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STATUTORY INSTRUMENTS

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**2019 No. 1043**

The Financial Services and Markets Act  
2000 (Prospectus) Regulations 2019

**PART 2**

Amendments of the Financial Services and Markets Act 2000

**Misleading FCA or PRA: residual cases**

**34.**—(1) Section 398 (misleading FCA or PRA: residual cases)<sup>M1</sup> is amended as follows.

(2) In subsection (1A)<sup>M2</sup>—

- (a) at the end of paragraph (h), omit the “or”,
- (b) in paragraph (i), at the end insert “;or”, and
- (c) after paragraph (i) insert—  
“(j) the prospectus regulation (as defined by section 103).”.

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**Commencement Information**

**II** Reg. 34 in force at 21.7.2019, see [reg. 1\(1\)](#)

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**Marginal Citations**

**M1** [Section 398](#) (including the heading) is amended by paragraph 36 of Schedule 9 to the Financial Services Act 2012.

**M2** Paragraph (1A) was inserted by [S.I. 2013/1773](#), and amended by [S.I. 2015/1882](#), [S.I. 2016/680](#), [S.I. 2017/701](#), [S.I. 2018/135](#) and [S.I. 2018/698](#).

**Changes to legislation:**

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 34.