

## STATUTORY INSTRUMENTS

# 2019 No. 632

## The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019

### PART 2

#### Amendments to the Act

#### CHAPTER 8

#### Part 12 of the Act: control over authorised persons

#### Section 190 (requests for further information)

- 53.** In section 190 (requests for further information)<sup>M1</sup>, in subsection (4)—
- (a) in paragraph (a)<sup>M2</sup>, for “European Union” substitute “United Kingdom [<sup>F1</sup>or Gibraltar]”;
  - (b) for paragraph (b)<sup>M3</sup>, substitute—
    - “(b) is not subject to supervision under the laws of the United Kingdom (or any part of the United Kingdom) [<sup>F2</sup>or of Gibraltar] relied on immediately before [<sup>F3</sup>IP completion day] to implement—
      - (i) the UCITS directive;
      - (ii) the Solvency 2 Directive;
      - (iii) the markets in financial instruments directive; or
      - (iv) the capital requirements directive,including rules made by the appropriate regulator under this Act, in force on [<sup>F3</sup>IP completion day], and, as amended from time to time, in all other cases.”

#### Textual Amendments

- F1** Words in reg. 53(a) inserted (31.12.2020 immediately before IP completion day) by [The Gibraltar \(Miscellaneous Amendments\) \(EU Exit\) Regulations 2019 \(S.I. 2019/680\)](#), regs. 1(3), **9(3)(a)**; 2020 c. 1, Sch. 5 para. 1(1)
- F2** Words in reg. 53(b) inserted (31.12.2020 immediately before IP completion day) by [The Gibraltar \(Miscellaneous Amendments\) \(EU Exit\) Regulations 2019 \(S.I. 2019/680\)](#), regs. 1(3), **9(3)(b)**; 2020 c. 1, Sch. 5 para. 1(1)
- F3** Words in reg. 53(b) substituted (30.12.2020) by [The Financial Services and Economic and Monetary Policy \(Consequential Amendments\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1301\)](#), regs. 1, 3, **Sch. para. 33(f)**

#### Commencement Information

- I1** Reg. 53 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see [reg. 1\(3\)](#);

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**Changes to legislation:** *The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, Section 53 is up to date with all changes known to be in force on or before 03 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

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**Marginal Citations**

- M1** Section 190 was substituted by [S.I. 2009/534](#).
- M2** Section 190(4)(a) was amended by [S.I. 2011/1043](#).
- M3** Section 190(4)(b) was substituted by [S.I. 2015/575](#).

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, Section 53 is up to date with all changes known to be in force on or before 03 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

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**Changes and effects yet to be applied to :**

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)