
STATUTORY INSTRUMENTS

2011 No. 3066

TRANSPORT

RAILWAYS

The Railways (Interoperability) Regulations 2011

<i>Made</i>	- - - -	<i>22nd December</i>
		<i>2011</i>
<i>Laid before Parliament</i>		<i>23rd December 2011</i>
<i>Coming into force</i>		<i>16th January 2012</i>

THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011

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Changes to legislation: There are currently no known outstanding effects for the
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- 1. Section 182 of the Equality Act 2010 (rail vehicle accessibility...
- 2. In regulation 2(1) of the Rail Vehicle Accessibility (Non-Interoperable Rail...
- 3. The Railways and Other Guided Transport Systems (Safety) Regulations 2006...

SCHEDULE 2 — Essential Requirements

(This Schedule substantially reproduces Annex III to the Directive with amendments to correct deficiencies arising from the UK's withdrawal from the European Union.)

- 1. General requirements
 - 1.1 Safety (1.1.1) The design, construction or assembly, maintenance and monitoring...
 - 1.2 Reliability and availability The monitoring and maintenance of fixed or...
 - 1.3 Health (1.3.1) Materials likely, by virtue of the way they...
 - 1.4 Environmental protection (1.4.1) The environmental impact of establishment and operation...
 - 1.5 Technical compatibility The technical characteristics of the infrastructure and fixed...
 - 1.6 Accessibility (1.6.1) The 'infrastructure' and 'rolling stock' subsystems must be...
- 2. Requirements specific to each subsystem
 - 2.1 Infrastructure (2.1.1) Safety Appropriate steps must be taken to prevent...
 - 2.2 Energy (2.2.1) Safety Operation of the energy-supply systems must not...
 - 2.3 Control-command and signalling (2.3.1) Safety The control-command and signalling installations...
 - 2.4 Rolling stock (2.4.1) Safety The rolling-stock structures and those of...
 - 2.5 Maintenance (2.5.1) Health and safety The technical installations and the...
 - 2.6 Operation and traffic management (2.6.1) Safety Alignment of the network...
 - 2.7 Telematics applications for freight and passengers (2.7.1) Technical compatibility The...

SCHEDULE 3 — Subsystems

Changes to legislation: There are currently no known outstanding effects for the *The Railways (Interoperability) Regulations 2011*. (See end of Document for details)

(This Schedule substantially reproduces Annex II to the Directive with amendments to correct deficiencies arising from the UK's withdrawal from the European Union.)

1. List of subsystems
2. Description of the subsystems
- 2.1 Infrastructure The track, points, engineering structures (bridges, tunnels etc.), associated...
- 2.2 Energy The electrification system, including overhead lines and the trackside...
- 2.3 Trackside control-command and signalling All the trackside equipment required to...
- 2.4 On-board control-command and signalling All the on-board equipment required to...
- 2.5 Operation and traffic management The procedures and related equipment enabling...
- 2.6 Telematics applications This subsystem comprises two elements—
- 2.7 Rolling stock Structure, command and control system for all train...
- 2.8 Maintenance The procedures, associated equipment, logistics centres for maintenance work...

SCHEDULE 4 — UK verification assessment procedure for subsystems

(This Schedule substantially reproduces Annex VI to the Directive with amendments to correct deficiencies arising from the UK's withdrawal from the European Union.)

1. General principles “UK verification” means a procedure carried out by...
2. UK certificate of verification issued by an approved body
- 2.1 Introduction For the purpose of these Regulations, the verification by...
- 2.2 Intermediate statement of verification (ISV) (2.2.1) Principles At the request...
- 2.3 UK certificate of verification (2.3.1) The approved bodies responsible for...
- 2.4 Technical file accompanying the UK declaration of verification The technical...
- 2.5 Surveillance by approved body (2.5.1) The approved body responsible for...
- 2.6 Submission A copy of the technical file accompanying the UK...
- 2.7 Publication Each approved body must periodically publish relevant information concerning:...
3. UK certificate of verification issued by a designated body
- 3.1 Introduction In any case where UK specific rules apply, the...
- 3.2 Certificate of verification The designated body must draw up the...
- 3.3 Technical file The technical file compiled by the designated body...
4. Verification of parts of subsystems If a UK certificate of...

SCHEDULE 5 — UK declaration of verification of subsystems

(This Schedule substantially reproduces Annex V to the Directive with amendments to correct deficiencies arising from the UK's withdrawal from the European Union.)

1. UK declaration of verification of subsystems The UK declaration of...
2. Modification of subsystems with EC or UK declaration of verification...
- 2.1 If the entity introducing the modifications demonstrates that the modification...
- 2.2 If the entity introducing the modification demonstrates that the modification...

3. UK declaration of verification in the case of additional verifications...

SCHEDULE 6 — Model declaration of conformity to an authorised type of vehicle

SCHEDULE 7 — UK declaration of conformity or suitability for use of
interoperability constituents

*(This Schedule substantially reproduces Annex IV to the Directive with amendments
to correct deficiencies arising from the UK's withdrawal from the European Union.)*

1. Interoperability constituents The UK declaration of conformity or suitability for...
2. Scope The UK declaration of conformity or suitability for use...
3. Contents of the UK declaration of conformity or suitability for...

SCHEDULE 8 — Minimum criteria which must be taken into account by the Secretary
of State when approving bodies

*(This Schedule substantially reproduces Annex VIII to the Directive with amendments
to correct deficiencies arising from the UK's withdrawal from the European Union.)*

1. The body, its Director and the staff responsible for carrying...
2. The body and the staff responsible for the checks must...
3. The body must employ staff and possess the means required...
4. The staff responsible for the checks must possess—
5. The independence of the staff responsible for inspections must be...
6. The body must take out civil liability insurance unless that...
7. The staff of the body must be bound by professional...

Explanatory Note

Changes to legislation:

There are currently no known outstanding effects for the The Railways (Interoperability) Regulations 2011.