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STATUTORY INSTRUMENTS

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**2020 No. 705**

**EXITING THE EUROPEAN UNION  
SANCTIONS**

**The Mali (Sanctions) (EU Exit) Regulations 2020**

*Made* - - - - *7th July 2020*  
*Laid before Parliament* *9th July 2020*  
*Coming into force in accordance with*  
*regulation 1(2)*

**THE MALI (SANCTIONS) (EU EXIT) REGULATIONS 2020**

PART 1

General

1. Citation and commencement
2. Interpretation
3. Application of prohibitions and requirements outside the United Kingdom
4. Purposes

PART 2

Designation of persons

5. Power to designate persons
6. Designation criteria
7. Meaning of “owned or controlled directly or indirectly”
8. Notification and publicity where designation power used
9. Confidential information in certain cases where designation power used
10. Designation of persons named by or under UN Security Council Resolutions

PART 3

Finance

11. Meaning of “designated person” in Part 3
12. Asset-freeze in relation to designated persons
13. Making funds available to designated persons
14. Making funds available for benefit of designated persons

*Status: This is the original version (as it was originally made).*

15. Making economic resources available to designated persons
16. Making economic resources available for benefit of designated persons
17. Circumventing etc. prohibitions

PART 4

Immigration

18. Immigration

PART 5

Exceptions and licences

19. Finance: exceptions from prohibitions
20. Finance: exception for authorised conduct in a relevant country
21. Exception for acts done for purposes of national security or prevention of serious crime
22. Treasury licences
23. Finance: licensing offences
24. Section 8B(1) to (3) of the Immigration Act 1971: directions

PART 6

Information and records

25. Finance: reporting obligations
26. "Relevant firm"
27. Finance: powers to request information
28. Finance: production of documents
29. Finance: information offences
30. Disclosure of information
31. Part 6: supplementary

PART 7

Enforcement

32. Penalties for offences
33. Liability of officers of bodies corporate etc.
34. Jurisdiction to try offences
35. Procedure for offences by unincorporated bodies
36. Time limit for proceedings for summary offences
37. Application of Chapter 1 of Part 2 of the Serious Organised Crime and Police Act 2005

PART 8

Supplementary and final provision

38. Notices
39. Revocations and amendments
40. Transitional provision: Treasury licences
41. Transitional provision: prior obligations  
Signature

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## SCHEDULES

### SCHEDULE 1 — Rules for interpretation of regulation 7(2)

1. Application of Schedule
2. Joint interests
3. Joint arrangements
4. Calculating shareholdings
5. Voting rights
6. In applying regulation 7(2) and this Schedule, the voting rights...
7. Rights to appoint or remove members of the board
8. A reference to a board of directors, in the case...
9. Shares or rights held “indirectly”
10. Shares held by nominees
11. Rights treated as held by person who controls their exercise
12. “Arrangement” includes— (a) any scheme, agreement or understanding, whether or...
13. Rights exercisable only in certain circumstances etc.
14. Rights attached to shares held by way of security

### SCHEDULE 2 — Treasury licences: purposes

#### PART 1

1. Interpretation

#### PART 2 — Purposes

2. Basic needs
3. Legal services
4. Maintenance of frozen funds and economic resources
5. Extraordinary expenses
6. Pre-existing judicial decisions etc.
7. Peace and national reconciliation in Mali etc.

#### PART 3 — Purposes relating only to UN designated persons

8. Prior obligations

#### PART 4 — Purposes relating only to non-UN designated persons

9. Prior obligations
10. Humanitarian assistance activities etc.
11. Diplomatic missions etc.
12. Extraordinary situation

Explanatory Note