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*Changes to legislation: The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 is up to date with all changes known to be in force on or before 22 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

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## STATUTORY INSTRUMENTS

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### **2019 No. 707**

# The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019

*Rebecca Harris*  
*Craig Whittaker*  
Two of the Lords Commissioners of Her  
Majesty's Treasury

### Changes to legislation:

The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 is up to date with all changes known to be in force on or before 22 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

View outstanding changes

### Changes and effects yet to be applied to :

- Sch. 1 para. 1 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 2 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 3 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 4 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 5 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 6 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 7 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 8 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 9 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 10 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 11 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 12 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 13 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 14 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 15 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 1 para. 16 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 1 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 24)
- Sch. 2 para. 3 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 4 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 5 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 6 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 7 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 8 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 9 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 3-9 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(3))
- Sch. 2 para. 22 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, Sch. 2 paras. 22-30 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 25(4))



- reg. 50 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 51 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 52 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 53 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 54 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 55 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 56 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 57 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 58 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 59 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 60 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 61 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 62 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 63 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 64 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 65 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 66 coming into force by [S.I. 2019/707 reg. 1\(2\)](#) (S.I. 2019/707, regs. 40-66 omitted before coming into force by S.I. 2019/1234, regs. 1(2), 21)
- reg. 69 words substituted by [S.I. 2019/1370 reg. 4](#) (This amendment not applied to [legislation.gov.uk](#). The affecting statutory instrument has no legal effect. It was made under a procedure which meant that it ceased to have effect 28 days after signing unless it was debated and approved in Parliament within that time. It was not debated and approved within 28 days, so it has expired with no effect.)