

## SCHEDULE 1

Regulation 18

### SPECIFIED PUBLIC AUTHORITIES

The Secretary of State;  
any Northern Ireland Department;  
the Scottish Ministers;  
the Welsh Ministers;  
the Treasury;  
the Commissioners for Her Majesty's Revenue and Customs;  
the Bank of England [<sup>F1</sup>(including the Bank in its capacity as the Prudential Regulation Authority)];

**F1** Words in Sch. 1 inserted (1.3.2017) by [The Bank of England and Financial Services \(Consequential Amendments\) Regulations 2017 \(S.I. 2017/80\)](#), reg. 1, **Sch. para. 35(a)**

the Director of Public Prosecutions;  
the Director of Public Prosecutions for Northern Ireland;  
the Serious Fraud Office;  
the Secret Intelligence Service;  
the Security Service;  
the Government Communications Headquarters;  
[<sup>F2</sup>the Financial Conduct Authority;]

**F2** Words in Sch. 1 substituted (1.4.2013) by [The Financial Services Act 2012 \(Consequential Amendments and Transitional Provisions\) Order 2013 \(S.I. 2013/472\)](#), art. 1(1), **Sch. 2 para. 173(a)(i)**

**F3** ...

**F3** Words in Sch. 1 omitted (1.3.2017) by virtue of [The Bank of England and Financial Services \(Consequential Amendments\) Regulations 2017 \(S.I. 2017/80\)](#), reg. 1, **Sch. para. 35(b)**

**F4** ...

**F4** Words in Sch. 1 omitted (1.4.2014) by virtue of [The Enterprise and Regulatory Reform Act 2013 \(Competition\) \(Consequential, Transitional and Saving Provisions\) \(No. 2\) Order 2014 \(S.I. 2014/549\)](#), art. 1(1), **Sch. 1 para. 39(b)** (with art. 3)

the Pensions Regulator;  
the Panel on Takeovers and Mergers;  
the Regulator of Community Interest Companies;

**F5** ...

**F5** Words in Sch. 1 omitted (immediately after 2016 c. 16 (N.I.), s. 8(2) comes into force) by virtue of [The Financial Services Act 2012 \(Mutual Societies\) Order 2018 \(S.I. 2018/323\)](#), art. 1, **Sch. 4 para. 10** (with art. 3)

[<sup>F6</sup>the Competition and Markets Authority;]

**F6** Words in Sch. 1 substituted (1.4.2014) by [The Enterprise and Regulatory Reform Act 2013 \(Competition\) \(Consequential, Transitional and Saving Provisions\) \(No. 2\) Order 2014 \(S.I. 2014/549\)](#), art. 1(1), **Sch. 1 para. 39(a)** (with art. 3)

the Office of the Information Commissioner;  
the Charity Commission;  
the Charity Commission for Northern Ireland;  
the Office of the Scottish Charity Regulator;  
[<sup>F7</sup>the Office of Communications];

**F7** Words in Sch. 1 substituted (1.10.2011) by [The Postal Services Act 2011 \(Consequential Modifications and Amendments\) Order 2011 \(S.I. 2011/2085\)](#), art. 1(2), **Sch. 1 para. 82**

the Gas and Electricity Markets Authority;  
the Northern Ireland Authority for Utility Regulation;  
the Gambling Commission;  
the Serious Organised Crime Agency;  
the Health and Safety Executive;  
the Health and Safety Executive for Northern Ireland;  
the Food Standards Agency;  
[<sup>F8</sup>the Gangmasters Labour and Abuse Authority];

**F8** Words in Sch. 1 substituted (12.7.2016) by [The Immigration Act 2016 \(Consequential Amendments\) Regulations 2016 \(S.I. 2016/655\)](#), reg. 1, **Sch. para. 5**

the Security Industry Authority;  
a local authority within the meaning of section 54(2) of the Companies Act 2006;  
an official receiver appointed under section 399 of the Insolvency Act 1986 <sup>M1</sup> (appointment, etc, of official receivers);

**Marginal Citations**

**M1** [1986 c.45](#). Section 399 was amended by section 269 of the [Enterprise Act 2002 \(c.40\)](#).

the Official Receiver for Northern Ireland;  
the Crown Office and Procurator Fiscal Services;  
a person acting as an insolvency practitioner within the meaning of section 388 of the Insolvency Act 1986 <sup>M2</sup> (meaning of “act as an insolvency practitioner”) or Article 3 of the Insolvency (Northern Ireland) Order 1989 <sup>M3</sup> (“act as an insolvency practitioner”);

**Marginal Citations**

**M2** Section 388 was amended by section 4 of the [Insolvency Act 2000 \(c.39\)](#) and by the [Insolvency Act \(Amendment\) \(No. 2\) Regulations 2002 \(S.I. 2002/1240\)](#).

