
STATUTORY INSTRUMENTS

2016 No. 339

**The Register of People with
Significant Control Regulations 2016**

PART 4

ADDITIONAL MATTERS

Additional matters to be noted in a PSC register

9.—(1) The additional matters required to be noted in a company’s PSC register under section 790M(7) of the Act are the matters required to be noted by regulations 10 to 17.

(2) Where any additional matter noted in a company’s PSC register in accordance with regulation 10, 11, 12 or 13 ceases to be true, the company must note in its PSC register—

- (a) that the additional matter has ceased to be true; and
- (b) the date on which the additional matter ceased to be true.

Additional matters where there is no registrable person or registrable relevant legal entity

10.—(1) This regulation applies where a company knows or has reasonable cause to believe that there is no registrable person⁽¹⁾ or registrable relevant legal entity⁽²⁾ in relation to the company.

(2) The company must note in its PSC register that it knows or has reasonable cause to believe that there is no registrable person or registrable relevant legal entity in relation to the company.

Additional matters where there is an unidentified registrable person

11.—(1) This regulation applies where a company—

- (a) knows or has reasonable cause to believe that there is a registrable person in relation to the company; and
- (b) has not been able to identify the registrable person.

(2) The company must—

- (a) note in its PSC register that it knows or has reasonable cause to believe that there is a registrable person in relation to the company but it has not identified the registrable person; and
- (b) make a separate note in its PSC register in respect of each registrable person which the company has been unable to identify.

Additional matters where an identified registrable person’s particulars are not confirmed

12.—(1) This regulation applies where—

(1) See section 790C(4) of the Act for the meaning of “registrable person”.
(2) See section 790C(8) of the Act for the meaning of “registrable relevant legal entity”.

- (a) a company has identified a registrable person in relation to the company; and
 - (b) all the required particulars of that person have not been confirmed⁽³⁾ for the purposes of section 790M of the Act.
- (2) The company must—
- (a) note in its PSC register that it has identified a registrable person in relation to the company but all the required particulars of that person have not been confirmed; and
 - (b) make a separate note in its PSC register in respect of each registrable person which the company has been unable to identify.

Additional matters where a company's investigations are ongoing

- 13.**—(1) This regulation applies where a company—
- (a) is not required to place a note in its PSC register by regulation 10, 11 or 12;
 - (b) has not entered, and is not required to enter, the required particulars of any registrable person or registrable relevant legal entity in its PSC register; and
 - (c) has not yet completed taking reasonable steps to find out if there is anyone who is a registrable person or a registrable relevant legal entity in relation to the company under section 790D of the Act.
- (2) The company must note in its PSC register that it has not yet completed taking reasonable steps to find out if there is anyone who is a registrable person or a registrable relevant legal entity in relation to the company.

Additional matters where there is a failure to comply with a notice given under section 790D of the Act

- 14.**—(1) This regulation applies where—
- (a) a company has given a notice under section 790D of the Act; and
 - (b) the addressee of the notice has failed to comply with the notice within the time specified in it.
- (2) The company must—
- (a) note in its PSC register that it has given a notice under section 790D of the Act which has not been complied with; and
 - (b) make a separate note in its PSC register in respect of each notice under section 790D which has not been complied with.

Additional matters where there is a failure to comply with a notice given under section 790E of the Act

- 15.**—(1) This regulation applies where—
- (a) a company has given a notice under section 790E of the Act; and
 - (b) the addressee of the notice has failed to comply with the notice within the time specified in it.
- (2) The company must note in the entry in its PSC register for the addressee that the addressee has failed to comply with a notice given by the company under section 790E of the Act.

(3) See section 790M(9) of the Act for the meaning of “confirmed”.

Additional matters where a notice given under section 790D or section 790E of the Act is complied with after the time specified in the notice

- 16.—(1) This regulation applies where—
- (a) a note has been placed in a company’s register under regulation 14 or 15; and
 - (b) the addressee of the notice to which the note relates has complied with the notice after the time specified in the notice.
- (2) The company must note in its PSC register—
- (a) that the notice has been complied with after the time specified in the notice; and
 - (b) the date on which the notice was complied with.

Additional matters where a company has issued a restrictions notice

17.—(1) This regulation applies where a company has issued a restrictions notice⁽⁴⁾ under paragraph 1 of Schedule 1B to the Act.

- (2) The company must—
- (a) note in its PSC register that it has issued a restrictions notice under paragraph 1 of Schedule 1B to the Act; and
 - (b) make a separate note in its PSC register in respect of each registrable person which the company has been unable to identify.

(3) Where the company withdraws the restrictions notice under paragraph 11 of Schedule 1B to the Act, the company must note in its PSC register—

- (a) that it has withdrawn the restrictions notice by giving a withdrawal notice; and
- (b) the date specified in the withdrawal notice as the date on which the withdrawal notice was given.

(4) Where a court⁽⁵⁾ makes an order under paragraph 8 of Schedule 1B to the Act directing that a relevant interest in the company⁽⁶⁾ cease to be subject to restrictions, the company must note in its PSC register—

- (a) that the court has made an order under paragraph 8 of Schedule 1B to the Act directing that a relevant interest in the company cease to be subject to restrictions; and
- (b) the date on which that order takes effect.

(4) See paragraph 1(2) of Schedule 1B to the Act for the meaning of “restrictions notice”.

(5) See section 1156(1) of the Act for the meaning of “court”; section 1156(1) was amended by paragraph 43(a) of Schedule 9 to the Crime and Courts Act 2013 (c.22).

(6) See paragraph 2 of Schedule 1B to the Act for the meaning of “a relevant interest in a company”.