#### STATUTORY INSTRUMENTS

## 2018 No. 1115

## EXITING THE EUROPEAN UNION FINANCIAL SERVICES

The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018

Made - - - 25th October 2018

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# THE FINANCIAL REGULATORS' POWERS (TECHNICAL STANDARDS ETC.) (AMENDMENT ETC.) (EU EXIT) REGULATIONS 2018

## PART 1

General

1. Citation and commencement

#### PART 2

**EU Exit Instruments** 

- 2. Interpretation
- 3. Delegation
- 4. Division of responsibilities
- 5. Procedure

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## Standards Instruments

- 6. Amendment of the Bank of England Act 1998
- 7. Amendment of the Financial Services and Markets Act 2000
- 8. Amendment of the Banking Act 2009
- 9. Amendment of the Financial Services Act 2012
- 10. Amendment of the Financial Services (Banking Reform) Act 2013
- 11. Amendments of the Payment Card Interchange Fee Regulations 2015 Signature

SCHEDULE —

PART 1 — EU Regulations for which the FCA is the appropriate regulator

#### Alternative Investment Funds Managers Directive

1. Commission Delegated Regulation (EU) 694/2014 supplementing Directive 2011/61/EU of the...

## Credit rating agencies regulation

- 2. Commission Delegated Regulation (EU) 447/2012 of 21 March 2012 supplementing...
- 3. Commission Delegated Regulation (EU) 449/2012 of 21 March 2012 supplementing...
- 4. Commission Delegated Regulation (EU) 2015/1 of 30 September 2014 supplementing...
- 5. Commission Delegated Regulation (EU) 2015/2 of 30 September 2014 supplementing...
- 6. Commission Delegated Regulation (EU) 2015/3 of 30 September 2014 supplementing...

## European Markets Infrastructure Regulation

- 7. Commission Implementing Regulation (EU) 1248/2012 of 19 December 2012 laying...
- 8. Commission Delegated Regulation (EU) 150/2013 of 19 December 2012 supplementing...
- 9. Commission Delegated Regulation (EU) 151/2013 of 19 December 2012 supplementing...

#### European Social Entrepreneurship Fund Regulation

10. Commission Implementing Regulation (EU) 594/2014 of 3 June 2014 laying...

#### European Venture Capital Funds Regulation

11. Commission Implementing Regulation (EU) 593/2014 of 3 June 2014 laying...

#### Insurance Distribution Directive

12. Commission Implementing Regulation (EU) 2017/1469 of 11 August 2017 laying...

#### Market Abuse Regulation

- 13. Commission Implementing Regulation (EU) 2016/347 of 10 March 2016 laying...
- 14. Commission Implementing Regulation (EU) 2016/378 of 11 March 2016 laying...
- 15. Commission Implementing Regulation (EU) 2016/523 of 10 March 2016 laying...
- 16. Commission Delegated Regulation (EU) 2016/908 of 26 February 2016 supplementing...
- 17. Commission Delegated Regulation (EU) 2016/909 of 1 March 2016 supplementing...
- 18. Commission Delegated Regulation (EU) 2016/957 of 9 March 2016 supplementing...
- 19. Commission Delegated Regulation (EU) 2016/958 of 9 March 2016 supplementing...
- 20. Commission Implementing Regulation (EU) 2016/959 of 17 May 2016 laying...
- 21. Commission Implementing Regulation (EU) 2016/960 of 17 May 2016 supplementing...
- 22. Commission Delegated Regulation (EU) 2016/1052 of 8 March 2016 supplementing...
- 23. Commission Implementing Regulation (EU) 2016/1055 of 29 June 2016 laving...
- 24. Commission Implementing Regulation (EU) 2017/1158 of 29 June 2017 laying...