**M3** [S.I. 1989/2405 \(N.I. 9\)](#).

an inspector appointed under Part 14 of the Companies Act 1985 <sup>M4</sup> (investigation of companies and their affairs: requisition of documents) or Part 15 of the Companies (Northern Ireland) Order 1986 <sup>M5</sup> or a person appointed under regulation 30 of the Open-Ended Investment Companies Regulations 2001 <sup>M6</sup> (power to investigate) or regulation 22 of the Open-Ended Investment Companies Regulations (Northern Ireland) 2004 <sup>M7</sup>;

**Marginal Citations**

- M4** 1985 c.6.
- M5** S.I. 1986/1032 (N.I. 6).
- M6** S.I. 2001/1228.
- M7** S.R. (NI) 2004 No 335.

any person authorised to exercise powers under section 447 of the Companies Act 1985 <sup>M8</sup> (power to require documents and information), or section 84 of the Companies Act 1989 <sup>M9</sup> (exercise of powers by officers, etc) or Article 440 of the Companies (Northern Ireland) Order;

**Marginal Citations**

- M8** Section 447 was substituted by section 21 of the [Companies \(Audit, Investigations and Community Enterprise\) Act 2004 \(c.27\)](#).
- M9** 1989 c.40.

[<sup>F9</sup>any person exercising functions conferred by Part 6 of the Financial Services and Markets Act 2000 (official listing)];

- F9** Words in Sch. 1 substituted (1.4.2013) by [The Financial Services Act 2012 \(Consequential Amendments and Transitional Provisions\) Order 2013 \(S.I. 2013/472\)](#), art. 1(1), **Sch. 2 para. 173(a)(ii)**

a person appointed to make a report under section 166 [<sup>F10</sup>or section 166A] (reports by skilled persons) of the Financial Services and Markets Act 2000;

- F10** Words in Sch. 1 inserted (1.4.2013) by [The Financial Services Act 2012 \(Consequential Amendments and Transitional Provisions\) Order 2013 \(S.I. 2013/472\)](#), art. 1(1), **Sch. 2 para. 173(a)(iii)**

a person appointed to conduct an investigation under section 167 (appointment of persons to carry out general investigations) or 168(3) or (5) (appointment of persons to carry out investigations in particular cases) of the Financial Services and Markets Act 2000 <sup>M10</sup>;

**Marginal Citations**

- M10** Sections 167 and 168 were amended by the [Financial Services and Markets Act 2000 \(Markets in Financial Instruments\) Regulations 2007 \(S.I. 2007/176\)](#).

an inspector appointed under section 284 (power to investigate) of the Financial Services and Markets Act 2000;

an overseas regulatory authority within the meaning of section 82 <sup>M11</sup> of the Companies Act 1989 (request for assistance by overseas regulatory authority);

**Changes to legislation:** There are currently no known outstanding effects for the The Overseas Companies Regulations 2009, SCHEDULE 1. (See end of Document for details)

**Marginal Citations**

**M11** Section 82 was amended by section 79 of the Criminal Justice Act 1993 (c.36), the Financial Services and Markets Act 2000 (Consequential Amendments and Repeals) Order 2001 (S.I. 2001/3649) and the Prospectus Regulations 2005 (S.I. 2005/1433).

a police force<sup>F11</sup>;

**F11** Words in Sch. 1 inserted (1.4.2012) by The Housing (Scotland) Act 2010 (Consequential Provisions and Modifications) Order 2012 (S.I. 2012/700), art. 1(3), **Sch. para. 21**

the Scottish Housing Regulator]<sup>F12</sup> ;

**F12** Words in Sch. 1 inserted (31.3.2014) by The Public Bodies (Abolition of the National Consumer Council and Transfer of the Office of Fair Trading's Functions in relation to Estate Agents etc) Order 2014 (S.I. 2014/631), art. 1(5), **Sch. 2 para. 12** (with Sch. 1 para. 28, 2 paras. 13-15)

the lead enforcement authority (as defined in section 33(1) of the Estate Agents Act 1979) exercising functions under the Estate Agents Act 1979]

[<sup>F13</sup>the Office for Nuclear Regulation]

**F13** Words in Sch. 1 inserted (1.4.2014) by The Energy Act 2013 (Office for Nuclear Regulation) (Consequential Amendments, Transitional Provisions and Savings) Order 2014 (S.I. 2014/469), art. 1(2), **Sch. 3 para. 196** (with Sch. 4)

**Changes to legislation:**

There are currently no known outstanding effects for the The Overseas Companies Regulations 2009, SCHEDULE 1.