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*Changes to legislation: The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017 is up to date with all changes known to be in force on or before 14 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)*

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## STATUTORY INSTRUMENTS

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### **2017 No. 701**

# The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017

*David Evennett*  
*Andrew Griffiths*  
Two Lords Commissioners of Her Majesty's  
Treasury

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017 is up to date with all changes known to be in force on or before 14 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

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**Changes and effects yet to be applied to :**

- reg. 1(3)(b)(i) words inserted by [S.I. 2018/1403 reg. 6\(b\)](#) (This amendment not applied to legislation.gov.uk. Reg. 6(b) substituted immediately before IP completion day by S.I. 2019/710, regs. 1(2), 16(3))
- reg. 3(4)(d) word inserted by [S.I. 2018/1403 reg. 7\(3\)\(c\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 7(3)(c) omitted immediately before IP completion day by virtue of S.I. 2020/628, regs. 1(2), 7(2))
- reg. 3(4)(e) omitted by [S.I. 2018/1403 reg. 7\(3\)\(c\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. Reg. 7(3)(c) omitted immediately before IP completion day by virtue of S.I. 2020/628, regs. 1(2), 7(2))
- reg. 16 heading word substituted by [2023 c. 29 Sch. 2 para. 46\(2\)](#)
- reg. 16(1) word substituted by [2023 c. 29 Sch. 2 para. 46\(3\)\(a\)](#)
- reg. 16(1) words substituted by [2023 c. 29 Sch. 2 para. 46\(3\)\(b\)](#)
- reg. 16(2) omitted by [2023 c. 29 Sch. 2 para. 46\(5\)](#)
- reg. 16(3) omitted by [2023 c. 29 Sch. 2 para. 46\(5\)](#)
- reg. 16(5) omitted by [2023 c. 29 Sch. 2 para. 46\(5\)](#)
- reg. 17 revoked by [2023 c. 29 Sch. 2 para. 50\(b\)\(i\)](#)
- reg. 18 revoked by [2023 c. 29 Sch. 2 para. 50\(b\)\(ii\)](#)
- reg. 19 revoked by [2023 c. 29 Sch. 2 para. 50\(b\)\(iii\)](#)
- reg. 25 revoked by [2023 c. 29 Sch. 2 para. 50\(b\)\(iv\)](#)
- reg. 27(1)(a)(b) words omitted by [2023 c. 29 Sch. 2 para. 47\(2\)](#)
- reg. 27(2) words omitted by [2023 c. 29 Sch. 2 para. 47\(3\)](#)
- reg. 27(3) substituted by [2023 c. 29 Sch. 2 para. 47\(4\)](#)
- reg. 28(1) words substituted by [2023 c. 29 Sch. 2 para. 48\(2\)](#)
- reg. 28(2) words inserted by [2023 c. 29 Sch. 2 para. 48\(3\)](#)
- reg. 28(3) words omitted by [2023 c. 29 Sch. 2 para. 48\(4\)](#)
- reg. 29(1) revoked by [2023 c. 29 Sch. 2 para. 50\(b\)\(v\)](#)
- reg. 29(2) words inserted by [2023 c. 29 Sch. 2 para. 49\(a\)](#)
- reg. 29(2) words inserted by [2023 c. 29 Sch. 2 para. 49\(b\)](#)
- reg. 30(1B)(c) words substituted by [S.I. 2023/548 art. 3\(2\)\(a\)](#)
- reg. 30(1B)(c) words substituted by [S.I. 2023/548 art. 3\(2\)\(b\)](#)
- reg. 47 heading substituted by [S.I. 2023/548 art. 3\(3\)\(a\)](#)
- reg. 47(1)(a) omitted by [S.I. 2023/548 art. 3\(3\)\(b\)](#)
- reg. 47(1)(b) words omitted by [S.I. 2023/548 art. 3\(3\)\(c\)\(i\)](#)
- reg. 47(1)(b) words omitted by [S.I. 2023/548 art. 3\(3\)\(c\)\(ii\)](#)

**Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:**

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 15A inserted by [2023 c. 29 Sch. 2 para. 45](#)
- reg. 16(1A)(1B) omitted by [2023 c. 29 Sch. 2 para. 46\(4\)](#)