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## STATUTORY INSTRUMENTS

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# 2016 No. 715

## The Financial Services and Markets Act 2000 (Transparency of Securities Financing Transactions and of Reuse) Regulations 2016

### PART 3

#### Administration and enforcement

#### CHAPTER 1

#### Interpretation

#### Meaning of “non-authorised counterparty”

**4.** In this Part a “non-authorised counterparty” is a financial or non-financial counterparty which is not—

- (a) an authorised person;
- (b) a recognised investment exchange; <sup>F1</sup>...
- (c) a recognised central counterparty [<sup>F2</sup>; or
- (d) a recognised CSD.]

**F1** Word in reg. 4(b) omitted (28.11.2017) by virtue of [The Central Securities Depositories Regulations 2017 \(S.I. 2017/1064\)](#), reg. 1, [Sch. para. 43\(4\)\(a\)](#) (with regs. 7(4), 9(1))

**F2** Reg. 4(d) and word inserted (28.11.2017) by [The Central Securities Depositories Regulations 2017 \(S.I. 2017/1064\)](#), reg. 1, [Sch. para. 43\(4\)\(b\)](#) (with regs. 7(4), 9(1))

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Transparency of Securities Financing Transactions and of Reuse) Regulations 2016. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)