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STATUTORY INSTRUMENTS

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**2008 No. 2164**

**ENVIRONMENTAL PROTECTION**

**The Batteries and Accumulators (Placing  
on the Market) Regulations 2008**

*Made* - - - - *8th August 2008*  
*Laid before Parliament* *15th August 2008*  
*Coming into force* - - *26th September 2008*

The Secretary of State is a Minister designated<sup>(1)</sup> for the purposes of section 2(2) of the European Communities Act 1972<sup>(2)</sup> in respect of matters relating to batteries and accumulators.

The Secretary of State, in exercise of the powers conferred by section 2(2) of that Act makes the following Regulations.

**PART 1**

**Preliminary**

**Citation and commencement**

1. These Regulations may be cited as the Batteries and Accumulators (Placing on the Market) Regulations 2008 and shall come into force on 26th September 2008.

**Interpretation**

2.—(1) In these Regulations—

[<sup>F1</sup>“appliance” means any electrical or electronic equipment, as defined by [<sup>F2</sup>Article 3(1)(a) of] Directive 2012/19/EU of the European Parliament and of the Council on waste electrical and electronic equipment (WEEE)[<sup>F3</sup>, as last amended by Directive (EU) 2018/849], which is fully or partly powered by batteries or accumulators or is capable of being so;]

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(1) S.I. 2007/3471.

(2) 1972 c.68. Under section 57 of the Scotland Act 1998 (c.46), despite the transfer to Scottish Ministers of functions in relation to implementing obligations under Community law in respect of devolved matters, the function of the Secretary of State in relation to implementing those obligations continues to be exercisable by the Secretary of State as regards Scotland.

*Status: Point in time view as at 31/12/2020.*

*Changes to legislation: There are currently no known outstanding effects for the The Batteries and Accumulators (Placing on the Market) Regulations 2008. (See end of Document for details)*

“battery” or “accumulator” means any source of electrical energy generated by direct conversion of chemical energy and consisting of one or more primary battery cells (non-rechargeable) or consisting of one or more secondary battery cells (rechargeable);

“battery pack” means any set of batteries or accumulators that are connected together or encapsulated within an outer casing so as to form a complete unit that the end-user is not intended to split up or open;

“button cell” means any small round portable battery or accumulator whose diameter is greater than its height and which is used for special purposes such as hearing aids, watches, small portable equipment and back-up power;

“compliance notice” has the meaning given in regulation 13(2);

“crossed out wheeled bin symbol” has the meaning given in regulation 5(1);

“enforcement notice” has the meaning given in regulation 14(2);

“enforcement officer” means an officer of the Secretary of State or an officer of a person appointed to act on behalf of the Secretary of State for the purposes of enforcing these Regulations;

“European Economic Area” means the area comprised by the EEA states;

“infringing goods” means batteries or accumulators or appliances the characteristics, contents or labelling of which are not such as are permitted or required by Part 2 and which were placed on the market on or after 26th September 2008; and

[<sup>F4</sup>“placing on the market”—

- (a) except in relation to a person in Northern Ireland, means supplying or making available, whether in return for payment or free of charge, to a third party within the United Kingdom;
- (b) in relation to a person in Northern Ireland, means supplying or making available, whether in return for payment or free of charge, to a third party within the United Kingdom or the European Economic Area, and includes import into the customs territory of the Union as defined in Article 4 of Regulation (EU) No 952/2013 of the European Parliament and of the Council laying down the Union Customs Code, as that Regulation applies in EU law;]

(2) In these Regulations, except in paragraph (1), any reference to a battery shall be construed as being a reference to a battery or an accumulator.

<b>F1</b>	Words in reg. 2(1) substituted (1.7.2015) by <a href="#">The Hazardous Waste (Miscellaneous Amendments) Regulations 2015 (S.I. 2015/1360)</a> , regs. 1(1), 4 (with Sch. 2)
<b>F2</b>	Words in reg. 2(1) inserted (31.12.2020) by <a href="#">The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188)</a> , regs. 1(2)(b), <b>13(2)(a)</b> (as amended by S.I. 2020/1540, regs. 1(2), 11(2)); 2020 c. 1, Sch. 5 para. 1(1)
<b>F3</b>	Words in reg. 2(1) inserted (1.10.2020) by <a href="#">The Waste (Circular Economy) (Amendment) Regulations 2020 (S.I. 2020/904)</a> , regs. 1(1), <b>12(2)</b>
<b>F4</b>	Words in reg. 2(1) substituted (31.12.2020) by S.I. 2019/188, <b>reg. 13(2)(b)</b> (as substituted by <a href="#">The Waste and Environmental Permitting etc. (Legislative Functions and Amendment etc.) (EU Exit) Regulations 2020 (S.I. 2020/1540)</a> , regs. 1(3), <b>11(7)(a)</b> )

## Application

3.—(1) These Regulations apply to—

- (a) all types of batteries, regardless of their shape, volume, weight, material composition or use; and

- (b) all appliances into which a battery is or may be incorporated.
- (2) These Regulations do not apply to batteries used in—
  - (a) equipment connected with the protection of [<sup>F5</sup>essential security interests], such as arms, munitions and war material, and intended for specifically military purposes; or
  - (b) equipment designed to be sent into space.
- [<sup>F6</sup>(3) In paragraph (2)(a)—
  - (a) as it applies in England and Wales and Scotland, “essential security interests” means the essential security interests of the United Kingdom;
  - (b) as it applies in Northern Ireland, “essential security interests” means the essential security interests of the United Kingdom or EEA states.]

