

STATUTORY INSTRUMENTS

2019 No. 1043

The Financial Services and Markets Act
2000 (Prospectus) Regulations 2019

PART 2

Amendments of the Financial Services and Markets Act 2000

Prohibition of dealing etc in transferable securities without approved prospectus

6.—(1) Section 85 (prohibition of dealing etc in transferable securities without approved prospectus) ^{M1} is amended as follows.

(2) In subsection (5), for paragraphs (a) and (b) substitute—

“(a) those listed in Article 1(2) of the prospectus regulation;

(b) any offered in an offer falling within Article 1(3) of the prospectus regulation.”

(3) In subsection (6), for “other than” to the end, substitute “ other than those listed in Article 1(2) of the prospectus regulation. ”

(4) After subsection (6) insert—

“(6A) Schedule 11A makes provision that applies for the purposes of Article 1(2)(e) of the prospectus regulation.”

^{F1}(5)

Textual Amendments

F1 Reg. 6(5) omitted (31.12.2020) by virtue of [The Prospectus \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1234\)](#), regs. 1(4), **30** (as substituted by S.I. 2020/628, regs. 1(3), **17(2)**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

Commencement Information

II Reg. 6 in force at 21.7.2019, see [reg. 1\(1\)](#)

Marginal Citations

M1 [Section 85](#) was substituted by [S.I. 2005/1433](#).

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 6.