</i>
Section 1	1st January 2022	2021/671
Section 2	1st July 2021	2021/671
Section 3	9th June 2021	2021/671
Section 4	9th June 2021	2021/671
Section 5	9th June 2021	2021/671
Section 7	26th June 2021	2021/671
Section 8	1st July 2021	2021/739
Section 9	1st July 2021	2021/739
Section 10	1st July 2021	2021/739
Section 11	1st July 2021	2021/739
Section 12	1st July 2021	2021/739
Section 13	1st July 2021	2021/739
Section 14	1st July 2021	2021/739
Section 15	1st July 2021	2021/739
Section 16	1st July 2021	2021/739
Section 17	1st July 2021	2021/739
Section 18	1st July 2021	2021/739
Section 19	1st July 2021	2021/739
Section 20	1st July 2021	2021/739
Section 21	1st July 2021	2021/739
Section 27	1st July 2021	2021/739
Section 28	1st July 2021	2021/739
Section 29	1st July 2021	2021/739
Section 34	1st July 2021	2021/739
Section 37	1st July 2021	2021/739
Section 38	1st July 2021	2021/739
Section 39	1st July 2021	2021/739
Section 40	1st July 2021	2021/739
Section 43	1st July 2021	2021/739
Schedule 1	1st January 2022	2021/671
Schedule 2	1st July 2021	2021/671
Schedule 3	9th June 2021	2021/671
Schedule 4 (partially)	26th June 2021	2021/671
Schedule 4 (remaining)	1st January 2022	2021/671
Schedule 5	1st July 2021	2021/739
Schedule 10	1st July 2021	2021/739
Schedule 11	1st July 2021	2021/739
Schedule 12 (partially)	28st June 2021	2021/739

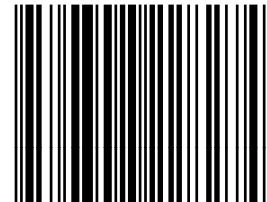
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