**F5** Words in reg. 3(2)(a) substituted (31.12.2020) by S.I. 2019/188, **reg. 13(3)(a)** (as substituted by The Waste and Environmental Permitting etc. (Legislative Functions and Amendment etc.) (EU Exit) Regulations 2020 (S.I. 2020/1540), regs. 1(3), **11(7)(b)**)

**F6** Reg. 3(3) inserted by S.I. 2019/188, **reg. 13(3)(b)** (as substituted by The Waste and Environmental Permitting etc. (Legislative Functions and Amendment etc.) (EU Exit) Regulations 2020 (S.I. 2020/1540), regs. 1(3), **11(7)(b)**)

## PART 2

### Prohibitions and labelling requirements

#### Prohibitions on mercury and cadmium

- 4.—(1) No person shall place on the market—
- (a) a battery that contains more than 0.0005% of mercury by weight;
  - (b) a portable battery that contains more than 0.002% of cadmium by weight.
- [<sup>F7</sup>(2) .....
- [<sup>F8</sup>(3) The prohibition in paragraph (1)(b) shall not apply to a portable battery intended for use in—
- (a) emergency and alarm systems, including emergency lighting; or
  - (b) medical equipment.]
- (4) This regulation does not apply to a battery which is a component of a vehicle for the purposes of regulation 6 of the End-of-Life Vehicles Regulations 2003(3).
- (5) In this regulation—
- “portable battery” means any battery or battery pack which is—
- (a) sealed,
  - (b) can be hand-carried by an average natural person without difficulty, and
  - (c) is neither an automotive battery nor an industrial battery;
- “automotive battery” means any battery used for automotive starter, lighting or ignition power;
- “industrial battery” means any battery or battery pack which is—

(3) S.I. 2003/2635 to which there are amendments not relevant to these Regulations. This instrument implements Directive 2000/53/EC of the European Parliament and of the Council on end-of life vehicles (OJ No. L269, 21.10.2000, p.34).

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- (a) designed exclusively for industrial or professional uses,
- (b) used as a source of power for propulsion in an electric vehicle,
- (c) unsealed but is not an automotive battery, or
- (d) sealed but is not a portable battery; <sup>[F9]</sup>and]

“electric vehicle” means a vehicle which uses electricity as a source of power for propulsion and includes a vehicle which in addition uses, or is capable of using, other sources of power for this <sup>[F10]</sup>purpose]

- F7** Reg. 4(2) omitted (1.10.2015) by virtue of [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(a), **3(1)** (with reg. 3(2))
- F8** Reg. 4(3) substituted (1.1.2017) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(b), **4(1)** (with reg. 4(3))
- F9** Word in reg. 4(5) inserted (1.1.2017) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(b), **4(2)(a)**
- F10** Word in reg. 4(5) substituted (1.1.2017) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(b), **4(2)(b)**

### Marking with crossed out wheeled bin symbol

5.—(1) No person shall place on the market any battery or battery pack unless it is marked with the symbol shown in Schedule 1 (the “crossed out wheeled bin symbol”).

(2) Subject to paragraph (3), the crossed out wheeled bin symbol shall cover at least 3% of the area of the largest side of the battery or battery pack and shall have a maximum size of 5 x 5 centimetres.

(3) In the case of cylindrical cells, the crossed out wheeled bin symbol shall cover at least 1.5% of the surface area of the battery and shall have a maximum size of 5 x 5 centimetres.

(4) Where the size of the battery or battery pack is such that the crossed out wheeled bin symbol would be smaller than 0.5 x 0.5 centimetres, the battery or battery pack need not be marked but a crossed out wheeled bin symbol measuring at least 1 x 1 centimetre shall be printed on the packaging.

(5) The crossed out wheeled bin symbol shall be printed visibly, legibly and indelibly.

### <sup>[F11]</sup>Capacity Labelling

5A.—(1) No person shall place on the market any portable secondary (rechargeable) battery or automotive battery or accumulator to which Article 1 of the Capacity Labelling Regulation applies unless the capacity of the battery is indicated upon it visibly, legibly and indelibly in accordance with the requirements of that Regulation.

(2) The “Capacity Labelling Regulation” means Commission Regulation (EU) No 1103/2010 of 29 November 2010 establishing, pursuant to Directive [2006/66/EC](#) of the European Parliament and of the Council, rules as regards capacity labelling of portable secondary (rechargeable) and automotive batteries and accumulators.]

