

## SCHEDULES

### SCHEDULE 13

#### PENALTIES

#### PART 1

#### Provisions Referred to in Article 265(5)

#### CHAPTER 1

<i>Article of Order</i>	<i>Subject matter</i>
26(3)	Unqualified person not to cause or permit aircraft to be used for commercial air transport, public transport or commercial operation
28(1)	Registered owner to inform the CAA of specified events
28(2)	Person who becomes the owner of aircraft registered in the United Kingdom must inform the CAA
42(1)(b)(ii)	Requirement to fly by day and in accordance with Visual Flight Rules
42(2)	Requirement for placard
70(1)(a)	Pilot to be secured in seat
70(4)	Operator not to permit helicopter rotor to be turned unless pilot at controls
113(1) and (2)	Requirements for accident prevention and flight safety programme
118(2)	Requirement to wear a survival suit
124(1)	Person supervising loading to prepare and sign load sheet and submit it for examination by pilot in command
124(2)	Carriage and preservation of load sheet
125(1)	Carriage of baggage
171	Minimum age for glider pilot
193	Not to act as air traffic controller or student air traffic controller where exam etc failed
196	Certification of training organisations
197	Requirement for certified training organisation to produce records
202	Unauthorised operation of aeronautical radio station

*Status: This is the original version (as it was originally made).*

<i>Article of Order</i>	<i>Subject matter</i>
205(3)	Requirement to notify aeronautical radio station service
206(1)	Requirement to keep air traffic service equipment records
206(4)	Capability of recording apparatus
206(5)	Operation of recording apparatus
206(8) and (9)	Requirements where apparatus ceases to be capable of recording or becomes unserviceable
206(10) to (12)	Air traffic service equipment records
212(5)	Requirement to supply information
214(4)	Requirement for holder of public use licence to notify
215	Requirement for holder of public use licence to supply information to Secretary of State
216	Requirement to make air navigation facilities available
217(3)	Obstruction of or interference with aerodrome firefighter
226(6)	Preservation of airworthiness records
227(8)	Carriage and keeping of technical log or approved record
227(10)	Preservation of technical log or approved record
228	Requirement to keep personal flying log book
229(1), (2) and (4)	Documents to be carried
235(1), (2), (4) and (5)	Production of documents and records
236	Production of air traffic service equipment documents and records
238	Preservation of documents
249(5)	Requirement to inform CAA of departure from SERA or Rules of the Air
251(1)	Filing and approval of tariffs
253(3)	Requirement to surrender document to CAA

## CHAPTER 2

<i>Provision of Part 21, Part M, Part 145, Part 66 or Part 147</i>	<i>Subject matter</i>
21A.61	Instructions for the continued airworthiness by the holder of type certificate or restricted type certificate
21A.107	Variations to instructions for continued airworthiness by the holder of a minor change approval to type design
21A.120	Instructions for continued airworthiness by supplemental type certificate holder
21A.130	Requirement for statement of conformity
21A.147	Approval of changes to the approved production organisation

<i>Provision of Part 21, Part M, Part 145, Part 66 or Part 147</i>	<i>Subject matter</i>
21A.180	Inspections to be permitted by holder of airworthiness certificate
21A.439	Production of repair parts
21A.441	Repair embodiment
21A.449	Instructions for continued airworthiness by holder of repair design approval
21A.721	Inspections to be allowed by holder of, or applicant for, a permit to fly
21A.729	Record keeping by the holder of the approval of the flight conditions
21A.805	Identification of critical parts
M.A.307	Transfer of aircraft continuing airworthiness records
M.A.401	Use of current maintenance data
M.A.402	Performance of maintenance
M.A.501	Installation of components
M.A.502	Component maintenance
M.A.504 (b),(c) and (d)	Control of unserviceable components
M.A.611	Maintenance standards
M.A.614	Maintenance records to be kept by approved maintenance organisation
M.A.617	Notification of changes to the approved maintenance organisation
M.A.713	Notification of changes to the approved continuing airworthiness organisation
M.A.714	Record keeping by continuing airworthiness management organisation
M.A.902(c)	Airworthiness review certificate to be returned
145.A.85	Notification of changes to the organisation
147.A.110	Records of instructors, examiners and assessors
147.A.125	Records
147.A.150	Notification of changes to the maintenance training organisation

## CHAPTER 3

<i>Provision of EU-OPS</i>	<i>Subject matter</i>
1.335	Smoking on board
1.340	Meteorological conditions
1.345(b)	Pilot in command not to take off unless external surfaces are clear
1.350	Fuel and oil supply

*Status: This is the original version (as it was originally made).*

<i>Provision of EU-OPS</i>	<i>Subject matter</i>
1.355	Take-off conditions
1.385	Use of supplemental oxygen
1.400	Approach and landing conditions
1.405(a), (d) and (e)	Commencement and continuation of approach
1.415	Requirement for journey log
1.455	Low visibility operations – operating procedures
1.460	Low visibility operations – minimum equipment
1.1135	Flight duty, duty and rest period records

## CHAPTER 4

<i>Provision of Part-FCL</i>	<i>Subject matter</i>
ORA.GEN.130	Changes to organisations
ORA.ATO.120	Record keeping

## CHAPTER 5

<i>Provision of EASA Air Operations Regulation</i>	<i>Subject matter</i>
CAT.GEN.MPA.100(b)	Crew members' reporting and compliance responsibilities
CAT.GEN.MPA.100(b)(4) and (5)	Flight duty, duty and rest period records
CAT.GEN.MPA.190	Provision of documentation and records
CAT.OP.MPA.245	Meteorological conditions
CAT.OP.MPA.250(b)	Pilot in command not to take off unless external surfaces are clear
CAT.OP.MPA.260	Fuel and oil supply
CAT.OP.MPA.265	Take-off conditions
CAT.OP.MPA.285	Use of supplemental oxygen
CAT.OP.MPA.300	Approach and landing conditions
CAT.OP.MPA.305(b)	Commencement and continuation of approach
ORO.MLR.110	Requirement for journey log
SPA.LVO.125(b)	Low visibility operations – operating procedures
SPA.LVO.130(b)	Low visibility operations – minimum equipment

## CHAPTER 6

<i>Provision of EASA Aerodromes Regulation</i>	<i>Subject matter</i>
ADR.OR.B.035(b)	Failure to return certificate after revocation or surrender

<i>Provision of EASA Aerodromes Regulation</i>	<i>Subject matter</i>
ADR.OR.B.060(a)	Failure to provide information, to notify changes or to comply with requirements or with provisions of aerodrome manual
ADR.OR.D.035	Failure to establish an adequate system of record keeping or to keep records

## CHAPTER 7

<i>Provision of Part-NCC</i>	<i>Subject matter</i>
NCC.GEN.120	Taxiing of aeroplanes
NCC.GEN.125	Rotor engagement — helicopters
NCC.GEN.130	Portable electronic devices
NCC.GEN.135	Information on emergency and survival equipment carried
NCC.GEN.140	Documents, manuals and information to be carried
NCC.OP.160	Use of headset
NCC.OP.205(a)	In-flight fuel management

## CHAPTER 8

<i>Provision of Part-NCO</i>	<i>Subject matter</i>
NCO.GEN.115	Taxiing of aeroplanes
NCO.GEN.120	Rotor engagement — helicopters
NCO.GEN.125	Portable electronic devices
NCO.GEN.130	Information on emergency and survival equipment carried
NCO.GEN.135	Documents, manuals and information to be carried
NCO.GEN.150	Journey log