STATUTORY INSTRUMENTS

2020 No. 1278

EXITING THE EUROPEAN UNION SANCTIONS

The Yemen (Sanctions) (EU Exit) (No. 2) Regulations 2020

Made - - - - 12th November 2020 Laid before Parliament 16th November 2020

Coming into force in accordance with regulation 1(2)

THE YEMEN (SANCTIONS) (EU EXIT) (NO. 2) REGULATIONS 2020

PART 1

General

- 1. Citation and commencement
- 2. Interpretation
- 3. Application of prohibitions and requirements outside the United Kingdom
- 4. Purposes

PART 2

Designation of persons

- 5. Power to designate persons
- 6. Criteria for designating a person
- 7. Meaning of "owned or controlled directly or indirectly"
- 8. Notification and publicity where designation power used
- 9. Confidential information in certain cases where designation power used
- 10. Designation of persons named by or under UN Security Council Resolutions

PART 3

Finance

- 11. Meaning of "designated person" in Part 3
- 12. Asset-freeze in relation to designated persons
- 13. Making funds available to designated persons

Status: This is the original version (as it was originally made).

- 14. Making funds available for benefit of designated persons
- 15. Making economic resources available to designated persons
- 16. Making economic resources available for benefit of designated persons
- 17. Circumventing etc. prohibitions

PART 4

Immigration

18. Immigration

PART 5

Trade

- 19. Interpretation of expressions used in this Part
- 20. Export of military goods
- 21. Supply and delivery of military goods
- 22. Making military goods and military technology available
- 23. Transfer of military technology
- 24. Technical assistance relating to military goods and military technology
- 25. Financial services and funds relating to military goods and military technology
- 26. Brokering services: non-UK activity relating to military goods and military technology
- 27. Enabling or facilitating the conduct of armed hostilities
- 28. Circumventing etc. prohibitions
- 29. Defences

PART 6

Exceptions and licences

- 30. Finance: exceptions from prohibitions
- 31. Exception for authorised conduct in a relevant country
- 32. Exception for acts done for purposes of national security or prevention of serious crime
- 33. Treasury licences
- 34. Trade licences
- 35. Licences: general provisions
- 36. Finance: licensing offences
- 37. Trade: licensing offences
- 38. Section 8B(1) to (3) of the Immigration Act 1971: directions

PART 7

Information and records

- 39. Finance: reporting obligations
- 40. "Relevant firm"
- 41. Finance: powers to request information
- 42. Finance: production of documents
- 43. Finance: information offences
- 44. Trade: application of information powers in CEMA
- 45. General trade licences: records
- 46. General trade licences: inspection of records
- 47. Disclosure of information

48. Part 7: supplementary

PART 8

Enforcement

- 49. Penalties for offences
- 50. Liability of officers of bodies corporate etc.
- 51. Jurisdiction to try offences
- 52. Procedure for offences by unincorporated bodies
- 53. Time limit for proceedings for summary offences
- 54. Trade enforcement: application of CEMA
- 55. Trade offences in CEMA: modification of penalty
- 56. Application of Chapter 1 of Part 2 of the Serious Organised Crime and Police Act 2005
- 57. Monetary penalties

PART 9

Maritime enforcement

- 58. Exercise of maritime enforcement powers
- 59. Maritime enforcement officers
- 60. Power to stop, board, search etc.
- 61. Seizure power
- 62. Restrictions on exercise of maritime enforcement powers
- 63. Interpretation of Part 9

PART 10

Supplementary and final provision

- 64. Notices
- 65. Trade: overlapping offences
- 66. Revocations
- 67. Amendment of the United Nations and European Union Financial Sanctions (Linking) Regulations 2017
- 68. Transitional provision: Treasury licences
- 69. Transitional provision: trade licences
- 70. Transitional provision: pending applications for trade licences
- 71. Transitional provision: prior obligations Signature

SCHEDULES

SCHEDULE 1 — Rules for interpretation of regulation 7(2)

- 1. Application of Schedule
- 2. Joint interests
- 3. Joint arrangements
- 4. Calculating shareholdings
- 5. Voting rights
- 6. In applying regulation 7(2) and this Schedule, the voting rights...
- 7. Rights to appoint or remove members of the board
- 8. A reference to a board of directors, in the case...
- 9. Shares or rights held "indirectly"

- 10. Shares held by nominees
- 11. Rights treated as held by person who controls their exercise
- 12. "Arrangement" includes— (a) any scheme, agreement or understanding, whether or...
- 13. Rights exercisable only in certain circumstances etc.
- 14. Rights attached to shares held by way of security

SCHEDULE 2 — Treasury licences: purposes

PART 1 — Interpretation

1. In this Schedule— "designated person" has the same meaning as...

PART 2 — Purposes

- 2. Basic needs
- 3. Legal services
- 4. Maintenance of frozen funds and economic resources
- 5. Extraordinary expenses
- 6. Pre-existing judicial decisions etc.
- 7. Humanitarian assistance activities etc.

PART 3 — Purposes relating only to UN designated persons

8. Prior obligations

PART 4 — Purposes relating only to non-UN designated persons

- 9. Prior obligations
- 10. Diplomatic missions etc.
- 11. Extraordinary situation

Explanatory Note