- F11** Reg. 5A inserted (31.5.2012) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2012 \(S.I. 2012/1139\)](#), regs. 1, **2(2)**

### Marking with mercury, cadmium and lead symbols

6.—(1) No person shall place on the market—

- (a) a button cell containing more than 0.0005% of mercury by weight unless it is marked with the chemical symbol “Hg”;
  - (b) a battery containing more than 0.002% of cadmium by weight unless it is marked with the chemical symbol “Cd”;
  - (c) a battery containing more than 0.004% of lead by weight unless it is marked with the chemical symbol “Pb”.
- (2) Each of the chemical symbols mentioned in paragraph (1) shall—
- (a) be printed beneath the crossed out wheeled bin symbol;
  - (b) cover an area of at least one quarter the size of that symbol; and
  - (c) be printed visibly, legibly and indelibly.

### Appliances into which batteries are or may be incorporated

7.—(1) No person shall place on the market an appliance into which a battery is or may be incorporated unless that appliance is—

- (a) designed in such a way that a waste battery can be readily removed from that appliance [<sup>F12</sup>by the end-user or, where it cannot be readily removed by the end-user, by a qualified professional who is independent of the manufacturer]; and
- (b) accompanied by instructions showing how the battery can be removed safely [<sup>F13</sup>by the end-user or by a qualified professional who is independent of the manufacturer] and, where appropriate, informing the end-user of the type of the incorporated battery.

(2) Paragraph (1) shall not apply where for safety, performance, medical or data integrity reasons continuity of power supply is necessary and requires a permanent connection between the appliance and the battery.

(3) In this regulation “waste battery” means any battery which is waste within the meaning of Article [<sup>F14</sup>3(1) of Directive 2008/98/EC of the European Parliament and of the Council on waste, as last amended by [<sup>F15</sup>Directive (EU) 2018/851]] [<sup>F16</sup>, and as read with Articles 5 and 6 of that Directive].

[<sup>F17</sup>(4) For the purposes of paragraph (3), Directive 2008/98/EC is to be read in accordance with paragraphs (5) and (6).

- (5) Article 5 is to be read as if—
- (a) in paragraph 1, “Member States shall take appropriate measures to ensure that” were omitted;
  - (b) after paragraph 1 there were inserted—
    - “1A. Any decision as to whether a substance or object is a by-product must be made—
    - (a) in accordance with any regulations setting out detailed criteria on the application of the conditions in paragraph 1 to specific substances or objects; and
    - (b) having regard to any guidance published by the appropriate authority or the appropriate agency for the purposes of this Article.”;
  - (c) paragraphs 2 and 3 were omitted.
- (6) Article 6 is to be read as if—
- (a) in paragraph 1, “Member States shall take appropriate measures to ensure that” were omitted;
  - (b) after paragraph 1 there were inserted—

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- “1A. Any decision as to whether a substance or object has ceased to be waste must be made—
- (a) in accordance with any regulations or retained direct EU legislation setting out detailed criteria on the application of the conditions in paragraph 1 to specific types of waste; and
  - (b) having regard to any guidance published by the appropriate authority or the appropriate agency for the purposes of this Article.”;
- (c) in paragraph 2—
- (i) the first subparagraph were omitted;
  - (ii) in the second subparagraph, for “Those detailed criteria” there were substituted “Any detailed criteria set out in guidance as referred to in paragraph 1A”;
  - (iii) the third and fourth subparagraphs were omitted;
- (d) paragraph 3 were omitted;
- (e) in paragraph 4—
- (i) in the first subparagraph—
    - (aa) in the first sentence, for the words from the beginning to “Member State”, there were substituted “Where criteria have not been set out as referred to in paragraph 1A(a), the appropriate agency”;
    - (bb) the second sentence were omitted;
  - (ii) in the second subparagraph—
    - (aa) for “Member States” there were substituted “The appropriate agency”;
    - (bb) “by competent authorities” were omitted.
- (7) In paragraphs (5) and (6)—
- “appropriate agency” means—
- (a) in relation to England, the Environment Agency;
  - (b) in relation to Wales, the Natural Resources Body for Wales;
  - (c) in relation to Scotland, the Scottish Environment Protection Agency;
  - (d) in relation to Northern Ireland, the Department of Agriculture, Environment and Rural Affairs;
- “appropriate authority” means—
- (a) in relation to England, the Secretary of State;
  - (b) in relation to Wales, the Welsh Ministers;
  - (c) in relation to Scotland, the Scottish Ministers;
  - (d) in relation to Northern Ireland, the Department of Agriculture, Environment and Rural Affairs.]