#### Markets in financial instruments directive

- 25. Commission Implementing Regulation (EU) 2016/824 of 25 May 2016 laying...
- 26. Commission Delegated Regulation (EU) 2017/566 of 18 May 2016 supplementing...
- 27. Commission Delegated Regulation (EU) 2017/568 of 24 May 2016 supplementing...
- 28. Commission Delegated Regulation (EU) 2017/569 of 24 May 2016 supplementing...
- 29. Commission Delegated Regulation (EU) 2017/570 of 26 May 2016 supplementing...
- 30. Commission Delegated Regulation (EU) 2017/571 of 2 June 2016 supplementing...
- 31. Commission Delegated Regulation (EU) 2017/573 of 6 June 2016 supplementing...
- 32. Commission Delegated Regulation (EU) 2017/574 of 7 June 2016 supplementing...
- 33. Commission Delegated Regulation (EU) 2017/575 of 8 June 2016 supplementing...
- 34. Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 supplementing...
- 35. Commission Delegated Regulation (EU) 2017/578 of 13 June 2016 supplementing...

- 36. Commission Delegated Regulation (EU) 2017/584 of 14 July 2016 supplementing...
- 37. Commission Delegated Regulation (EU) 2017/586 of 14 July 2016 supplementing...
- 38. Commission Delegated Regulation (EU) 2017/588 of 14 July 2016 supplementing...
- 39. Commission Delegated Regulation (EU) 2017/591 of 1 December 2016 supplementing...
- 40. Commission Delegated Regulation (EU) 2017/592 of 1 December 2016 supplementing...
- 41. Commission Implementing Regulation (EU) 2017/953 of 6 June 2017 laying...
- 42. Commission Implementing Regulation (EU) 2017/980 of 7 June 2017 laying...
- 43. Commission Implementing Regulation (EU) 2017/981 of 7 June 2017 laying...
- 44. Commission Implementing Regulation (EU) 2017/988 of 6 June 2017 laying...
- 45. Commission Implementing Regulation (EU) 2017/1005 of 15 June 2017 laying...
- 46. Commission Delegated Regulation (EU) 2017/1018 of 29 June 2016 supplementing...
- 47. Commission Implementing Regulation (EU) 2017/1093 of 20 June 2017 laying...
- 48. Commission Implementing Regulation (EU) 2017/1110 of 22 June 2017 laving...
- 49. Commission Implementing Regulation (EU) 2017/1111 of 22 June 2017 laying...
- 50. Commission Delegated Regulation (EU) 2017/1943 of 14 July 2016 supplementing...
- 51. Commission Implementing Regulation (EU) 2017/1944 of 13 June 2017 laying...
- 52. Commission Implementing Regulation (EU) 2017/2382 of 14 December 2017 laying...

#### Markets in financial instruments regulation

- 53. Commission Delegated Regulation (EU) 2016/2020 of 26 May 2016 supplementing...
- 54. Commission Delegated Regulation (EU) 2016/2022 of 14 July 2016 supplementing...
- 55. Commission Delegated Regulation (EU) 2017/572 of 2 June 2016 supplementing...
- 56. Commission Delegated Regulation (EU) 2017/577 of 13 June 2016 supplementing...
- 57. Commission Delegated Regulation (EU) 2017/579 of 13 June 2016 supplementing...
- 58. Commission Delegated Regulation (EU) 2017/580 of 24 June 2016 supplementing...
- 59. Commission Delegated Regulation (EU) 2017/583 of 14 July 2016 supplementing...

- 60. Commission Delegated Regulation (EU) 2017/585 of 14 July 2016 supplementing...
- 61. Commission Delegated Regulation (EU) 2017/587 of 14 July 2016 supplementing...
- 62. Commission Delegated Regulation (EU) 2017/590 of 28 July 2016 supplementing...
- 63. Commission Delegated Regulation (EU) 2017/2194 of 14 August 2017 supplementing...

#### Mortgage Credit Directive

64. Commission Delegated Regulation (EU) 1125/2014 of 19 September 2014 supplementing...

#### Packaged Retail and Insurance-Based Investment Products

65. Commission Delegated Regulation (EU) 2017/653 of 8 March 2017 supplementing...

## Payment Services Directive

- 66. Commission Delegated Regulation (EU) 2017/2055 of 23 June 2017 supplementing...
- 67. Article 30 (3) and (5) of Commission Delegated Regulation 2018/389...

#### Prospectus Directive

- 68. Commission Delegated Regulation (EU) 382/2014 of 7 March 2014 supplementing...
- 69. Commission Delegated Regulation (EU) 2016/301 of 30 November 2015 supplementing...

