

STATUTORY INSTRUMENTS

2013 No. 556

The Financial Services and Markets Act 2000 (PRA-regulated Activities) Order 2013

Citation, commencement and interpretation

1.—(1) This Order may be cited as the Financial Services and Markets Act 2000 (PRA-regulated Activities) Order 2013.

(2) This Order comes into force as follows—

- (a) articles 1, 2, 8 and 9 come into force on the day after the day on which this Order is made;
- (b) articles 3 and 4 come into force on the day after the day on which this Order is made for the purpose of making designations under article 3 which are to take effect on or after 1st April 2013;
- (c) otherwise, this Order comes into force on 1st April 2013.

(3) In this Order —

“the Act” means the Financial Services and Markets Act 2000;

[^{F1}“controlled function” has the meaning given by section 59(3) of the Financial Services and Markets Act 2000;]

“dealing in investments as principal” means an activity of the kind specified by article 14(1) of the Regulated Activities Order ^{M1};

[^{F2}“FCA controlled function” means a controlled function specified in rules made by the FCA;

“PRA controlled function” means a controlled function specified in rules made by the PRA;]

“the Regulated Activities Order” means the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 ^{M2}.

Textual Amendments

- F1** Words in art. 1(3) inserted (1.1.2022) by [The Financial Services Act 2021 \(Prudential Regulation of Credit Institutions and Investment Firms\) \(Consequential Amendments and Miscellaneous Provisions\) Regulations 2021 \(S.I. 2021/1376\)](#), regs. 1(3), **15(2)(a)**
- F2** Words in art. 1(3) inserted (1.1.2022) by [The Financial Services Act 2021 \(Prudential Regulation of Credit Institutions and Investment Firms\) \(Consequential Amendments and Miscellaneous Provisions\) Regulations 2021 \(S.I. 2021/1376\)](#), regs. 1(3), **15(2)(b)**

Marginal Citations

- M1** Amended by [S.I. 2006/3384](#).
- M2** [S.I. 2001/544](#).

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (PRA-regulated Activities) Order 2013, Section 1.