

## STATUTORY INSTRUMENTS

# 2019 No. 707

## The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019

### PART 2

#### Amendments of primary legislation

#### CHAPTER 1

#### The Financial Services and Markets Act 2000

#### Appointment by FCA of persons to carry out investigations

- 31.** In section 97 (appointment by FCA of persons to carry out investigations), in subsection (1)—
- [<sup>F1</sup>(a) in paragraph (a), for sub-paragraph (ii) (including the “or” at the end) substitute—  
“(ii) any other provision of qualifying transparency legislation, or”;
  - (b) in paragraph (b), for sub-paragraph (ii) (including the “or” at the end) substitute—  
“(ii) any other provision of qualifying transparency legislation, or”;
  - (c) in paragraph (ba) <sup>M1</sup>, for the words from “by that person of” to the end substitute “ by that person of qualifying transparency legislation; ”.

#### Textual Amendments

- F1** Reg. 31(a)(b) substituted (31.12.2020 immediately before IP completion day) by [The Prospectus \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1234\)](#), regs. 1(3), **18** (with savings in [S.I. 2019/680](#), reg. 11 (as amended by [S.I. 2019/1234](#), reg. 29(2))); 2020 c. 1, Sch. 5 para. 1(1)

#### Commencement Information

- I1** Reg. 31 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(2\)](#)

#### Marginal Citations

- M1** Paragraph (ba) was inserted by [S.I. 2015/1755](#).

**Changes to legislation:**

The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019, Section 31 is up to date with all changes known to be in force on or before 22 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)