

SCHEDULE 3

Regulation 2(3)

MISCELLANEOUS AMENDMENTS

*Companies Act 1989 (c. 40)*

1. In section 82(2)(a)(iii)(1) of the Companies Act 1989, omit “in relation to the listing of shares”.

*The Public Offers of Securities Regulations 1995 (S.I.1995/1537)*

2. The Public Offers of Securities Regulations 1995 are revoked.

*The Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001 (S.I. 2001/2956)*

3. Sub-paragraph (a) of regulation 4(2) and regulations 10 to 12 of the Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001 are revoked.

*The Financial Services and Markets Act 2000 (Offers of Securities) Order 2001 (S.I. 2001/2958)*

4. The Financial Services and Markets Act 2000 (Offers of Securities) Order 2001 is revoked.

*Companies (Audit, Investigations and Community Enterprise) Act 2004 (c. 27)*

5. In section 14 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 (supervision of periodic accounts and reports of issuers of listed securities), in subsection (12), for the definition of “issuer”, “listing rules” and “security” substitute—

““listed securities” and “listing rules” have the meaning given by section 103(1) of the Financial Services and Markets Act 2000 (c. 8) (interpretation of Part 6);

““issuer”, in relation to listed securities, has the meaning given by section 102A(6)(b) of that Act;”.

6. In section 16 of that Act (grants to bodies concerned with accounting standards etc.), in subsection (5), for the definition of “issuer”, “listing rules” and “security” substitute—

““listed securities” and “listing rules” have the meaning given by section 103(1) of the Financial Services and Markets Act 2000 (c. 8) (interpretation of Part 6);

““issuer”, in relation to listed securities, has the meaning given by section 102A(6)(b) of the Financial Services and Markets Act 2000 (meaning of “securities” etc.);”.

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(1) Subsection (2)(a) was substituted by S.I. 2001/3649, article 76(2).