
STATUTORY INSTRUMENTS

2009 No. 3112

**NATIONAL HEALTH SERVICE, ENGLAND
SOCIAL CARE, ENGLAND
PUBLIC HEALTH, ENGLAND**

The Care Quality Commission (Registration) Regulations 2009

Made - - - - 26th November 2009
Laid before Parliament 30th November 2009
Coming into force 1st April 2010

**THE CARE QUALITY COMMISSION
(REGISTRATION) REGULATIONS 2009**

PART 1
GENERAL

1. Citation and commencement
2. Interpretation

PART 2
REGISTRATION

3. Register of regulated activities
4. Persons to be regarded as the person carrying on a regulated activity
5. Registered manager condition
6. Cancellation of registration

PART 3
PUBLICATION OF INFORMATION AND EXPLANATIONS

7. Publication of information relating to enforcement action
8. Exemptions from the requirement to notify bodies of certain matters
9. Notification to Primary Care Trust, English local authority and Strategic Health Authority
10. Power to require an explanation

Status: This is the original version (as it was originally made).

PART 4

REGISTRATION REQUIREMENTS

11. General
12. Statement of purpose
13. Financial position
14. Notice of absence
15. Notice of changes
16. Notification of death of service user
17. Notification of death or unauthorised absence of a service user who is detained or liable to be detained under the Mental Health Act 1983
18. Notification of other incidents
19. Fees etc.
20. Requirements relating to termination of pregnancies

PART 5

OTHER MISCELLANEOUS REQUIREMENTS

21. Death of service provider
22. Appointment of liquidators

PART 6

COMPLIANCE, GUIDANCE AND OFFENCES

23. Compliance with regulations
24. Guidance
25. Offences
Signature

SCHEDULE 1 — INFORMATION REQUIRED IN RESPECT OF A SERVICE PROVIDER WHO PROPOSES TO MANAGE THE CARRYING ON OF A REGULATED ACTIVITY

1. Proof of identity including a recent photograph.
2. Where the certificate is required for a purpose referred to...
3. Satisfactory evidence of conduct in previous employment concerned with the...
4. Where a person (P) has been previously employed in a...
5. Satisfactory documentary evidence of any relevant qualification.
6. A full employment history, together with a satisfactory written explanation...
7. Satisfactory information about any physical or mental health conditions which...
8. For the purposes of this Schedule— (a) “the appointed day”...

SCHEDULE 2 — PUBLICATION OF INFORMATION RELATING TO ENFORCEMENT ACTION

PART 1 — INFORMATION RELATING TO ENFORCEMENT ACTION WHICH MUST BE PUBLISHED

1. In relation to the cancellation or suspension of a registered...
2. In relation to the conviction of any person in respect...

3. (1) This paragraph applies to a decision under section 12(5)...
4. In relation to the payment by any person of a...
5. Paragraphs 1 and 3 do not apply, and the information...
6. Paragraph 2 does not apply, and the information prescribed in...
7. Paragraph 4 does not apply, and the information prescribed in...
8. (1) The time prescribed for information required to be published...
PART 2 — INFORMATION RELATING TO ENFORCEMENT ACTION
WHICH MAY BE PUBLISHED
9. In relation to a conviction in respect of an offence...
10. In relation to decisions under section 12(5) of the Act...
11. Where a person who is not a registered person is...
12. In relation to the payment by any person of a...
13. (1) In relation to a warning notice given under section...

SCHEDULE 3 — INFORMATION TO BE INCLUDED IN THE STATEMENT OF
PURPOSE

1. The aims and objectives of the service provider in carrying...
2. The kinds of services provided for the purposes of the...
3. The full name of the service provider and of any...
4. The legal status of the service provider.
5. Details of the locations at which the services provided for...

Explanatory Note