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STATUTORY INSTRUMENTS

### 2010 No. 1996

## **CLIMATE CHANGE**

The Aviation Greenhouse Gas Emissions Trading Scheme Regulations 2010

Made	3rd August 2010
Laid before Parliament	6th August 2010
Coming into force	31st August 2010

### THE AVIATION GREENHOUSE GAS EMISSIONS TRADING SCHEME REGULATIONS 2010

#### PART 1

- 1. Citation and commencement
- 2. Interpretation
- 3. Aircraft operator
- 4. Regulator: general
- 5. Regulator: assessment of emissions
- 6. Regulator: change in registered office
- 7. Authority

#### PART 2

- 8. Application of this Part
- 9. Application for a benchmarking plan
- 10. Issue of a benchmarking plan
- 11. Monitoring tonne-kilometre data
- 12. Reporting tonne-kilometre data
- 13. Submission of the report to the Secretary of State and the European Commission

#### PART 3

- 14. Application of this Part
- 15. Eligible UK operator
- 16. Application to the regulator

17. Submission of an application to the Secretary of State and the European Commission

#### PART 4

- 18. Application for an emissions plan
- 19. Issue of an emissions plan
- 20. Monitoring emissions
- 21. Reporting emissions
- 22. Duty of the regulator to determine emissions
- 23. Emissions plan conditions
- 24. Duty to comply with conditions in an emissions plan
- 25. Variation of emissions plan

#### PART 5

- 26. Duty to surrender allowances
- 27. Interpretation

#### PART 6

28. Charging

#### PART 7

29. Information

#### PART 8

- 30. Procedure
- 31. Variable amounts
- 32. Waiver and modification
- 33. Failure to submit or resubmit an application for an emissions plan
- 34. Failure to monitor aviation emissions
- 35. Failure to report aviation emissions
- 36. Making false or misleading statements
- 37. Failure to comply with emissions plan conditions
- 38. Failure to surrender sufficient allowances
- 39. Failure to comply with information notices
- 40. Failure to provide assistance and advice
- 41. Failure to comply with a direction relating to an operating ban

#### PART 9

- 42. General
- 43. Release of aircraft
- 44. Court procedures
- 45. Proceeds of sale
- 46. Equipment and documents
- 47. Assistance of aerodrome operator
- 48. Interpretation

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#### PART 10

- 49. Naming of operators
- 50. Application for an operating ban
- 51. Enforcement of an operating ban

#### PART 11

- 52. General
- 53. Procedure and appointment

#### PART 12

54. Guidance to regulators

#### PART 13

- 55. Confidentiality
- 56. Notices
- 57. Submission of reports and applications for plans
- 58. Functions of the regulator: Northern Ireland
- 59. Assistance and advice to be provided by the Civil Aviation Authority

#### PART 14

#### etc.

60. Revocation, savings, transitional and transitory provisions Signature

SCHEDULE 1 — Charging

- 1. (1) Subject to sub-paragraphs (2) and (3), the regulator may...
- 2. The regulator— (a) must require a charge for an application...
- 3. (1) Any charge unpaid may be recovered by the regulator—...
- 4. In this Schedule— "estimated aviation emissions" means a reasonable estimate...

SCHEDULE 2 — Steps to be taken before applying for leave to sell an aircraft

- 1. The steps in this Schedule apply where the regulator proposes...
- 2. (1) At least 21 days before applying to the court...
- 3. At the same time as serving any notice under paragraph...
- 4. A notice under paragraph 2(1) must— (a) state the nationality...
- 5. (1) A notice under paragraph 2(1) must be served by...

SCHEDULE 3 — Appeals (other than appeals to which Schedule 5 applies)

- 1. (1) Any person that wishes to appeal to the appeal...
- 2. (1) Subject to sub-paragraph (2), notice of appeal in accordance...
- 3. (1) The regulator must, within 16 days of receipt of...
- 4. (1) Before determining an appeal, the appeal body may afford...
- 5. (1) Where an appeal under regulation 52 is to be...
- 6. (1) The appeal body must give notice to the appellant...