**F12** Words in reg. 7(1)(a) inserted (1.7.2015) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(c), **5(1)**

**F13** Words in reg. 7(1)(b) inserted (1.7.2015) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2015 \(S.I. 2015/63\)](#), regs. 1(2)(c), **5(2)**

**F14** Words in reg. 7(3) substituted (28.2.2019) by [The Waste \(Miscellaneous Amendments\) \(EU Exit\) \(No. 2\) Regulations 2019 \(S.I. 2019/188\)](#), regs. 1(2)(a), **5(2)**

**F15** Words in reg. 7(3) substituted (1.10.2020) by [The Waste \(Circular Economy\) \(Amendment\) Regulations 2020 \(S.I. 2020/904\)](#), regs. 1(1), **12(3)**

- F16** Words in reg. 7(3) inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), **13(4)(a)** (as amended by S.I. 2020/1540, regs. 1(2), 11(2)); 2020 c. 1, Sch. 5 para. 1(1)
- F17** Reg. 7(4)-(7) inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), **13(4)(b)** (as amended by S.I. 2020/1540, regs. 1(3), **11(7)(c)**); 2020 c. 1, Sch. 5 para. 1(1)

## PART 3

### Enforcement

#### Enforcement authority

- 8.—**(1) It shall be the duty of the Secretary of State to enforce these Regulations.
- (2) The Secretary of State may appoint a person to act on behalf of the Secretary of State for the purposes of paragraph (1).
- (3) The Secretary of State shall not commence proceedings for an offence in Scotland.

#### Test purchases

- 9.—**(1) The Secretary of State may purchase batteries or appliances for the purpose of ascertaining whether or not they are infringing goods.
- (2) If—
- (a) a battery or an appliance purchased under this regulation is submitted to a test;
  - (b) the test leads to the bringing of proceedings for an offence under regulation 15(1); and
  - (c) a person—
    - (i) from whom the battery or the appliance was purchased;
    - (ii) who is a party to the proceedings; or
    - (iii) who has an interest in the battery or the appliance which is identified as infringing goods in an enforcement notice,requests the Secretary of State to allow that person to have the battery or the appliance tested,

the Secretary of State shall, if it is practicable for such a test to be carried out, allow that person to have the battery or the appliance tested.

#### Power to require production of documents and information by notice

- 10.—**(1) If the Secretary of State reasonably believes that a person possesses information or documents which may provide evidence as to whether or not batteries or appliances are infringing goods, the Secretary of State may give that person notice in writing, requiring that person to provide the Secretary of State with that information, those documents, or copies of them.
- (2) A notice given under paragraph (1) shall state the period of time within which the person to whom it is given must comply with it: this shall be a period which is reasonable in all the circumstances, and shall not in any event be less than fourteen days.

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### **Further powers to obtain evidence**

**11.**—(1) The powers specified in paragraphs (3) and (4) may be exercised where the Secretary of State reasonably believes that their exercise will enable the Secretary of State to obtain evidence as to whether or not batteries or appliances are infringing goods.

(2) Before exercising any of the powers specified in paragraph (3) or (4), enforcement officers shall, if so requested, produce a copy of their authorisations to act as enforcement officers.

(3) Enforcement officers may—

- (a) enter at any reasonable time any premises other than premises occupied only as a person's residence;
- (b) examine and investigate—
  - (i) any process of assembly or manufacture; or
  - (ii) any other aspect of the supply,
 of a battery or an appliance, or the component parts of any such battery or appliance, taking place on, or organised from, such premises;
- (c) take such measurements and photographs and make such recordings as are necessary for the purpose of any examination or investigation under sub-paragraph (b)(i); and
- (d) require any person on such premises to afford them such facilities and assistance with respect to any matters or things within that person's control or in relation to which that person has responsibilities as are necessary to enable them to exercise any of the powers conferred on them by sub-paragraphs (b) and (c) with due regard to the health and safety of themselves and others.

(4) When enforcement officers have exercised the power of entry under paragraph (3)(a), or when, with a view to ascertaining whether or not batteries or appliances are infringing goods, they have agreed to meet, at a specified time and place, a person in whose possession they reasonably believe there is evidence of the kind referred to in paragraph (1), they may—

- (a) take samples of any articles or substances found on or in the vicinity of the premises they have entered or which are in that person's possession;
- (b) require any person on the premises or at the meeting to produce, or, where the information is recorded in computerised form, furnish extracts in legible form from, any records to which that person has access—
  - (i) which it is necessary for the enforcement officers to see for the purposes of an examination or investigation under paragraph (3)(b); or
  - (ii) which take the form of existing documents which the Secretary of State could require to be produced under regulation 10,

and inspect and take copies of, or of any entry in, the records; and

- (c) take possession of articles or substances of the kind referred to in sub-paragraph (a) or records of the kind referred to in sub-paragraph (b), and detain them for so long as is necessary to—
  - (i) examine them, or cause them to be examined;
  - (ii) ensure that they are not tampered with before examination of them is completed; and
  - (iii) ensure that they are available for use in evidence in any proceedings for an offence under regulation 15(1) or (3).

(5) Where enforcement officers exercise a power conferred by paragraph (4)(a) or (b), instead of immediately—

- (a) taking the samples of articles or substances; or



(b) requiring the production of the records,  
they may require them (or, in the case of records, copies of them) to be produced at such time and place and by such means as they may reasonably specify.

### **Powers of entry: supplementary**

**12.**—(1) When enforcement officers enter premises by virtue of regulation 11(3)(a), they may take with them such other persons and such equipment or materials as they reasonably consider it necessary or expedient to have with them—

- (a) for the purpose of establishing whether or not batteries or appliances are infringing goods; and
- (b) to assist them in exercising any of their powers under regulation 11(3)(b) and (c).

