

SCHEDULES

SCHEDULE 13

PENALTIES

PART 3

Provisions Referred to in Article 265(7)

CHAPTER 1

| <i>Article of Order</i> | <i>Subject matter</i> |
|-------------------------|---|
| 33(1) | Aircraft not to fly without a certificate of airworthiness |
| 33(7) | Aircraft to comply with flight manual |
| 37(2) | Non-military State aircraft to have a national certificate of airworthiness or [^{F1} a Part-21] certificate of airworthiness |
| 37(3) | Requirements for non-military State aircraft with [^{F2} Part-21] certificate of airworthiness |
| [^{F3} 47(3) | Certificate of release to service not to be issued if any known danger to flight safety] |
| 97(2) | Requirement to comply with regulations for the carriage of dangerous goods |
| 98(2) | Requirements for carriage of munitions of war |
| 98(3) | Prohibition on carriage of sporting weapon or munition of war where passengers have access |
| 99 | Prohibition on carrying on board sporting weapons or munitions of war |
| 101(1) | Aircraft not to fly for public transport without AOC |
| F4 | F4 |
| ... | ... |
| 103 | Aircraft not to fly on a commercial air transport operation except under a Part-CAT AOC |
| 104(1) | Offering commercial air transport and public transport flights without AOC |
| 134(4) | Aircraft not to fly in the service of a police authority except under a Police Air Operator's Certificate, a national Air Operator's Certificate or a Part-CAT air operator certificate |

Changes to legislation: There are currently no known outstanding effects for the The Air Navigation Order 2016, PART 3. (See end of Document for details)

| | |
|--------------------------|--|
| 136 | Requirement for appropriate licence to act as member of flight crew of [^{F5} Part-21] aircraft registered in the United Kingdom |
| 137 | Requirement for appropriate licence to act as member of flight crew of [^{F6} non-Part-21] aircraft registered in the United Kingdom |
| 148 | Requirement for appropriate licence to act member of flight crew of aircraft registered elsewhere than in the United Kingdom |
| [^{F7} 149(1) | Requirement for appropriate licence to act as flight crew member of [^{F8} Part-21] registered aircraft elsewhere than in the United Kingdom] |
| 162 | Requirement for a Part MED medical certificate for a Part-FCL licence |
| 175(1) | Operator's obligation to regulate flight times of crew |
| 175(2) | Operator's obligation not permit flight by crew in dangerous state of fatigue |
| 176(1) | Crew's obligation not to fly in dangerous state of fatigue |
| ^{F9} | ^{F9} |
| ... | ... |
| 179 | Fatigue of crew – ^{F10} |
| | ... Part-CAT operator's responsibilities |
| 180(1) | Requirement for air traffic control approval |
| [^{F11} 187(1) | Instrument flight procedures to be approved before notification] |
| [^{F12} 188(2)] | Requirement to hold student air traffic controller licence or air traffic controller licence |
| ^{F13} | ^{F13} |
| ... | ... |
| ^{F13} | ^{F13} |
| ... | ... |
| 220(6) | Aviation fuel not to be dispensed if not fit for use |
| 220(7) | Compliance with direction not to dispense aviation fuel |
| ^{F9} | ^{F9} |
| ... | ... |
| 241 | Endangering safety of any person or property |
| 242 | Drunkenness in aircraft |
| 245(c) | Intentional interference |
| 247 | Obligations of operator and pilot in command when flying over foreign country |
| 248(1) | Compliance with operational directives |

| | |
|--------------------------|---|
| 250(1) | Restriction on carriage, where valuable consideration is given or promised, in aircraft registered elsewhere than in the United Kingdom |
| 250(7) | Restriction on holding self out as a person offering carriage by air |
| 252 | Restriction on aerial photography, aerial survey and commercial operation in aircraft registered elsewhere than in ^[F14] the United Kingdom] |
| 256 (except (2) and (5)) | Prohibitions in relation to documents and records |
| 257(3) | Flight in contravention of CAA's direction not to fly |
| 258 | Grounded aircraft not to fly |
| 259(3) | Flight in contravention of Secretary of State's direction not to fly |

