

## STATUTORY INSTRUMENTS

# 2010 No. 906

## The Credit Rating Agencies Regulations 2010

### PART 1

#### General

#### Citation and commencement

1. These Regulations may be cited as the Credit Rating Agencies Regulations 2010 and shall come into force on 7th June 2010.

#### Interpretation

2.—(1) In these Regulations—

“the Act” means the Financial Services and Markets Act 2000;

<sup>F1</sup>  
...

“the EC Regulation” means Regulation (EC) No 1060/2009 of the European Parliament and of the Council of 16 September 2009 on credit rating agencies <sup>M1</sup>[<sup>F2</sup>, as amended by Regulation (EU) No. 513/2011 of the European Parliament and of the Council of 11 May 2011 amending Regulation (EC) No. 1060/2009 on credit rating agencies ];

<sup>F3</sup>  
...

[<sup>F4</sup>“the FCA” means the Financial Conduct Authority.]

“overseas competent authority” means an authority designated by a Member State other than the United Kingdom in accordance with Article 22(1) of the EC Regulation (competent authorities);

“protected item” has the same meaning as in section 413 of the Act (protected items).

(2) Expressions used in these Regulations which are listed in Article 3 of the EC Regulation (definitions) have the meaning given in that Article.

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|-----------|---|
| <b>F1</b> | Words in reg. 2(1) omitted (1.4.2013) by virtue of <a href="#">The Financial Services Act 2012 (Consequential Amendments and Transitional Provisions) Order 2013 (S.I. 2013/472)</a> , <b>Sch. 2 para. 188(a)(i)</b>  |
| <b>F2</b> | Words in reg. 2(1) inserted (1.7.2011) by <a href="#">The Credit Rating Agencies (Amendment) Regulations 2011 (S.I. 2011/1435)</a> , regs. 1, <b>4(a)(i)</b>  |
| <b>F3</b> | Words in reg. 2(1) omitted (31.12.2020) by virtue of <a href="#">The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/266)</a> , regs. 1(3), <b>50(2)</b> (with savings in S.I. 2019/680, reg. 11); 2020 c. 1, Sch. 5 para. 1(1) |
| <b>F4</b> | Words in reg. 2(1) inserted (1.4.2013) by <a href="#">The Financial Services Act 2012 (Consequential Amendments and Transitional Provisions) Order 2013 (S.I. 2013/472)</a> , <b>Sch. 2 para. 188(a)(ii)</b>  |

#### Marginal Citations

**M1** OJ L 302, 17.11.2009, p. 1.

**Changes to legislation:**

The Credit Rating Agencies Regulations 2010, PART 1 is up to date with all changes known to be in force on or before 13 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)