
STATUTORY INSTRUMENTS

2014 No. 2080

The Financial Services and Markets Act 2000
(Excluded Activities and Prohibitions) Order 2014

PART 2

EXCLUDED ACTIVITIES AND EXCEPTIONS

Excluded activities: derivatives

9. A ring-fenced body does not carry on an excluded activity by entering into transactions with any one or more of its account holders if—

- (a) the transaction satisfies the requirements listed in article 10 or 11(1), (2), or (3), and
- (b) all the conditions set out in article 12 are satisfied.

Commencement Information

II Art. 9 in force at 1.1.2019, see art. 1(3)

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Excluded Activities and Prohibitions) Order 2014, Section 9.