
STATUTORY INSTRUMENTS

2020 No. 1385

The Securities Financing Transactions, Securitisation and
Miscellaneous Amendments (EU Exit) Regulations 2020

PART 3

Transitional and saving provisions concerning the Securitisation Regulation

CHAPTER 2

General provisions

Misleading the FCA: criminal offence

34. Section 398 of the Financial Services and Markets Act 2000 (misleading the FCA or PRA: residual cases)⁽¹⁾ applies to a requirement to provide information in accordance with a direction under regulation 30(2)(a) or (b) as it applies to a requirement falling within subsection (1A) of that section.

⁽¹⁾ 2000 c. 8. Section 398 is amended by paragraph 36 of Schedule 9 to the Financial Services Act 2012 (c. 21), S.I. 2013/1773, S.I. 2015/1882, S.I. 2016/680, S.I. 2017/701, S.I. 2018/135, S.I. 2018/698, S.I. 2019/362, and S.I. 2019/1043.