- F1** Words in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(i)(aa)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F2** Word in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(i)(bb)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F3** Words in Sch. 13 Pt. 3 Ch. 1 inserted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **56(a)**
- F4** Words in Sch. 13 Pt. 3 Ch. 1 omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(ii)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F5** Word in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(iii)(aa)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F6** Word in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(iii)(bb)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F7** Words in Sch. 13 Pt. 3 Ch. 1 inserted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **56(b)**
- F8** Word in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(iii)(cc)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F9** Words in Sch. 13 Pt. 3 Ch. 1 revoked (7.8.2019) by [The Air Navigation \(Cosmic Radiation Protection of Air Crew and Space Crew and Consequential Amendments\) Order 2019](#) (S.I. 2019/1115), arts. 1, **28(1)(c)** (with arts. 3, 28(3))
- F10** Words in Sch. 13 Pt. 3 Ch. 1 omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(a)(iii)(dd)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)
- F11** Words in Sch. 13 Pt. 3 Ch. 1 inserted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **56(c)**
- F12** Word in Sch. 13 Pt. 3 Ch. 1 substituted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **56(d)**
- F13** Words in Sch. 13 Pt. 3 Ch. 1 omitted (14.12.2017) by virtue of [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **56(e)**
- F14** Words in Sch. 13 Pt. 3 Ch. 1 substituted (31.12.2020) by [The Operation of Air Services \(Amendment etc.\) \(EU Exit\) Regulations 2018](#) (S.I. 2018/1392), regs. 1(2), **6(5)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the The Air Navigation Order 2016, PART 3. (See end of Document for details)

CHAPTER 2

| <i>Provision of Part 21, Part M, Part 145, Part 66 or Part 147</i> | <i>Subject matter</i> |
|--|--|
| M.A.201(a) and (b) | Responsibilities of owner or lessee |
| M.A.201(d) | Responsibilities of the pilot in command or operator |
| M.A.201(e) and (f) | Responsibilities of continuing airworthiness management organisation |
| M.A.201(h) | Obligations of commercial air transport operator |
| M.A.201(i) | Obligations of certificated operator |
| M.A.403 | Aircraft defects to be rectified |
| M.A.902(b) | Aircraft not to fly if airworthiness review certificate invalid |

^{F15}CHAPTER 3

F15 Sch. 13 Pt. 3 Ch. 3 omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(b)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)

^{F16}CHAPTER 4

F16 Sch. 13 Pt. 3 Ch. 4 substituted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017](#) (S.I. 2017/1112), arts. 1, **57**

| <i>Provision of</i> ^{F17} | <i>Subject matter</i> |
|--|--|
| ... Air Traffic Controller Licensing Regulation | |
| Article 2(1) | Compliance with requirements and procedures |
| ATCO.A.015(b) | Exercise of the privileges of licences and provisional inability |
| ATCO.MED.A.020 | Decrease in medical fitness] |

F17 Word in Sch. 13 Pt. 3 Ch. 4 omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/645), reg. 1, **Sch. 1 para. 85(4)(c)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), 12); 2020 c. 1, Sch. 5 para. 1(1)

CHAPTER 5

| <i>Provision of</i> ^{F18} | <i>Subject matter</i> |
|---------------------------------------|--|
| ... Air Operations Regulation | |
| CAT.GEN.MPA.155 | Prohibition of carriage of weapons or munitions of war |

| | |
|--------------------|---|
| CAT.GEN.MPA.100(c) | Crew member's obligation not to fly in dangerous state of fatigue |
| CAT.GEN.MPA.200 | Operator to comply with Technical Instructions |
| SPA.DG.100 | Operator to hold approval to transport dangerous goods |

F18 Word in [Sch. 13 Pt. 3 Ch. 5](#) omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), reg. 1, [Sch. 1 para. 85\(4\)\(d\)](#) (with [Sch. 3](#)) (as amended by [S.I. 2019/1098](#), regs. 1(3), 12); 2020 c. 1, [Sch. 5 para. 1\(1\)](#))

CHAPTER 6

| <i>Provision of</i> <i>F19</i> <i>... Aerodromes Regulation</i> | <i>Subject matter</i> |
|---|---|
| ADR.OR.C 030 | Failure to report any accident, serious incident or occurrence or to report in the form and manner required or within the required time limit |