(2) Enforcement officers who enter any premises by virtue of regulation 11(3)(a) may direct that those premises, or any part of them, or anything in them, shall be left undisturbed for so long, and to such extent, as is reasonably necessary for the purpose of the exercise of any of their powers under regulation 11(3)(b) and (c).

(3) Where enforcement officers leave any premises that they have entered by virtue of regulation 11(3)(a) and such premises are unoccupied or their occupier is temporarily absent, they shall leave them as effectively secured against a trespasser as they found them.

### **Compliance notice**

**13.**—(1) The Secretary of State may serve a notice on any person who—

- (a) places on the market; or
- (b) supplies or makes available in the United Kingdom in the course of business,

batteries or appliances which the Secretary of State has reasonable grounds to suspect are infringing goods.

(2) A notice served under paragraph (1) (a “compliance notice”) shall—

- (a) identify the alleged infringing goods (the “specified goods”);
- (b) state why the Secretary of State suspects that the specified goods are infringing goods;
- (c) specify what action the Secretary of State considers the person on whom the notice is served needs to take, or to refrain from taking, in order—
  - (i) to cause the specified goods to cease to be infringing goods, or
  - (ii) where it is not possible for them to cease to be infringing goods, to withdraw them from the market or to cease to supply or to make them available in the United Kingdom; and
- (d) state that, if that person does not take, or refrain from taking, such action within such period as the notice specifies, and the Secretary of State considers that the specified goods continue to be infringing goods, the Secretary of State may—
  - (i) take further action under regulation 14; or
  - (ii) in the case of an alleged contravention of a requirement of Part 2, commence proceedings under regulation 15(1)(a), (b) or (c).

(3) No proceedings shall be commenced against a person under regulation 15(1)(a), (b) or (c) in respect of an alleged contravention of a requirement of Part 2 where—

- (a) a compliance notice has been served on that person in respect of the alleged contravention; and

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- (b) the period specified under paragraph (2)(d) in that notice has not come to an end.

### **Enforcement notice**

**14.**—(1) Where the Secretary of State serves a compliance notice on a person and, at the end of the period specified in the notice under regulation 13(2)(d)—

- (a) it appears to the Secretary of State that that person has failed to take, or to refrain from taking, the action specified in the compliance notice under regulation 13(2)(c); and
- (b) the Secretary of State has reasonable grounds for considering that the specified goods are infringing goods,

the Secretary of State may serve a further notice on that person.

(2) A notice served under paragraph (1) (an “enforcement notice”) shall—

- (a) identify the specified goods;
- (b) state why the conditions in paragraph (1) are satisfied in relation to the specified goods;
- (c) specify the period ending not less than twenty-one days from the date of the notice, within which the person on whom the notice is served is required to take, or to refrain from taking, such action as is specified in the notice;
- (d) state that, if that person does not take, or refrain from taking, such action within the period specified in the notice, and the Secretary of State considers that the specified goods continue to be infringing goods, the Secretary of State may commence proceedings under regulation 15(1); and
- (e) state the judicial remedies available to that person and the time limits to which those remedies are subject.

(3) An enforcement notice may—

- (a) require the specified goods to be modified so as to cease to be infringing goods;
- (b) require the specified goods to be withdrawn from the market;
- (c) prohibit or restrict the specified goods from being supplied or made available in the United Kingdom in the course of business; or
- (d) prohibit or restrict the placing on the market of the specified goods.

(4) No proceedings shall be commenced against a person under regulation 15(1) in connection with any specified goods which it is alleged are infringing goods where—

- (a) an enforcement notice relating them has been served on that person in respect of those specified goods; and
- (b) the period specified under paragraph (2)(c) in that notice has not come to an end.

(5) In this regulation “specified goods” means the alleged infringing goods that have been identified in a compliance notice in accordance with regulation 13(2)(a).

### **Offences**

**15.**—(1) A person who contravenes or fails to comply with any of the requirements of—

- (a) regulation 4,
- (b) [F18]regulation 5, 5A or 7],
- (c) regulation 6, or
- (d) an enforcement notice,

shall be guilty of an offence.

(2) A person who intentionally obstructs an enforcement officer who is acting pursuant to any provision of this Part shall be guilty of an offence.

(3) A person who is subject to a requirement imposed under regulation 10 or 11(3)(d), (4) or (5) to provide information, documents or records (or copies of information, documents or records) shall be guilty of an offence—

(a) if that person fails, without reasonable excuse, to comply with that requirement; or

(b) if—

(i) any information, document or record which that person provides in response to that requirement is false or misleading in a material respect; or

(ii) any statement which that person makes in response to that requirement is false or misleading in a material respect,

and that person either knows that it is false or misleading in a material respect or is reckless as to whether it is false or misleading in a material respect.

