STATUTORY INSTRUMENTS

2016 No. 645

TRANSPORT

The Railways (Access, Management and Licensing of Railway Undertakings) Regulations 2016

Made - - - - 21st June 2016

Laid before Parliament 7th July 2016

Coming into force 29th July 2016

THE RAILWAYS (ACCESS, MANAGEMENT AND LICENSING OF RAILWAY UNDERTAKINGS) REGULATIONS 2016

PART 1

Preliminary

- 1. Citation, commencement and extent
- 2. Amendments and revocations
- 3. Interpretation
- 4. Scope

PART 2

Access to Railway Infrastructure and Services

- 5. Access rights
- 6. Access to services
- 7. Cross-border agreements

PART 3

Infrastructure Management and Independence of Undertakings

- 8. Management independence
- 9. Separation of accounts
- 10. Independence of service providers from dominant bodies and firms
- 11. Indicative railway infrastructure strategy
- 12. Business Plans
- 13. Network Statement

PART 4

Infrastructure Charges

- 14. Establishing, determining and collecting charges
- 15. Infrastructure costs and accounts
- 16. Performance scheme
- 17. Reservation charges
- 18. Cooperation in relation to charging systems on more than one network

PART 5

Allocation of Infrastructure Capacity

- 19. Capacity allocation
- 20. Co-operation in the allocation of infrastructure capacity crossing more than one network
- 21. Framework agreements
- 22. Application for infrastructure capacity
- 23. Scheduling and coordination
- 24. *Ad hoc* requests
- 25. Declaration of specialised infrastructure
- 26. Congested infrastructure
- 27. Capacity analysis
- 28. Capacity enhancement plan
- 29. Use of train paths
- 30. Special measures to be taken in the event of disruption

PART 6

Regulation and Appeals

- 31. Regulatory body
- 32. Appeals to the regulatory body
- 33. Regulatory decisions concerning international passenger services
- 34. Monitoring the rail services markets
- 35. Audits
- 36. Provision of information to the regulatory body
- 37. Cooperation between regulatory bodies
- 38. Enforcement of decisions, directions and notices

PART 7

The Railway (Licensing of Railway Undertakings) Regulations 2005

39. Amendment of the Railway (Licensing of Railway Undertakings) Regulations 2005

PART 8

Miscellaneous

- 40. Statutory authority to run trains
- 41. Civil proceedings
- 42. Making of false statements etc.
- 43. Offences by bodies corporate and Scottish partnerships
- 44. Restriction on disclosure of information

Changes to legislation: There are currently no known outstanding effects for the The Railways (Access, Management and Licensing of Railway Undertakings) Regulations 2016. (See end of Document for details)

- 45. Breaches of duty outside the United Kingdom
- 46. Review
- 47. Transitory modifications Signature

SCHEDULE 1 — Amendments

PART 1 — Amendments to Primary Legislation

- 1. The Railway Fires Act 1905
- 2. The Insolvency Act 1986
- 3. The Railways Act 1993
- 4. The Greater London Authority Act 1999
- 5. The Railways and Transport Safety Act 2003
- 6. The Civil Contingencies Act 2004

PART 2 — Amendments to Secondary Legislation

- 7. The Town and Country Planning (Control of Advertisements) Regulations 1992
- 8. The London Underground (East London Line Extension) (No. 2) Order 2001
- 9. The Docklands Light Railway (Silvertown and London City Airport Extension) Order 2002
- 10. The Docklands Light Railway (Woolwich Arsenal Extension) Order 2004
- 11. The British Transport Police (Police Services Agreement) Order 2004
- 12. The Central Rating List (Wales) Regulations 2005
- 13. The Central Rating List (England) Regulations 2005
- 14. The Railways (Interoperability) Regulations 2011
- 15. The Channel Tunnel (International Arrangements) (Charging Framework and Transfer of Economic Regulation Functions) Order 2015

SCHEDULE 2 — Services to be supplied to railway undertakings

- 1. The minimum access package referred to in regulation 6(1) must...
- 2. Access, including track access to services facilities and the supply...
- 3. The additional services referred to in regulation 6(11) may comprise—...
- 4. The ancillary services referred to in regulation 6(12) may comprise—...

SCHEDULE 3 — Access charging

- 1. Principles of access charging
- 2. Exceptions to the charging principles
- 3. (1) Subject to sub-paragraph (2), for specific investment projects completed ____
- 4. (1) An infrastructure manager's average and marginal charges for equivalent...
- 5. If an infrastructure manager intends to modify the essential elements...
- 6. Discounts
- 7. Performance Schemes

SCHEDULE 4 — Timetable for the Allocation Process

- 1. The working timetable must be established once per calendar year....
- 2. The change of working timetable must take place at midnight...
- 3. The deadline for receipt of requests for capacity to be...
- 4. No later than 11 months before the change of the...
- 5. The infrastructure manager must prepare and publish a draft working...

- 6. The infrastructure manager must decide on the requests it receives...
- 7. The infrastructure manager may reschedule an allocated train path if...
- 8. In the case of trains crossing from one network to...
- 9. As regards temporary restrictions of the capacity of railway lines,...
- 10. The infrastructure managers concerned must also create a mechanism whereby...
- 11. When publishing capacity restrictions in accordance with paragraph 9 for...
- 12. If the impact of any proposed capacity restrictions is not...
- 13. As regards capacity restrictions of a duration of seven consecutive...
- 14. Infrastructure managers may decide to apply more stringent thresholds for...
- 15. The infrastructure manager may decide not to apply the periods...
- 16. The information to be provided by the infrastructure manager when...
- 17. As regards the capacity restrictions of a duration of at...
- 18. As regards the capacity restrictions of a duration of more...

SCHEDULE 4A — Basic Principles and Parameters of Contractual Agreements between Competent Authorities and Infrastructure Managers

1. The contractual agreement referred to in regulation 15(6) must specify...

SCHEDULE 5 — Accounting information to be supplied to the Office of Rail and Road upon request

1. The accounting information referred to in regulation 35(2) is as...

SCHEDULE 6 — Transitory modifications

- 1. Until the end of 31 December 2020, these Regulations apply...
- 2. Interpretation
- 3. Scope
- 4. Access rights
- 5. Infrastructure management: independence; outsourcing and sharing functions and impartiality in respect of traffic management and maintenance planning
- 6. Financial transparency
- 7. Coordination mechanisms
- 8. Independence of the essential functions
- 9. Capacity rights
- 10. Special measures to be taken in the event of disruption
- 11. Appeals to the regulatory body
- 12. Regulatory decisions concerning passenger services
- 13. Monitoring the rail services markets
- 14. Audits
- 15. Cooperation between regulatory bodies
- 16. Enforcement of decisions, directions and notices

Explanatory Note

Changes to legislation:
There are currently no known outstanding effects for the The Railways (Access, Management and Licensing of Railway Undertakings) Regulations 2016.