

STATUTORY INSTRUMENTS

2017 No. 701

The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017

PART 6

Miscellaneous FCA functions in relation to the markets in financial instruments directive and markets in financial instruments regulation

[^{F1}Position management and publication of position reports

- 47A.**—(1) The FCA must maintain a database containing—
- (a) the position limits established by the FCA under regulation 16; and
 - (b) the information the FCA receives from—
 - (i) market operators operating trading venues summarising the position management controls in effect in relation to trading venues under paragraph 7BA(3) of the Schedule to the Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges, Clearing Houses and Central Securities Depositories) Regulations 2001 (“the Recognition Requirements Regulations”); and
 - (ii) firms operating MTF or OTF in the United Kingdom summarising the position management controls in effect in relation to trading venues under rule 10.3.3R of the Market Conduct sourcebook.
- (2) The FCA must ensure that the database referred to in paragraph (1) is published on its website.
- (3) The FCA must publish all weekly reports it receives from market operators and firms operating trading venues in the United Kingdom under—
- (a) paragraph 7BB(5) of the Schedule to the Recognition Requirements Regulations;
 - (b) rule 10.4.3 of the Market Conduct sourcebook.
- (4) For the purpose of this regulation, “market operator” has the meaning given in Article 2(1) (10) of the markets in financial instruments regulation.]

Textual Amendments

- F1** Reg. 47A inserted (31.12.2020) by [The Markets in Financial Instruments \(Amendment\) \(EU Exit\) Regulations 2018 \(S.I. 2018/1403\)](#), regs. 1(3), **15(5)** (with savings in S.I. 2019/680, reg. 11); 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation:

The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017, Section 47A is up to date with all changes known to be in force on or before 10 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 15A inserted by [2023 c. 29 Sch. 2 para. 45](#)
- reg. 16(1A)(1B) omitted by [2023 c. 29 Sch. 2 para. 46\(4\)](#)