

## SCHEDULE 2

### Amendments of subordinate legislation

#### **The Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001**

**62.** In the Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001<sup>(1)</sup>—

- (a) in regulations 3(3)(a), 4(1)(b), 5(3)(a) and 6(1)(b), for the reference to “the Authority” substitute “the appropriate regulator”<sup>(2)</sup>;
- (b) in regulation 3(5), for “the Authority” substitute “the appropriate regulator and, if the FCA is not the appropriate regulator, the FCA”;
- (c) in regulation 3(6), for “the Authority” substitute “the appropriate regulator and, if the FCA is not the appropriate regulator, the FCA”;
- (d) in regulation 5(5), for “the Authority” substitute “the appropriate regulator and, if the FCA is not the appropriate regulator, the FCA”.

---

<sup>(1)</sup> [S.I. 2001/3625](#), amended by [S.I. 2007/3255](#) and [2009/1390](#).

<sup>(2)</sup> “Appropriate regulator” is defined for the purposes of Part 7 of the Financial Services and Markets Act 2000 by section 103A of that Act, as inserted by Schedule 6 to the Financial Services Act 2012.