**F18** Words in reg. 15(1)(b) substituted (31.5.2012) by virtue of [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2012 \(S.I. 2012/1139\)](#), regs. 1, **2(3)**

## Penalties

**16.**—(1) A person who is guilty of an offence under regulation 15(1)(a) or (d) shall be liable—

(a) on summary conviction, to a fine not exceeding the statutory maximum;

(b) on conviction on indictment, to a fine.

(2) A person who is guilty of an offence under regulation 15(1)(b) or (c), (2) or (3) shall be liable on summary conviction to a fine not exceeding level 5 on the standard scale.

## Power of the court to require matters to be remedied

**17.**—(1) Where a person is convicted of an offence under regulation 15(1) in respect of any matters which appear to the court to be matters which it is in that person's power to remedy, the court may, in addition to or instead of imposing any punishment, order that person, within such time as may be fixed by the order, to take such steps as may be specified in the order for remedying those matters.

(2) The time fixed by an order under paragraph (1) may be extended or further extended by order of the court on an application made before the end of the time as originally fixed or as extended under this paragraph, as the case may be.

(3) Where a person is ordered under paragraph (1) to remedy any matters, that person shall not be guilty of an offence under regulation 15(1) in respect of those matters in so far as they continue during the time fixed by the order or any further time allowed under paragraph (2).

## Recovery of expenses of enforcement

**18.**—(1) This regulation applies where a court convicts a person of an offence under regulation 15(1)(a), <sup>F19</sup>(b) in relation to a contravention of, or failure to, comply with regulation 5A,] (c) or (d).

(2) The court may (in addition to any other order it may make as to costs or expenses) order the person convicted to reimburse the Secretary of State for any expenditure which the Secretary of State has incurred in investigating the offence, including in having the battery or appliance in respect of which the offence was committed tested.

*Status: Point in time view as at 31/12/2020.*

*Changes to legislation: There are currently no known outstanding effects for the The Batteries and Accumulators (Placing on the Market) Regulations 2008. (See end of Document for details)*

**F19** Words in [reg. 18\(1\)](#) inserted (31.5.2012) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2012 \(S.I. 2012/1139\)](#), regs. 1, **2(4)**

### **Commencement of proceedings**

**19.** In England and Wales a magistrates' court may try an information, and in Northern Ireland a magistrates' court may try a complaint, in relation to an offence under these Regulations if the information is laid or if the complaint is made within twelve months from the time when the offence is committed. In Scotland summary proceedings for such an offence may be begun at any time within twelve months from the time when the offence is committed.

### **Defence of due diligence**

**20.—(1)** Subject to the following provisions of this regulation, in proceedings for an offence under regulation 15(1)(a), (c) or (d), a person who is shown to have taken all reasonable steps and exercised all due diligence to avoid committing the offence shall have a defence.

(2) Where, in any proceedings against a person for such an offence, the defence provided by paragraph (1) involves an allegation that the commission of the offence was due to—

- (a) the act or default of another; or
- (b) reliance on information given by another,

such a defence shall not, without leave of the court, be relied on unless, not later than seven clear days before the hearing of the proceedings (or, in Scotland, the trial diet), that person has served a notice in accordance with paragraph (3) on the person bringing the proceedings.

(3) A notice under this regulation shall give such information identifying or assisting in the identification of the person who committed the act or default or gave the information as is in the possession of the person serving the notice at the time it is served.

(4) A person shall not be entitled to rely on the defence provided by paragraph (1) by reason of that person's reliance on information supplied by another, unless it is shown that it was reasonable in all the circumstances for that person to have relied on the information, having regard in particular to—

- (a) the steps which that person took and those which might reasonably have been taken, for the purpose of verifying the information; and
- (b) whether that person had any reason to disbelieve the information.

### **Liability of persons other than the principal offender**

**21.—(1)** Where the commission by a person of an offence under these Regulations is due to anything which another person did or failed to do in the course of a business, that other person shall be guilty of the offence and may be proceeded against and punished, whether or not proceedings are taken against the first person.

(2) Where a body corporate commits an offence and it is proved that the offence was committed—

- (a) with the consent or connivance of an officer of the body corporate; or
- (b) as a result of the negligence of an officer of the body corporate,

the officer, as well as the body corporate, shall be guilty of the offence.

(3) In paragraph (2) a reference to an officer of a body corporate includes a reference to—

- (a) a director, manager, company secretary or other similar officer of the body corporate;
- (b) a person purporting to act as a director, manager, company secretary or other similar officer; and

(c) if the affairs of a body corporate are managed by its members, a member.

(4) In this regulation references to a “body corporate” include references to a partnership in Scotland, and in relation to such partnership, any reference to a director, manager, company secretary or other similar officer of a body corporate is a reference to a partner.

### **Service of documents**

**22.**—(1) Any document required or authorised by these Regulations to be served on a person may be so served—

- (a) by delivering it to that person or by leaving it at that person’s proper address or by sending it by post to that person at that address; or
- (b) if a person is a body corporate, by serving it in accordance with sub-paragraph (a) on the secretary, clerk or other similar officer of that body corporate; or
- (c) if the person is a partnership, by serving it in accordance with sub-paragraph (a) on a partner or on a person having control or management of the partnership business.