#### Short Selling Regulation

- 70. Commission Delegated Regulation (EU) 826/2012 of 29 June 2012 supplementing...
- 71. Commission Implementing Regulation (EU) 827/2012 of 29 June 2012 laying...
- 72. Commission Delegated Regulation (EU) 919/2012 of 5 July 2012 supplementing...

#### Transparency Directive

- 73. Commission Delegated Regulation (EU) 2015/761 of 17 December 2014 supplementing...
- 74. Commission Delegated Regulation (EU) 2016/1437 of 19 May 2016 supplementing...

## Undertakings for Collective Investment in Transferable Securities

- 75. Commission Implementing Regulation (EU) 584/2010 of 1 July 2010 implementing...
- 76. Commission Implementing Regulation (EU) 2016/1212 of 25 July 2016 laying...
- 77. Commission Delegated Regulation (EU) 2017/2417 of 17 November 2017 supplementing...
  - PART 2 EU Regulations for which the Prudential Regulation Authority is the appropriate regulator

#### Capital Requirements Directive

78. Commission Delegated Regulation (EU) 1222/2014 of 8 October 2014 supplementing...

#### Capital Requirements Regulation

- 79. Commission Implementing Regulation (EU) 2015/233 of 13 February 2015 laying...
- 80. Commission Implementing Regulation (EU) 2015/2344 of 15 December 2015 laying...
- 81. Commission Delegated Regulation (EU) 2016/709 of 26 January 2016 supplementing...
- 82. Commission Delegated Regulation (EU) 2017/208 of 31 October 2016 supplementing...
- 83. Commission Delegated Regulation (EU) 2017/1230 of 31 May 2017 supplementing...

## Central Securities Depositories Regulation

84. Articles 8 to 42 of the Commission Delegated Regulation (EU)...

#### Institutions for Occupational Pension Provision Directive

85. Commission Implementing Regulation (EU) 643/2014 of 16 June 2014 laying...

## Solvency II

- 86. Commission Implementing Regulation (EU) 2015/460 of 19 March 2015 laying...
- 87. Commission Implementing Regulation (EU) 2015/461 of 19 March 2015 laying...
- 88. Commission Implementing Regulation (EU) 2015/462 of 19 March 2015 laying...

- 89. Commission Implementing Regulation (EU) 2015/498 of 24 March 2015 laying...
- 90. Commission Implementing Regulation (EU) 2015/499 of 24 March 2015 laying...
- 91. Commission Implementing Regulation (EU) 2015/500 of 24 March 2015 laying...
- 92. Commission Implementing Regulation (EU) 2015/2011 of 11 November 2015 laying...
- 93. Commission Implementing Regulation (EU) 2015/2012 of 11 November 2015 laying...
- 94. Commission Implementing Regulation (EU) 2015/2013 of 11 November 2015 laying...
- 95. Commission Implementing Regulation (EU) 2015/2014 of 11 November 2015 laying...
- 96. Commission Implementing Regulation (EU) 2015/2015 of 11 November 2015 laying...
- 97. Commission Implementing Regulation (EU) 2015/2016 of 11 November 2015 laying...
- 98. Commission Implementing Regulation (EU) 2015/2017 of 11 November 2015 laying...
- 99. Commission Implementing Regulation (EU) 2015/2450 of 2 December 2015 laying...
- 100. Commission Implementing Regulation (EU) 2015/2451 of 2 December 2015 laying...
- 101. Commission Implementing Regulation (EU) 2015/2452 of 2 December 2015 laying...
- 102. Commission Implementing Regulation (EU) 2016/1630 of 9 September 2016 laying...
- 103. Commission Implementing Regulation (EU) 2016/1800 of 11 October 2016 laying...
  - PART 3 EU Regulations for which the Bank of England is the appropriate regulator

#### Bank Recovery and Resolution Directive

- 104. Commission Implementing Regulation (EU) 2016/962 of 16 June 2016 laying...
- 105. Commission Implementing Regulation (EU) 2016/1066 of 17 June 2016 laying...
- 106. Articles 22 to 32, 37 to 41 and 50 to...
- 107. Commission Delegated Regulation (EU) 2016/1400 of 10 May 2016 supplementing...
- 108. Commission Delegated Regulation (EU) 2016/1401 of 23 May 2016 supplementing...
- 109. Commission Delegated Regulation (EU) 2016/1450 of 23 May 2016 supplementing...
- 110. Commission Delegated Regulation (EU) 2016/1712 of 7 June 2016 supplementing...
- 111. Commission Implementing Regulation (EU) 2018/308 of 1 March 2018 laying
- 112. Commission Delegated Regulation (EU) 2018/344 supplementing Directive 2014/59/EU of the...

