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STATUTORY INSTRUMENTS

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**2019 No. 855**

**EXITING THE EUROPEAN UNION  
SANCTIONS**

**The Russia (Sanctions) (EU Exit) Regulations 2019**

*Approved by both Houses of Parliament*

*Made - - - - 10th April 2019*

*Laid before Parliament 11th April 2019*

*Coming into force in accordance with  
regulation 1(2) and (3)*

**THE RUSSIA (SANCTIONS) (EU EXIT) REGULATIONS 2019**

PART 1

General

1. Citation and commencement
2. Interpretation
3. Application of prohibitions and requirements outside the United Kingdom
4. Purposes

PART 2

Designation of persons

5. Power to designate persons
6. Designation criteria
7. Meaning of “owned or controlled directly or indirectly”
8. Notification and publicity where designation power used
9. Confidential information in certain cases where designation power used

PART 3

Finance

CHAPTER 1

Finance restrictions in relation to designated persons

10. Meaning of “designated person”
11. Asset-freeze in relation to designated persons

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12. Making funds available to designated person
13. Making funds available for benefit of designated person
14. Making economic resources available to designated person
15. Making economic resources available for benefit of designated person

## CHAPTER 2

### Other financial and investment restrictions

16. Dealing with transferable securities or money-market instruments
17. Loans and credit arrangements
18. Investments in relation to Crimea

## CHAPTER 3

### Further provision

19. Circumventing etc. prohibitions

#### PART 4

#### Immigration

20. Immigration

#### PART 5

#### Trade

## CHAPTER 1

### Interpretation

21. Interpretation of this Part

## CHAPTER 2

### Military goods, military technology and related activities

22. Export of military goods
23. Import of arms and related materiel
24. Supply and delivery of military goods
25. Making available or acquiring military goods and military technology
26. Transfer of military technology
27. Technical assistance relating to military goods and military technology
28. Financial services and funds relating to military goods and military technology
29. Brokering services: non-UK activity relating to military goods and military technology
30. Enabling or facilitating military activities

## CHAPTER 3

### Dual-use goods, dual-use technology and related activities

31. Interpretation of this Chapter
32. Export of dual-use goods
33. Supply and delivery of dual-use goods
34. Making dual-use goods and dual-use technology available
35. Transfer of dual-use technology
36. Technical assistance relating to dual-use goods and dual-use technology
37. Financial services and funds relating to dual-use goods and dual-use technology
38. Brokering services: non-UK activity relating to dual-use goods and dual-use technology

## CHAPTER 4

### Energy-related goods and related activities

39. Interpretation of this Chapter
40. Export of energy-related goods
41. Supply and delivery of energy-related goods
42. Making energy-related goods available
43. Technical assistance relating to energy-related goods
44. Financial services and funds relating to energy-related goods and energy-related technology
45. Brokering services: non-UK activity relating to energy-related goods and energy-related technology
46. Prohibition on providing other energy-related services

## CHAPTER 5

### Exports and imports, and related activities, in relation to Crimea

47. Imports from Crimea
48. Export of infrastructure-related goods to Crimea
49. Supply and delivery of infrastructure-related goods
50. Making infrastructure-related goods available
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52. Financial services and funds relating to infrastructure-related goods etc.
53. Brokering services: non-UK activity relating to infrastructure-related goods and goods from Crimea

## CHAPTER 6

### Other services relating to Crimea

54. Prohibition on providing certain services relating to Crimea

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## CHAPTER 7

### Further provision

- 55. Circumventing etc. prohibitions
- 56. Defences

#### PART 6

##### Ships

- 57. Movement of ships

#### PART 7

##### Exceptions and licences

- 58. Asset-freeze etc.: exceptions from prohibitions
- 59. Exceptions relating to loans and credit arrangements
- 60. Exceptions relating to investments in relation to Crimea
- 61. Trade: exception for emergencies in certain cases
- 62. Exception relating to trade restrictions in relation to Crimea
- 63. Exception for acts done for purposes of national security or prevention of serious crime
- 64. Treasury licences
- 65. Trade licences
- 66. Licences: general provisions
- 67. Finance: licensing offences
- 68. Trade: licensing offences
- 69. Section 8B(1) to (3) of Immigration Act 1971: directions

