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## STATUTORY INSTRUMENTS

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# 2009 No. 2461

## The Financial Services and Markets Act 2000 (Amendment) Regulations 2009

### Citation and commencement

1.—(1) These Regulations may be cited as the Financial Services and Markets Act 2000 (Amendment) Regulations 2009.

(2) These Regulations come into force on 1st October 2009.

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#### Commencement Information

**I1** Reg. 1 in force at 1.10.2009, see [reg. 1\(2\)](#)

### Disclosure rules: persons responsible for compliance

2.—(1) In section 96B of the Financial Services and Markets Act 2000 (disclosure rules: persons responsible for compliance)(1), for subsection (2) substitute—

“(2) Schedule 11B (connected persons) has effect for the purposes of the provisions of this Part relating to disclosure rules.”.

(2) After Schedule 11A insert the Schedule 11B contained in the Schedule to these Regulations.

(3) The above amendments supersede the amendment in paragraph 181(2) of Schedule 1 to the Companies Act 2006 (Consequential Amendments, Transitional Provisions and Savings) Order 2009(2).

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#### Commencement Information

**I2** Reg. 2 in force at 1.10.2009, see [reg. 1\(2\)](#)

8th September 2009

*Bob Blizzard*  
*Dave Watts*  
Two of the Lords' Commissioners of Her  
Majesty's Treasury

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(1) 2000 c.8; section 96B was inserted by [S.I. 2005/381](#), and subsequently amended by section 1272 of and Schedule 15 to the Companies Act 2006 (c. 46).

(2) [S.I. 2009/1941](#).

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Amendment) Regulations 2009. Any changes that have already been made by the team appear in the content and are referenced with annotations. [View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)