113. Commission Delegated Regulation (EU) 2018/345 of 14 November 2017 supplementing...

## Central Securities Depositories Regulation

- 114. Articles 1 to 7 of, and the Annex to, Commission...
- 115. Commission Delegated Regulation (EU) 2017/391 of 11 November 2016 supplementing...
- 116. Commission Delegated Regulation (EU) 2017/392 of 11 November 2016 supplementing...
- 117. Commission Implementing Regulation (EU) 2017/393 of 11 November 2016 laying...
- 118. Commission Implementing Regulation (EU) 2017/394 of 11 November 2016 laying...

## European Markets Infrastructure Regulation

- 119. Commission Implementing Regulation (EU) 1249/2012 of 19 December 2012 laying...
- 120. Commission Delegated Regulation (EU) 152/2013 of 19 December 2012 supplementing...
- 121. Commission Delegated Regulation (EU) 153/2013 of 19 December 2012 supplementing...
- 122. Commission Delegated Regulation (EU) 876/2013 of 28 May 2013 supplementing...
- 123. Commission Implementing Regulation (EU) 484/2014 of 12 May 2014 laying...
  - PART 4 EU Regulations for which both the PRA and FCA are appropriate regulators

## Bank Recovery and Resolution Directive

- 124. Commission Implementing Regulation (EU) 2016/911 of 9 June 2016 laying...
- 125. Articles 1 to 21, 33 to 36, 42 to 49...

#### Capital Requirements Directive

- 126. Commission Delegated Regulation (EU) 524/2014 of 12 March 2014 supplementing...
- 127. Commission Delegated Regulation (EU) 527/2014 of 12 March 2014 supplementing...
- 128. Commission Delegated Regulation (EU) 530/2014 of 12 March 2014 supplementing...
- 129. Commission Delegated Regulation (EU) 604/2014 of 4 March 2014 supplementing...
- 130. Commission Implementing Regulation (EU) 620/2014 of 4 June 2014 laying...

- 131. Commission Implementing Regulation (EU) 650/2014 of 4June 2014 laying down...
- 132. Commission Implementing Regulation (EU) 710/2014 of 23 June 2014 laying...
- 133. Commission Implementing Regulation (EU) 926/2014 of 27 August 2014 laying...
- 134. Commission Delegated Regulation (EU) 1151/2014 of 4 June 2014 supplementing...
- 135. Commission Delegated Regulation (EU) 1152/2014 of 4 June 2014 supplementing...
- 136. Commission Delegated Regulation (EU) 2016/98 of 16 October 2015 supplementing...
- 137. Commission Implementing Regulation (EU) 2016/99 of 16 October 2015 laying...
- 138. Commission Implementing Regulation (EU) 2016/2070 of 14 September 2016 laying...
- 139. Commission Delegated Regulation (EU) 2017/180 of 24 October 2016 supplementing...
- 140. Commission Implementing Regulation (EU) 2017/461 of 16 March 2017 laying...

## Capital Requirements Regulation

- 141. Commission Implementing Regulation (EU) 2013/1423 of 20December 2013 laying down...
- 142. Commission Delegated Regulation (EU) 2014/183 of 20December 2013 supplementing Regulation...
- 143. Commission Delegated Regulation (EU) 2014/241 of 7January 2014 supplementing Regulation...
- 144. Commission Delegated Regulation (EU) 2014/523 of 12 March 2014 supplementing...
- 145. Commission Delegated Regulation (EU) 2014/525 of 12 March 2014 supplementing...
- 146. Commission Delegated Regulation (EU) 2014/526 of 12 March 2014 supplementing...
- 147. Commission Delegated Regulation (EU) 2014/528 of 12 March 2014 supplementing...
- 148. Commission Delegated Regulation (EU) 2014/529 of 12 March 2014 supplementing...
- 149. Commission Implementing Regulation (EU) 2014/602 of 4 June 2014 laying...
- 150. Commission Delegated Regulation (EU) 2014/625 of 13 March 2014 supplementing...
- 151. Commission Implementing Regulation (EU) 2014/680 of 16 April 2014 laying...
- 152. Commission Implementing Regulation (EU) 2014/945 of 4 September 2014 laying...
- 153. Commission Implementing Regulation (EU) 1030/2014 of 29 September 2014 laying...
- 154. Commission Delegated Regulation (EU) 2014/1187 of 2October 2014 supplementing Regulation...