#### PART 8

##### Information and records

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- 72. Finance: powers to request information
- 73. Finance: production of documents
- 74. Finance: information offences
- 75. Trade: application of information powers in CEMA
- 76. General trade licences: records
- 77. General trade licences: inspection of records
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- 79. Part 7: supplementary

#### PART 9

##### Enforcement

- 80. Penalties for offences
- 81. Liability of officers of bodies corporate etc.
- 82. Jurisdiction to try offences
- 83. Procedure for offences by unincorporated bodies
- 84. Time limit for proceedings for summary offences
- 85. Trade enforcement: application of CEMA
- 86. Trade offences in CEMA: modification of penalty

- 87. Application of Chapter 1 of Part 2 of Serious Organised Crime and Police Act 2005
- 88. Monetary penalties

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##### Maritime enforcement

- 89. Exercise of maritime enforcement powers
- 90. Maritime enforcement officers
- 91. Power to stop, board, search etc.
- 92. Seizure power
- 93. Restrictions on exercise of maritime enforcement powers
- 94. Interpretation of Part 9

#### PART 11

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- 95. Notices
  - 96. Article 20 of the Export Control Order 2008
  - 97. Trade: overlapping offences
  - 98. Revocations of relevant retained EU law
  - 99. Other revocations and amendments
  - 100. Transitional provision: Treasury licences
  - 101. Transitional provision: trade licences
  - 102. Transitional provision: pending applications for trade licences
  - 103. Transitional provision: prior obligations
- Signature

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### SCHEDULES

#### SCHEDULE 1 — Rules for interpretation of regulations 7(2) and 16(7)

- 1. Application of Schedule
- 2. Joint interests
- 3. Joint arrangements
- 4. Calculating shareholdings
- 5. Voting rights
- 6. In applying regulations 7(2) and 16(7) and this Schedule, the...
- 7. Rights to appoint or remove members of the board
- 8. A reference to a board of directors, in the case...
- 9. Shares or rights held “indirectly”
- 10. Shares held by nominees
- 11. Rights treated as held by person who controls their exercise
- 12. “Arrangement” includes— (a) any scheme, agreement or understanding, whether or...
- 13. Rights exercisable only in certain circumstances etc.
- 14. Rights attached to shares held by way of security

#### SCHEDULE 2 — Persons named in relation to financial restrictions

- 1. Sberbank
- 2. VTB bank
- 3. Gazprombank
- 4. Vnesheconombank (VEB)

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5. Rosselkhozbank
6. OPK Oboronprom
7. United Aircraft Corporation
8. Uralvagonzavod
9. Rosneft
10. Transneft
11. Gazprom Neft

SCHEDULE 3 — Energy-related goods and infrastructure-related goods

PART 1 — General

1. Interpretation
- PART 2 — Energy-related goods
2. Any thing falling within the following commodity codes— 7304 11...
3. Any thing falling— (a) within a commodity code mentioned in...  
    PART 3 — Infrastructure-related goods
4. Any thing falling within the following chapters—
5. Any thing falling within the following commodity codes— 3824 3826...

SCHEDULE 4 — Persons named in relation to dual-use items restrictions

1. JSC Sirius
2. OJSC Stankoinstrument
3. OAO JSC Chemcomposite
4. JSC Kalashnikov
5. JSC Tula Arms Plant
6. NPK Technologii Maschinostrojenija
7. OAO Wysokototschnye Kompleksi
8. OAO Almaz Antey
9. OAO NPO Bazalt

SCHEDULE 5 — Treasury licences: purposes

PART 1 — Asset-freeze etc.

1. Interpretation
2. Basic needs
3. Legal services
4. Maintenance of frozen funds and economic resources
5. Extraordinary expenses
6. Pre-existing judicial decisions etc.
7. Extraordinary situation
8. Prior obligations
9. Consular posts
- PART 2 — Investment in Crimea
10. Consular posts
11. Medical and educational purposes
12. To enable anything to be done in relation to the...
13. Health and the environment

Explanatory Note