

**Changes to legislation:** The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017, Paragraph 4 is up to date with all changes known to be in force on or before 01 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

## SCHEDULE 2

### Amendments to the Financial Services and Markets Act 2000

#### Amendments to Part 4A (permission to carry on regulated activities)

4. After section 55K(1)(d) <sup>M1</sup> (investment firms: particular conditions that enable cancellation) insert—

“,

(e) that the firm has seriously or systematically infringed the markets in financial instruments regulation.”.

#### Marginal Citations

**M1** Section 55K was inserted by section 11(2) of the Financial Services Act 2012.

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017, Paragraph 4 is up to date with all changes known to be in force on or before 01 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:**

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 15A inserted by [2023 c. 29 Sch. 2 para. 45](#)
- reg. 16(1A)(1B) omitted by [2023 c. 29 Sch. 2 para. 46\(4\)](#)