

STATUTORY INSTRUMENTS

2003 No. 1473

The Insurance Mediation Directive (Miscellaneous Amendments) Regulations 2003

PROSPECTIVE

PART 3

PROFESSIONALS

Authority's power to give directions in relation to the application of the general prohibition to members of a profession

9. In section 328 of the Act (directions in relation to the general prohibition)—
- (a) for subsection (6), substitute—
 - “(6) The Authority may exercise the power conferred by subsection (1) only if it is satisfied either—
 - (a) that it is desirable to do so in order to protect the interests of clients; or
 - (b) that it is necessary to do so in order to comply with a Community obligation imposed by the insurance mediation directive.”; and
 - (b) in subsection (7), for “so satisfied”, substitute “satisfied of the matter specified in subsection (6)(a)”.

Commencement Information

- II** Reg. 9 in force at 14.1.2005, see [reg. 1\(1\)](#)

Status:

This version of this part contains provisions that are prospective.

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Insurance Mediation Directive (Miscellaneous Amendments) Regulations 2003. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations power to modify conferred by [2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)