
STATUTORY INSTRUMENTS

2010 No. 772

The Occupational and Personal Pension Schemes
(Automatic Enrolment) Regulations 2010

[^{F1}PART 7A

Certification that a quality or alternative requirement is satisfied

[^{F1}Form of certificate

32C. A certificate under section 28(1) of the Act must be in writing and contain the following information –

- (a) whether the certificate relates to part of a scheme and, if so, which part;
- (b) the employer pension scheme reference within the meaning of regulation 1 of the Employers' Duties (Registration and Compliance) Regulations 2010;
- (c) whether the certificate relates to all of the jobholders of the employer who are active members of the scheme or part-scheme in question;
- (d) where the certificate relates to only some of the jobholders of the employer who are active members of the scheme or part-scheme (“the active members”) —
 - (i) the names and roles of the relevant jobholders;
 - (ii) where one or more of the active members have not been included in the certificate because they have chosen to pay contributions at a level such that the relevant quality requirement or alternative requirement is not met, the names and roles of those active members; and
 - (iii) where one or more of the active members have not been included in the certificate because, in the opinion of the person who gives the certificate, the contributions made by the employer and the member will clearly meet the relevant quality requirement, the names and roles of those active members;
- (e) where the certificate is given with respect to an alternative requirement—
 - (i) under regulation 32E or 32G, which of the sets of requirements in regulation 32E applies; or
 - (ii) under regulation 32F, which of the sets of requirements in that regulation applies;
- (f) where the certificate relates to a hybrid scheme to which regulation 43 applies, the percentages referred to in regulation 43(4)(a) and (b);
- (g) where there is an upper limit to the amount of contributions that may be paid as referred to in regulation 32E(5) or 32F(8), that limit;
- (h) the certification period; and
- (i) whether that period has been amended and, if so, the previous certification period or periods.]

Changes to legislation: There are currently no known outstanding effects for the The Occupational and Personal Pension Schemes (Automatic Enrolment) Regulations 2010, Section 32C. (See end of Document for details)

F1 Pt. 7A inserted (1.7.2012) by The Occupational and Personal Pension Schemes (Automatic Enrolment) (Amendment) Regulations 2012 (S.I. 2012/1257), regs. 1(2)(a), 4

Changes to legislation:

There are currently no known outstanding effects for the The Occupational and Personal Pension Schemes (Automatic Enrolment) Regulations 2010, Section 32C.