STATUTORY INSTRUMENTS

## 2019 No. 1043

# The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019

### PART 2

Amendments of the Financial Services and Markets Act 2000

#### Matters which may be dealt with by prospectus rules

5.—(1) Section 84 (matters which may be dealt with by prospectus rules)  $^{M1}$  is amended as follows.

- (2) In subsection (1)—
  - (a) in paragraph (a) omit "(including a summary)"; and
  - (b) in paragraph (c) omit "(including a summary)".
- (3) After subsection (1) —

"(1A) In subsection (1) "prospectus" includes any part of a prospectus, and in particular includes a summary and a supplement."

- (4) For subsection (2)(i) substitute—
  - "(i) the exercise of entitlements under Article 4 of the prospectus regulation, or elections under Article 2(m)(ii) or (iii) of the prospectus regulation as applied for the purposes of this Part by section 102C.".
- (5) In subsection (4), for "directive" substitute " regulation ".
- (6) Omit subsections (5) and (6).

#### **Commencement Information**

I1 Reg. 5 in force at 21.7.2019, see reg. 1(1)

#### **Marginal Citations**

M1 Section 84 was substituted by S.I. 2005/1433 and subsections (1) and (2) subsequently amended by section 16 of the Financial Services Act 2012 c. 21.

**Changes to legislation:** There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 5.