#### STATUTORY INSTRUMENTS

## 2016 No. 614

# The Water Supply (Water Quality) Regulations 2016

### PART 9

#### Records and information

#### Maintenance of records

- **34.**—(1) Every water undertaker or [FI] wholesale licensee] must, in respect of each of the water supply zones which it uses for the purposes of supplying water to consumers, prepare and maintain a record containing—
  - (a) the name of the zone;
  - (b) the name of every water treatment works, service reservoir and other supply point from which water is supplied to premises within the zone;
  - (c) an estimate of the population of the zone;
  - (d) particulars of any departure authorised under Part 7 of these Regulations which applies to water supplied in the zone;
  - (e) particulars of the action taken or required to be taken by the water undertaker or [F2water supply licensee] to comply with—
    - (i) any enforcement order made under section 18 of the Act M1;
    - (ii) any departure authorised under Part 7;
    - (iii) any notice under regulation 20(4);
  - (f) particulars of the result of any analysis of samples taken in accordance with Part 4 of these Regulations or any of regulations 12 to 14, 17 and 28;
  - (g) the results of any electronic monitoring where this is carried out in accordance with these Regulations;
  - (h) particulars of all consumer contacts <sup>F3</sup>... in relation to the discharge of duties under these Regulations;
  - (i) such other particulars as the water undertaker or [F2water supply licensee] may determine.
- (2) A retail licensee must, in respect of each of the water supply zones which it uses for the purposes of supplying to consumers, prepare and maintain a record containing—
  - (a) the name of the water supply zone;
  - (b) particulars of any departure authorised under Part 7 of these Regulations which applies to water supplied in the zone;
  - (c) particulars of the action taken or required to be taken by the retail licensee to comply with—
    - (i) any enforcement order made under section 18 of the Act;
    - (ii) any departure authorised under Part 7;

- (iii) any notice under regulation 20(4);
- [F4(ca) particulars of all consumer contacts F5... in relation to the discharge of duties under these Regulations;]
  - (d) such other particulars as the retail licensee may determine.
- (3) A water undertaker or [F1wholesale licensee] must make entries in the record
  - (a) in respect of the matters mentioned in paragraph (1)(a) to (d) and (e)(ii), as soon as reasonably practicable and no later than 3 months after the day on which it first introduces water into a supply system for the purposes of supplying water to consumers;
  - (b) in respect of the matters mentioned in paragraph (1)(e)(i) and (iii), within 28 days of the date of the order and notice respectively;
  - (c) relating to the results of the analysis of samples, within 28 days of the day on which the result is first known to the water undertaker or [FI]wholesale licensee].
- (4) A retail licensee must make—
  - (a) initial entries in the record in respect of the matters mentioned in paragraph (2)(a), (b) and (c)(ii) no later than 3 months after the day on which it first uses a supply system for the purposes of supplying water to consumers;
  - (b) entries in the record in respect of the matters mentioned in paragraph (2)(c)(i) and (iii) within 28 days of the date of the order and notice respectively.
- (5) Without prejudice to paragraph (3), the relevant supplier must at least once in each year review and update the record required to be kept under paragraph (1) or (2).
  - (6) Nothing in this regulation requires a relevant supplier to retain a record—
    - (a) of information mentioned in any of sub-paragraphs (a), (b) and (f) of paragraph (1) or in sub-paragraph (a) of paragraph (2) at any time more than 30 years after the date on which the information was first entered in the record;
    - (b) of information mentioned in any other sub-paragraph of paragraph (1) or (2) at any time more than 5 years after the date on which the information was first entered in the record.
  - F1 Words in regs. 31-34 substituted (31.3.2017) by The Water Act 2014 (Consequential Amendments etc.) Order 2017 (S.I. 2017/506), arts. 1(1), 32(4)
  - **F2** Words in reg. 34(1) substituted (31.3.2017) by The Water Act 2014 (Consequential Amendments etc.) Order 2017 (S.I. 2017/506), arts. 1(1), 32(2)
  - F3 Word in reg. 34(1)(h) omitted (11.7.2018) by virtue of The Water Supply (Water Quality) (Amendment) Regulations 2018 (S.I. 2018/706), regs. 1(1), 2(12)
  - **F4** Reg. 34(2)(ca) inserted (31.3.2017) by The Water Act 2014 (Consequential Amendments etc.) Order 2017 (S.I. 2017/506), arts. 1(1), **32(5)**
  - Word in reg. 34(2)(ca) omitted (11.7.2018) by virtue of The Water Supply (Water Quality) (Amendment) Regulations 2018 (S.I. 2018/706), regs. 1(1), **2(12)**

#### **Marginal Citations**

M1 Section 18 was amended by sections 36(2) and 49(2) of, and paragraph 4 of Schedule 8 to, the Water Act 2003 (c.37). It is prospectively amended by paragraph 26 of Schedule 7 to the Water Act 2014 (c.21) from a date to be appointed.

**Changes to legislation:**There are currently no known outstanding effects for the The Water Supply (Water Quality) Regulations 2016, Section 34.