F19 Word in [Sch. 13 Pt. 3 Ch. 6](#) omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), reg. 1, [Sch. 1 para. 85\(4\)\(e\)](#) (with [Sch. 3](#)) (as amended by [S.I. 2019/1098](#), regs. 1(3), 12); 2020 c. 1, [Sch. 5 para. 1\(1\)](#))

[^{F20}CHAPTER 7

F20 [Sch. 13 Pt. 3 Ch. 7](#) substituted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017 \(S.I. 2017/1112\)](#), arts. 1, [58](#)

| <i>Provision of SERA</i> | <i>Subject matter</i> |
|--------------------------|---|
| 2020 | Problematic use of psychoactive substance |
| 3101 | Negligent or reckless operation of aircraft |
| 5005 (j) | VFR flight within or into designated areas or along designated routes |
| 8020 | Adherence to flight plan |
| 8025 | Position Reports |
| 8035 | Communications |
| 11015(b) | Pilot-in-command of an aircraft to comply with instructions given by intercepting aircraft] |

CHAPTER 8

| <i>Provision of Occurrence Reporting Regulation</i> | <i>Subject matter</i> |
|---|-----------------------------------|
| 4 | Requirement to report occurrences |

Changes to legislation: There are currently no known outstanding effects for the The Air Navigation Order 2016, PART 3. (See end of Document for details)

CHAPTER 9

| <i>Provision of Part-NCC</i> | <i>Subject matter</i> |
|------------------------------|------------------------------|
| NCC.GEN.150 | Transport of dangerous goods |

CHAPTER 10

| <i>Provision of Part-NCO</i> | <i>Subject matter</i> |
|-------------------------------|---|
| NCO.GEN.105(a)(5) | Pilot in command responsibilities and authority |
| NCO.GEN.140 | Transport of dangerous goods |
| NCO.GEN.145 | Immediate reaction to a safety problem |
| NCO.OP.185 | In-flight fuel management |
| [^{F21} NCO.SPEC.160 | Release of dangerous goods |
| NCO.SPEC.165 | Carriage and use of weapons |
| NCO.SPEC.HESLO.110 | Transportation of dangerous goods] |

F21 Words in Sch. 13 Pt. 3 Ch. 10 inserted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017 \(S.I. 2017/1112\)](#), arts. 1, **59**

[^{F22}CHAPTER 11

F22 Sch. 13 Pt. 3 Ch. 11 inserted (14.12.2017) by [The Air Navigation \(Amendment\) Order 2017 \(S.I. 2017/1112\)](#), arts. 1, **60**

| <i>Provision of Part-SPO</i> | <i>Subject matter</i> |
|------------------------------|------------------------------------|
| SPO.GEN.150 | Transport of dangerous goods |
| SPO.GEN.155 | Release of dangerous goods |
| SPO.GEN.160 | Carriage and use of weapons |
| SPO.SPEC.HESLO.110 | Transportation of dangerous goods] |

[^{F23}CHAPTER 12

F23 Sch. 13 Pt. 3 Ch. 12 inserted (5.12.2018) by [The Air Navigation \(Amendment\) \(No. 2\) Order 2018 \(S.I. 2018/1160\)](#), arts. 1, **9**

| <i>Provision of Part-ORO</i> | <i>Subject matter</i> |
|------------------------------|---|
| ORO.GEN.110(j) to inclusive | (k) Operator responsibilities |
| ORO.GEN.125 | Terms of approval and privileges of an AOC holder |
| ORO.GEN.160 | Occurrence reporting |
| ORO.AOC.100(a) | Application for an air operator certificate |

| <i>Provision of Part-ORO</i> | <i>Subject matter</i> |
|------------------------------|---|
| ORO.AOC.120(a) and (b) | Approvals to provide cabin crew training and to issue cabin crew attestations |
| ORO.DEC.100 | Declaration |
| ORO.SPO.110(a) | Authorisation of high risk commercial specialised operations |
| ORO.SPO.115 | Changes |
| ORO.SPO.120 | Continued validity |
| ORO.SEC.100 | Flight crew compartment security – aeroplanes |
| ORO.SEC.105 | Flight crew compartment security – helicopters |
| ORO.FTL.110 | Operator responsibilities |
| ORO.FTL.210 | Flight times and duty periods] |

Changes to legislation:

There are currently no known outstanding effects for the The Air Navigation Order 2016, PART 3.