(2) For the purposes of paragraph (1), and for the purposes of section 7 of the Interpretation Act 1978(4) (which relates to the service of documents by post) in its application to that paragraph, the proper address of any person on whom a document is to be served in accordance with these Regulations shall be that person’s last known address except that—

- (a) in the case of service on a body corporate or its secretary, clerk or other similar officer, it shall be the address of the registered or principal office of the body corporate;
- (b) in the case of service on a partnership or a partner or a person having the control or management of a partnership business, it shall be the principal office of the partnership;

and for the purposes of this paragraph, the principal office of a company registered outside the United Kingdom or of a partnership carrying on business outside the United Kingdom is its principal office within the United Kingdom.

## **PART 4**

### **Miscellaneous**

#### **Restrictions on enforcement powers and use of certain evidence under them**

**23.**—(1) Nothing in these Regulations shall be taken as—

- (a) requiring a person to produce any document which that person would be entitled to refuse to produce in any proceedings in any court on the grounds that it is the subject of legal professional privilege or, in Scotland, that it contains a confidential communication made by or to an advocate or solicitor in that capacity; or
- (b) authorising a person to take possession of any document which is in the possession of a person who would be so entitled.

(2) A statement by a person in response to a requirement imposed by virtue of regulation 10 may only be used in evidence against that person—

- (a) on a prosecution for an offence under regulation 15(3)(b); or
- (b) on a prosecution for some other offence where in giving evidence that person makes a statement inconsistent with it.

*Status: Point in time view as at 31/12/2020.*

*Changes to legislation: There are currently no known outstanding effects for the The Batteries and Accumulators (Placing on the Market) Regulations 2008. (See end of Document for details)*

(3) But the statement may not be used against that person by virtue of paragraph (2)(b) unless evidence relating to it is adduced, or a question relating to it is asked, by or on behalf of that person in the proceedings arising out of the prosecution.

#### **Amendment of the Enterprise Act 2002 (Part 9 Restrictions on Disclosure of Information) (Specification) Order 2004**

**24.** Schedule 1 to the Enterprise Act 2002 (Part 9 Restrictions on Disclosure of Information) (Specification) Order 2004(5) is amended by the addition, at the end, of the following entry—

“The Batteries and Accumulators (Placing on the Market) Regulations 2008.”.

#### **Revocations**

**25.** Schedule 2 (revocations) shall have effect.

#### **[<sup>F20</sup>Review**

**26.**—(1) The Secretary of State must from time to time—

- (a) carry out a review of regulations 1 to 24,
- (b) set out the conclusions of the review in a report, and
- (c) publish the report.

(2) In carrying out the review the Secretary of State must, so far as is reasonable, have regard to how the requirements for placing batteries and accumulators on the market in Directive [2006/66/EC](#) of the European Parliament and of the Council on batteries and accumulators and waste batteries and accumulators<sup>[<sup>F21</sup>]</sup>, as last amended by [<sup>F22</sup>Directive (EU) 2018/849] of the European Parliament and of the Council,] and in the Capacity Labelling Regulation (which are implemented by means of these Regulations) are implemented in other member States.

(3) The report must in particular—

- (a) set out the objectives intended to be achieved by the regulatory system established by those regulations;
- (b) assess the extent to which those objectives are achieved; and
- (c) assess whether those objectives remain appropriate and, if so, the extent to which they could be achieved with a system that imposes less regulation.

(4) The first report under this regulation must be published before the end of the period of 5 years beginning with the 31st May 2012.

(5) Reports under this regulation are afterwards to be published at intervals not exceeding five years.]

**F20** Reg. 26 inserted (31.5.2012) by [The Batteries and Accumulators \(Placing on the Market\) \(Amendment\) Regulations 2012](#) (S.I. 2012/1139), regs. 1, **2(5)**

**F21** Words in reg. 26(2) substituted (17.9.2018) by [The Environment, Food and Rural Affairs \(Miscellaneous Amendments and Revocations\) Regulations 2018](#) (S.I. 2018/942), regs. 1(2), **13**

**F22** Words in reg. 26(2) substituted (1.10.2020) by [The Waste \(Circular Economy\) \(Amendment\) Regulations 2020](#) (S.I. 2020/904), regs. 1(1), **12(4)**

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*Malcolm Wicks*  
Minister of State for Energy,  
Department for Business, Enterprise and  
Regulatory Reform

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SCHEDULE 1

Regulation 5

Crossed out wheeled bin symbol



SCHEDULE 2

Regulation 25

Revocations

<i>Title</i>	<i>Reference</i>	<i>Extent of revocation</i>
The Batteries and Accumulators (Containing Dangerous Substances) Regulations 1994	<a href="#">S.I. 1994/232</a>	The whole Regulations
The Batteries and Accumulators (Containing Dangerous Substances) (Amendment) Regulations 2000	<a href="#">S.I. 2000/3097</a>	The whole Regulations
The Batteries and Accumulators (Containing Dangerous Substances) (Amendment) Regulations 2001	<a href="#">S.I. 2001/2551</a>	The whole Regulations
The Batteries and Accumulators (Containing Dangerous Substances) Regulations (Northern Ireland) 1995	<a href="#">S.R. 1995/122</a>	The whole Regulations
The Batteries and Accumulators (Containing Dangerous Substances) (Amendment) Regulations (Northern Ireland) 2002	<a href="#">S.R. 2002/300</a>	The whole Regulations