- 155. Commission Delegated Regulation (EU) 2015/585 of 18 December 2014 supplementing...
- 156. Commission Delegated Regulation (EU) 2015/1555 of 28 May 2015 supplementing...
- 157. Commission Delegated Regulation (EU) 2015/1556 of 11 June 2015 supplementing...
- 158. Commission Implementing Regulation (EU) 2015/2197 of 27 November 2015 laying...
- 159. Commission Implementing Regulation (EU) 2016/100 of 16 October 2015 laying...
- 160. Commission Delegated Regulation (EU) 2016/101 of 26 October 2015 supplementing...
- 161. Commission Implementing Regulation (EU) 2016/200 of 15 February 2016 laying...
- 162. Commission Implementing Regulation (EU) 2016/1646 of 13 September 2016 laying...
- 163. Commission Implementing Regulation (EU) 2016/1799 of 7 October 2016 laying...
- 164. Commission Implementing Regulation (EU) 2016/1801 of 11 October 2016 on...
- 165. Commission Delegated Regulation (EU) 2017/72 of 23 September 2016 supplementing...
- 166. Commission Delegated Regulation (EU) 2017/2295 of 4 September 2017 supplementing...
- 167. Commission Delegated Regulation (EU) 2018/171 of 19 October 2017 on...
- 168. Commission Delegated Regulation (EU) 2018/728 of 24 January 2018 supplementing...

#### European Markets Infrastructure Regulation

169. Commission Delegated Regulation (EU) 2016/2251 of 4 October 2016 supplementing...

#### Financial Conglomerates Directive

- 170. Commission Delegated Regulation (EU) 342/2014 of 21 January 2014 supplementing...
- 171. Commission Delegated Regulation (EU) 2015/2303 of 28 July 2015 supplementing...

#### Markets in Financial Instruments Directive

- 172. Commission Delegated Regulation (EU) 2017/589 of 19 July 2016 supplementing...
- 173. Commission Implementing Regulation (EU) 2017/1945 of 19 June 2017 laying...
- 174. Commission Delegated Regulation (EU) 2017/1946 of 11 July 2017 supplementing...
  - PART 5 EU Regulations for which the appropriate regulator is both the FCA and the Bank of England

## Markets in Financial Instruments Regulation

- 175. Commission Delegated Regulation (EU) 2017/581 of 24 June 2016 supplementing...
- 176. Commission Delegated Regulation (EU) 2017/582 of 29 June 2016 supplementing...
- 177. Commission Delegated Regulation (EU) 2017/2154 of 22 September 2017 supplementing...

## European Markets Infrastructure Regulation

- 178. Commission Implementing Regulation (EU) 1247/2012 of 19 December 2012 laying...
- 179. Commission Delegated Regulation (EU) 148/2013 of 19 December 2012 supplementing...
- 180. Commission Delegated Regulation (EU) 149/2013 of 19 December 2012 supplementing...
- 181. Commission Delegated Regulation (EU) 285/2014 of 13 February 2014 supplementing...
- 182. Commission Delegated Regulation (EU) 2015/2205 of 6 August 2015 supplementing...
- 183. Commission Delegated Regulation (EU) 2016/592 of 1 March 2016 supplementing...
- 184. Commission Delegated Regulation (EU) 2016/1178 of 10 June 2016 supplementing...
  - PART 6 EU Regulations for which the Payment Systems Regulator is the appropriate regulator

#### Interchange Fee Regulation

185. The Commission Delegated Regulation (EU) 2018/72 of 4 October 2017...

**Explanatory Note**