- 7. Where an appeal is made under regulation 52(1)(a) or (b)...
- 8. Where a determination of the appeal body on an appeal...
- 9. In this Schedule— (a) "affected party" means an interested party—...

SCHEDULE 4 — Delegation of Appellate Functions

- 1. In this Schedule— "appointed person" means a person appointed under...
- 2. An appointment must be in writing and-
- 3. Subject to the provisions of this Schedule, an appointed person,...
- 4. (1) If the appellant, the regulator or any person whose...
- 5. (1) Where under paragraph 2(c) the appointment of the appointed...
- 6. (1) Anything done or omitted to be done by an...

SCHEDULE 5 — Appeals (Northern Ireland)

- 1. (1) A person that wishes to appeal to the Planning...
- 2. Notice of appeal in accordance with paragraph 1 is to...
- 3. (1) The appeals commission must determine the appeal and paragraphs...
- 4. An appeal under this Schedule must be accompanied by a...

SCHEDULE 6 — Service of notices

- 1. The provisions of this Schedule apply to the giving or...
- 2. A notice must be in writing.
- 3. A notice may be served on or given to a...
- 4. In the case of a body corporate, a notice may...
- 5. In the case of a partnership, a notice may be...
- 6. If a person to be served with or given a...
- 7. For the purposes of this Schedule, "proper address" means-
- 8. For the purposes of paragraph 7, where a body corporate...
- 9. (1) Where for the purposes of paragraph 7 the person...
- 10. Where an electronic address for submission of a notice is...

Explanatory Note

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#### Changes and effects yet to be applied to :

- Sch. 4 para. 1 words inserted by S.I. 2011/765 reg. 4(6)(a)
- Sch. 4 para. 4(5)(c) words inserted by S.I. 2011/765 reg. 4(6)(d)
- Sch. 4 para. 5(1) words inserted by S.I. 2011/765 reg. 4(6)(e)
- Sch. 4 para. 4(1) words substituted by S.I. 2011/765 reg. 4(6)(c)
- Regulations revoked by S.I. 2012/3038 reg. 85(d)
- reg. 2 applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 2 words inserted by S.I. 2011/765 reg. 3(1)(a)
- reg. 2 words inserted by S.I. 2011/765 reg. 3(1)(b)
- reg. 3(2) words inserted by S.I. 2011/765 reg. 4(1)(b)
- reg. 3(2) words substituted by S.I. 2011/765 reg. 4(1)(a)
- reg. 4 applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 4(1) applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by
  S.I. 2013/755 Sch. 4 para. 425
- reg. 7(a) applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 28 substituted by S.I. 2011/2911 Sch. para. 38
- reg. 52(7) words substituted by S.I. 2011/765 reg. 4(2)(b)
- reg. 52(8) words substituted by S.I. 2011/765 reg. 4(2)(c)
- reg. 52(9) applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 53(1)(2) words substituted by S.I. 2011/765 reg. 4(3)(a)
- reg. 53(3) words inserted by S.I. 2011/765 reg. 4(3)(c)
- reg. 60 applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 60(5)(a) words inserted by S.I. 2011/765 reg. 4(5)(a)

# Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 4 para. 1(1) Sch. 4 para. 1 renumbered as Sch. 4 para. 1(1) by S.I. 2011/765 reg. 4(6)(b)
- Sch. 4 para. 1(2) inserted by S.I. 2011/765 reg. 4(6)(b)
- reg. 2A2B inserted by S.I. 2011/765 reg. 3(2)
- reg. 36(6) applied (with modifications) by SI 2012/3038 reg. 87(1A) (as amended) by S.I. 2013/755 Sch. 4 para. 425
- reg. 52(5A) inserted by S.I. 2011/765 reg. 4(2)(a)
- reg. 53(2A) inserted by S.I. 2011/765 reg. 4(3)(b)
- reg. 53(5) inserted by S.I. 2011/765 reg. 4(3)(d)
- reg. 55(1) reg. 55 renumbered as reg. 55(1) by S.I. 2011/765 reg. 4(4)
- reg. 55(2) inserted by S.I. 2011/765 reg. 4(4)
- reg. 60(5A) inserted by S.I. 2011/765 reg. 4(5)(b)