
STATUTORY INSTRUMENTS

2016 No. 392

The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2016

PART 4

Amendment of the Small and Medium Sized Business (Finance Platforms) Regulations 2015

Amendment of the Small and Medium Sized Business (Finance Platforms) Regulations 2015

9. In the Small and Medium Sized Business (Finance Platforms) Regulations 2015 ^{M1}—
- (a) in regulation 2(1) (interpretation), after the definition of “the 2000 Act” insert—
““broker” means a person who, as part of that person's usual business activities, effects introductions of persons seeking finance to finance providers;”; and
 - (b) in regulation 3(3) (designated banks to provide information to designated finance providers)—
 - (i) at the end of sub-paragraph (c) omit “or”; and
 - (ii) after sub-paragraph (d) insert—
“; or
 - (e) the application was made by a broker on behalf of the business.”.

Marginal Citations

M1 [S.I. 2015/1946](#).

Changes to legislation:

The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2016, Section 9 is up to date with all changes known to be in force on or before 03 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Order revoked by [2023 c. 29 Sch. 1 Pt. 2](#)