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## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

These Regulations partially implement Directive [2006/66/EC](#) of the European Parliament and of the Council on batteries and accumulators and waste batteries and accumulators and repealing Council Directive [91/157/EEC](#) (OJNo. L266, 26.9.2006, p.1) (“the Directive”). The following provisions of the Directive are transposed in these Regulations: Articles 4, 6(2), 11 and 21(1), (3), (4), (5) and (6).

These Regulations revoke and replace the Batteries and Accumulators (Containing Dangerous Substances) Regulations 1994 ([S.I. 1994/232](#)) (as amended by [S.I. 2000/3097](#) and [S.I. 2001/2551](#)) and the Batteries and Accumulators (Containing Dangerous Substances) Regulations (Northern Ireland) 1995 ([S.R. 1995/122](#)) (as amended by [S.R. 2002/300](#)) which implemented Council Directive [91/157/EEC](#) on batteries and accumulators containing certain dangerous substances (OJ No. L78, 26.3.1991, p.38) as amended by Commission Directive [98/101/EC](#) (OJ No. L1, 5.1.1999, p.1).

These Regulations apply to batteries and accumulators and to certain electrical and electronic equipment that are placed on the market on or after 26th September 2008.

These Regulations do not apply to batteries or accumulators used in certain equipment connected with the protection of the essential security interests of EEA states and equipment designed to be sent into space.

Part 2 sets out requirements relating to the placing on the market on or after 26th September 2008 of batteries and accumulators and certain electrical and electronic equipment. Regulation 4 provides that batteries and accumulators must not contain more than the permissible levels of mercury or cadmium. Regulation 5 provides that batteries, accumulators and battery packs must be marked with the crossed out wheeled bin symbol shown in Schedule 1. Regulation 6 provides that batteries and accumulators that contain more than the specified levels of mercury, cadmium or lead must be marked with the appropriate chemical symbol. Regulation 7 provides that certain electrical and electronic equipment into which a battery is or may be incorporated must be designed in such a way that a waste battery or accumulator can be readily removed from that appliance; and must be accompanied by instructions showing how the battery or accumulator can be removed safely and, where appropriate, informing the end-user of the type of the incorporated battery or accumulator. There is an exception where for safety, performance, medical or data integrity reasons continuity of power supply is necessary and requires a permanent connection between the equipment and the battery or accumulator.

The Secretary of State has the duty of enforcing these Regulations (regulation 8) and may appoint a person to act on the Secretary of State’s behalf. The Secretary of State has the power to make test purchases (regulation 9) and require production of documents and information (regulation 10). Regulations 13 and 14 provide the Secretary of State with the power to serve compliance and enforcement notices in cases where a battery, accumulator or appliance does not comply with the requirements of Part 2 and require the withdrawal from the market of non-compliant goods. Enforcement officers have powers to enter premises and carry out various information-gathering functions (regulations 11 and 12).

A person who contravenes or fails to comply with the requirements of Part 2 or an enforcement notice shall be guilty of an offence; there are also procedural offences of obstruction and providing false or misleading information (regulation 15). Penalties for such offences are set out in regulation 16. The current maximum fine under level 5 on the standard scale is £5,000. Where a requirement of Part 2 or of an enforcement notice has been contravened, the court may also order the defendant to take remedial action or, in the case of a contravention of regulation 4 or 6 or of an enforcement notice, pay

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the costs of the Secretary of State's investigation (regulations 17 and 18). Proceedings in relation to offences may be commenced within twelve months of the offence being committed (regulation 19). A defence of due diligence is provided in regulation 20 and the liability of persons other than the principal offender is set out in regulation 21. There is provision for service of documents under these Regulations in regulation 22.

Regulation 24 amends the list of subordinate legislation which is specified for the purposes of Part 9 of the Enterprise Act 2002 (disclosure of information). Information obtained by a public authority under these Regulations will be restricted and its use and disclosure subject to Part 9.

Schedule 2 provides for the revocation of the Batteries and Accumulators (Containing Dangerous Substances) Regulations 1994 (and amending instruments) and the Batteries and Accumulators (Containing Dangerous Substances) Regulations (Northern Ireland) 1995 (and amending instrument).

An Impact Assessment (IA) in respect of these Regulations is available and a copy can be obtained from the Business Group, Department for Business, Enterprise and Regulatory Reform (BERR), 1 Victoria Street, London SW1H 0ET and at <http://www.berr.gov.uk/sectors/sustainability>. As these Regulations partially transpose a Directive, a transposition note (TN) setting out how the Government has transposed the Directive in the United Kingdom has been prepared. Copies of the IA and TN are available from BERR as above. Copies of these documents have been placed in the libraries of both Houses of Parliament.

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